

AN HYBRID APPROACH TO SOLVE TRAVELING  
SALESMAN PROBLEM USING GENETIC ALGORITHM

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AN HYBRID APPROACH TO SOLVE TRAVELING SALESMAN PROBLEM  
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# A DYNAMIC APPROACH FOR TRAVELING SALESMAN PROBLEM USING GENETIC ALGORITHMS

## Abstract

TSP is a challenging and popular problem from combinatorial optimization. TSP is often tackled with well known heuristic genetic algorithm. Due to the nature of the TSP, traditional GA's stay poor when competing with other approaches. Traditional crossover and mutation operators do not satisfy TSP needs. These operators mostly end up with illegal tours. For this reason, researchers proposed many adaptive elements and cooperation of other algorithms. When the logic of GA is combined with these elements, high quality solutions both in time and path length are obtained.

In this research, we analyze successful elements from the literature to use them efficiently in a novel algorithm. We also propose a new selection method which works well with our operators. We extend the abilities of greedy crossover and untwist local operator to utilize in our hybrid approach. Multiple populations collaborate together to achieve better solutions. According to the experimental results, proposed novel elements outperforms their counterparts in the TSP literature. Multiple population approach provides better quality solutions.

# GEZGİN POSTACI PROBLEMİNE GENETİK ALGORİTMA KULLANARAK HİBRİD YAKLAŞIM

## Özet

Gezgin postacı problemi, kombinasyonel optimizasyon sınıfından zorlu ve popüler bir problemdir. Bu problem sıklıkla genetik algoritma ile çözümlenir. Bu problemin doğası gereği, geleneksel genetik algoritmalar başka yaklaşımlar ile karşılaştırıldığında zayıf kalır. Geleneksel çiftleşme ve mutasyon yöntemleri bu problemin çözümü için yetersiz kalmaktadır. Bu operatörlerin kullanımı çoğunlukla uygun olmayan turlarla sonlanır. Bu sebepten dolayı, araştırmacılar bu probleme uygun olarak genetik algoritma ile kombine çalışacak elemanlar önermişler ve sonucunda tur kalitesi ve zaman açısından kaliteli çözümler çıkarmışlardır.

Bu araştırmada, literatürden başarılı elemanları analiz edip kendi önerdiğimiz algoritmamızda efektif olarak kullanmak istedik. Ayrıca, bizim operatörlerimizle iyi çalışan yeni bir seçim yöntemi önerdik. Bizim hibrid yaklaşımımızda kullanmak üzere, greedy çiftleşme ve untwist yerel operatörlerinin yetkinliklerini genişlettik. Çoklu populasyonlar birlikte çalışarak daha iyi sonuçlar vermektedir. Deneysel sonuçlarımıza göre, önerdiğimiz yeni elemanlar literatürdeki muadillerini geride bırakmaktadır.

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*To my family...*

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## List of Abbreviations

<b>TSP</b>	Traveling Salesman Problem
<b>GA</b>	Genetic Algorithm
<b>N</b>	Total Number of Cities
<b>D</b>	Distance Function
<b>C</b>	A City
<b>(1, 2, 3, 4, 5, 6)</b>	A Complete Tour
<b>[3, 4, 5]</b>	A Subtour
<b>{(), [], 1, 2, 3}</b>	A Group of Subtour(s) and/or Tour(s) and/or City(ies)
<b><i>k</i>-nn</b>	<i>k</i> -Nearest Neighbor
<b>PMX</b>	Partially Mapped Crossover
<b>CX</b>	Cycle Crossover
<b>OX</b>	Order Crossover
<b>DPX</b>	Distance Preserving Crossover
<b>APX</b>	Alternating Positions Crossover
<b>GX</b>	Greedy Crossover
<b>CSEX</b>	Complete Subtour Exchange Crossover
<b>SMX</b>	Sorted Match Crossover
<b>REM</b>	Reciprocal Exchange Mutation
<b>IM</b>	Insertion Mutation
<b>DM</b>	Displacement Mutation
<b>SIM</b>	Simple Inversion Mutation
<b>IVM</b>	Inversion Mutation
<b>SM</b>	Scramble Mutation
<b>ESEM</b>	Ends Exchange Mutation

<b>RESM</b>	Reverse Ends Mutation
<b>RESEM</b>	Reverse Ends Exchange Mutation
<b>RWS</b>	Roulette Wheel Selection
<b>TS</b>	Tournament Selection

# Chapter 1

## Introduction

TSP, is one of the most important problems in combinatorial optimization. It was first formulated by the mathematician Karl Menger in 1930 and belongs to the set of NP-Hard problems. Given a list of  $N$  cities, a salesman tries to visit all of the cities only once, where he/she minimizes the path length. The running time of the problem grows exponentially with respect to the number of cities, i.e. the number of possible solutions is  $(N - 1)!$ . To overcome the issue, lots of research have been presented but no one is able to state an algorithm that finds the optimal route in polynomial time.

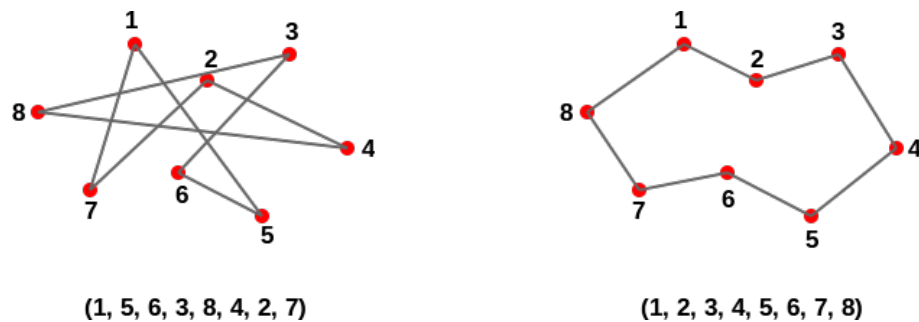


Figure 1.1: A visualization of symmetric TSP. (a) a twisted bad route (b) the optimum route

Considerable number of variations exists for the TSP. Some of these are; asymmetric TSP, multiple TSP, clustered TSP etc. In symmetric TSP (STSP) each city pair have the same distance for both directions. We represent an example of STSP in Figure 1.1. Figure 1.1 (a) and (b) show a non-optimal solution and the

optimal solution to the example STSP respectively. Asymmetric TSP is similar to the symmetric TSP where the distance between two cities is not equal or no path exists for one direction. In multiple TSP, salesmen collaborate to achieve a target solution. In clustered TSP, there are clusters composed of adjacent cities. These clusters behave as a single city to decrease the number of cities and therefore improve running time. We focus on STSP in this thesis.

In history, two types of algorithms are designed for this challenge; exact algorithms and heuristic algorithms. Exact algorithms apply brute force search techniques and try to minimize the search space with specific constraints. They may find the optimal tour but the time complexity is not satisfactory especially when the number of cities is large, i.e.  $N > 1000$ . Linear programming is an example of exact algorithms. Concorde TSP Solver is arguably the best program [1] to solve TSP using linear programming concepts.

Heuristic algorithms traverse the search space randomly and try to approach the global optimum. For these approaches, we are less likely to reach the global optimum as exact algorithms do. On the other hand, time complexities of the heuristic algorithms are far better than the exact algorithms. Ant colony optimization and simulated annealing algorithms are well-known examples of heuristic approaches. They can compete with genetic algorithms [2] [3] [4] and can also perform as internal GA elements.

GA's are one of the optimization algorithms. A typical GA has several steps. We first initialize an individual (chromosome) array, i.e. population. Then we iterate on this population until a satisfactory solution is found. The unit of iteration is known as a generation, and an iteration consists of applying operators consistent with the nature of the GA. The basic operators are crossover and mutation.

Crossover operator exchanges information between two individuals known as parents. We select the parents with a selection method and form offspring(s) from the chromosomes of parents. The selection method is based on a fitness value which indicates the wellness of an individual.

Mutation operator is often executed on a single individual. It is inspired by the nature to diversify the chromosomes of the population. This operator tries to prevent the algorithm from getting stuck at local optima. At each generation, operators try to improve the population to reach a near-optimal solution.

In TSP, GA's are suffering from getting stuck at local optima because of the ordering issues in the routing structure. So, using a pure GA for TSP is not a strong idea. Classical crossover operators are not suitable for TSP. In the literature, many authors have proposed useful crossover designs to meet TSP features. Some handy mutation operators are also proposed in the literature to solve TSP with GA. Other elements that work well with GA on TSP are local operators, hybrid approaches, artificial chromosome generator, etc. The designer should be aware of effective cooperation between crossover, mutation, and local operators.

In this thesis, we try to solve STSP with a specialized GA approach. We propose greedy  $k$ -nn crossover as the crossover model and a new selection method named greedy selection. We compare these novel elements with their counterparts from the TSP literature. In addition, we analyze how multiple populations improve solution quality with smart immigration. According to experimental results, multiple population design outperforms single population in terms of tour quality.

This thesis is organized as follows: We give the literature review on GA's in Chapter 2. We explain our proposed approach in detail in Chapter 3. We give experimental results in Chapter 4 and conclude in Chapter 5.

## Chapter 2

### Literature Review

There is no wonder why TSP is so challenging. Being in the problem set of NP-Hard and its simple formulation, so many people are fascinated to propose a solution. Due to its exponential running time, researchers tend to solve this problem via heuristic approaches rather than exact algorithms. According to its simple structure and tweakable form, GA's can stand as a role model for all other heuristic optimizations in TSP. For this reason, many authors have proposed an hybrid structure of GA to solve TSP. We present this hybrid model in Figure 2.1.

We first initialize the population. According to the parameters of the approach, we apply selected elements to get a new population. If the best individual of the new population satisfies a pregiven criteria, we stop and return to the best solution. Otherwise, we continue iteration by applying selected elements to the new population.

Section 2.1 explains different representations of a chromosome. Section 2.2 describes selection methods. Sections 2.3 and 2.4 cover fundamental genetic operators crossover and mutation for TSP respectively. Local operators are described in Section 2.5.



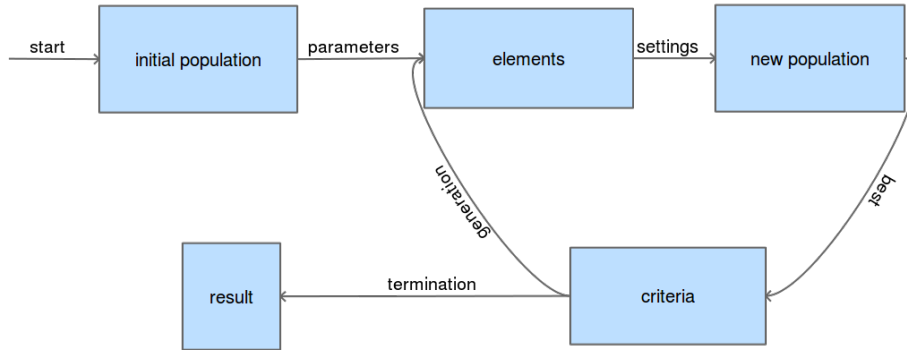


Figure 2.1: Hybrid GA Model

## 2.1 Representations

In the literature, researchers have proposed several alternatives to represent chromosomes in GA for TSP. Well known representations are binary representation, path representation, adjacency representation, ordinal representation, and matrix representation.

In binary representation, we encode  $N$  cities using  $\lceil \log_2 N \rceil$  bits. The tour given in Figure 1.1 (a) is represented as:

$$(\underbrace{000}_0 \underbrace{100}_4 \underbrace{101}_5 \underbrace{010}_2 \underbrace{111}_7 \underbrace{011}_3 \underbrace{001}_1 \underbrace{110}_6)$$

Path representation is the most used representation. We basically represent the tour in the Figure 1.1 (b) as follows:

$$(1, 2, 3, 4, 5, 6, 7, 8)$$

Path representation is a base representation to solve TSP using GA analogy. We assume that all paths should be cyclic to provide a complete tour. Our proposed algorithm uses path representation.

There exist also other representations; such as adjacency, ordinal and matrix representations. But, these representations are not used anymore for GA approaches.

## 2.2 Selection

Selection is very critical in GA because the process will be completely random without it. The element is inspired by the natural selection phenomenon of the evolution. Crossover designs work better with specific selection methods to improve the population quality. Such selection methods are; roulette wheel selection (RWS) and tournament selection (TS).

RWS algorithm 2.2 is based on the idea that, the individual's selection probability is proportional with its fitness score like in the natural selection. In RWS, all individuals are sorted according to their fitness scores (Lines 1-2). We calculate an array of sum of fitnesses for the population (Line 3). *sumOfFitnesses[i]* stores the sum of fitnesses of all individuals indexes through 0 to *i*. Then, we generate a random value between 0 and last element of the array which is sum of all fitnesses of the population (Line 4). To select an index, we iterate through the array and look for the first index where the random value is larger than the sum of fitnesses until that index (Lines 5-9).

---

**Algorithm 1** Roulette Wheel Selection

---

```
1: population.evaluateFitnesses()
2: population.sortIndividuals()
3: sumOfFitnesses = population.generateSumOfFitnesses()
4: value = random(0, sumOfFitnesses[lastElement])
5: for i = 0 to sumOfFitnesses.length do
6:   if value < sumOfFitnesses[i] then
7:     return i
8:   end if
9: end for
```

---

In TS algorithm 2, we first evaluate and sort all the chromosomes in a population (Lines 1-2). Then, we simply generate a random number to pick that amount of individuals from the population (Lines 3-4). Then we select the fittest among them (Lines 5-11). Setting *aNumber* as 1 will result in 100% random selection.

---

**Algorithm 2** Tournament Selection

---

```
1: population.evaluateFitnesses()
2: population.sortIndividuals()
3: shortest =  $\infty$ 
4: aNumber = random(0, N)
5: for i = 0 to aNumber do
6:   index = random(0, N)
7:   if length(tour[index]) < shortest then
8:     shortest = length(tour[index])
9:     selectedIndex = index
10:  end if
11: end for
```

---

Table 2.1: Well-known crossover operators in the literature

Operator Name	Paper
Partially Mapped Crossover <b>PMX</b>	[5]
Order Crossover <b>OX</b>	[5]
Cycle Crossover <b>CX</b>	[6]
Distance Preserving Crossover <b>DPX</b>	[7], [8]
Alternating Positions Crossover <b>APX</b>	[5]
Greedy Crossover <b>GX</b>	[9]
Complete Subtour Exchange Crossover <b>CSX</b>	[10], [11]
Sorted Match Crossover <b>SMX</b>	[5]

### 2.3 Crossover

The most important operator of GA is crossover. Search space is explored globally with this operator. Without crossover, GA wouldn't be much of a global search algorithm. A good crossover implementation for a TSP application should have some characteristics.

For example, one needs to preserve good edges of chromosomes through generations and introduce new edges that leads offspring to improve. While some crossover operators provides fast convergence to global optimum, there are also some other crossover ideas focusing on clever swapping which improves diversity level. Table 2.1 shows the well-known crossover operators in the TSP literature.

### 2.3.1 Partially Mapped Crossover

Partially Mapped Crossover (PMX) is one of the most studied operators in the literature. It exchanges information between two parents with swapping mechanism.

---

**Algorithm 3** Partially Mapped Crossover

---

```
1: cutPoint1 = random(0, N)
2: cutPoint2 = random(0, N)
3: subtour1 = parent1.getSubtour(cutPoint1, cutPoint2)
4: subtour2 = parent2.getSubtour(cutPoint1, cutPoint2)
5: offspring1.setSubtour(subtour2, cutPoint1, cutPoint2)
6: offspring2.setSubtour(subtour1, cutPoint1, cutPoint2)
7: for i = 0 to N do
8:   if i <= cutPoint1 or i >= cutPoint2 then
9:     if parent1[i].memberOf(subtour2) then
10:      offspring1[i] = getMapping(subtour2[i])
11:    else
12:      offspring1[i] = parent1[i]
13:    end if
14:    if parent2[i].memberOf(subtour1) then
15:      offspring2[i] = getMapping(subtour1[i])
16:    else
17:      offspring2[i] = parent2[i]
18:    end if
19:  end if
20: end for
```

---

In partially mapped crossover 3, we select two cutting points from parents (Lines 1-2). We get subtours between cutpoints of the parents (Lines 3-4). Mapping function is derived from subtours, i.e.  $\text{subtour1}[i] \Leftrightarrow \text{subtour2}[i]$ . We set these subtours as subtour of the offsprings (Lines 5-6). Then, we start copying cities from parent  $i$  to offspring  $i$  excluding the subtour area (Line 7). In the case that a city exists in the subtour area, we use the mapping function to add the city in the offspring (Lines 8-19).

parent 1: (1, 6, [4, 5, 2], 3, 7, 8) parent 2: (4, 2, [3, 6, 1], 5, 8, 7)

offspring 1: (2, 5, [3, 6, 1], 4, 7, 8) offspring 2: (3, 1, [4, 5, 2], 6, 8, 7)

We select the subtours as [4, 5, 2] and [3, 6, 1] from *parent1* and *parent2* respectively. And we copy cities from parent *i* to offspring *i*, where *i* starts from first index to *N* excluding subtour area. If we encounter a city exists, we use the mapping function to form a legal tour.

### 2.3.2 Cycle Crossover

Cycle Crossover is one of the most studied crossovers in the TSP literature.

---

#### Algorithm 4 Cycle Crossover

---

```

1: currParent = parent1
2: city = currParent.getFirstCity()
3: offspring.add(city)
4: while offspring not complete do
5:   cityIndex = city.getIndex()
6:   currParent = switchParent()
7:   city = currParent.getCity(cityIndex)
8:   offspring.add(city)
9: end while

```

---

In cycle crossover 4, we set current parent to *parent1* (Line 1). We get the first city of *parent1* and add it to the *offspring* (Lines 2-3). While the *offspring* is not complete (Line 4), we take the city index of the last city that is inserted into the *offspring* (Line 5). Then, we switch *parent2* to get the city which is located in that city index (Line 6). As the iteration continues, we add that city to the *offspring* (Line 8).

parent 1: (1, 6, 4, 5, 2, 3) parent 2: (4, 2, 3, 6, 1, 5)

offspring: (1, 2, 6, 5, 3, 4)

We take the first city of *parent1* which is city 1. Then, we look for the index of city 1 in *parent2* which is city 2. We add city 2 to the offspring. After, we look for the index of city 2 in *parent1* which is city 6. We add city 6 to the offspring. And the process continues with this analogy.

### 2.3.3 Order Crossover

Order Crossover (OX) is one of the most studied crossovers in the literature. This element outperforms its competitors PMX and CX in terms of performance.

---

**Algorithm 5** Order Crossover

---

```
1: cutPoint1 = random(0, N)
2: cutPoint2 = random(0, N)
3: subtour1 = tour1.getSubtour(cutPoint2, cutPoint1)
4: subtour2 = tour2.getSubtour(cutPoint2, cutPoint1)
5: tour1.orderSubtourBy(subtour1, tour2)
6: tour2.orderSubtourBy(subtour2, tour1)
```

---

In order crossover 5, since TSP paths are cyclic, we simply take subtours from *cutPoint2* to *cutPoint1* (Lines 1-4). Next, we produce subtour of *offspring1* (*offspring2*) by sorting the subtour of *parent1* (*parent2*) according to their order of appearances in *parent2* (*parent1*). The subtour always starts with the smallest indexed city (Lines 5-6).

parent 1: (1, 6], 4, 5, 2, [3, 7, 8) parent 2: (4, 2], 3, 6, 1, [5, 8, 7)

offspring 1: (3, 6], 4, 5, 2, [1, 8, 7) offspring 2: (4, 5], 3, 6, 1, [2, 7, 8)

We have 2 subtours [3, 7, 8, 1, 6] and [5, 8, 7, 4, 2] from *parent1* and *parent2* respectively. We sort these subtours by the order of appearances in the other parent starting from the smallest indexed city.

### 2.3.4 Distance Preserving Crossover

In distance preserving crossover 6, we extract all common subtours from the parents (Line 1). Next we choose a random subtour and put it in the offspring (Lines 2-3). After that, we form the offspring by merging the common subtours. We do not prefer a common subtour to merge with the offspring if an edge of that subtour already exists in one of the parents (Lines 5-12). We remove a subtour if it is used (Lines 4, 9).

---

**Algorithm 6** Distance Preserving Crossover

---

```
1: subtours = Tour.getCommonSubtours(tour1, tour2)
2: aSubtour = random(0, subtours.length)
3: offspring.add(aSubtour)
4: subtours.remove(aSubtour)
5: while !subtour.isEmpty() do
6:   aSubtour = random(0, subtours.length)
7:   if !aSubtour.edgeOf() then
8:     offspring.add(aSubtour)
9:     subtours.remove(aSubtour)
10:  end if
11: end while
```

---

parent 1: (1, 6, 4, 5, 7, 2, 3) parent 2: (4, 2, 3, 7, 6, 1, 5)

subtours: ([1, 6], [4, 5], [7], [2, 3])

offspring: ([4, 5], [3, 2], [6, 1], [7])

We select a random subroute [4, 5] and put it into the offspring. Then, we form the offspring from the other subtours with the instructions stated above.

### 2.3.5 Alternating Positions Crossover

In this crossover model we pick cities from *parent1* and *parent2* one by one excluding the one's that exist in the offspring.

---

**Algorithm 7** Alternating Positions Crossover

---

```
1: currParent = parent1
2: while offspring not complete do
3:   city = currParent.getNextCity()
4:   if !memberOf(offspring, city) then
5:     offspring.add(city)
6:   end if
7:   currParent = switchParents()
8: end while
```

---

In Alternating Positions Crossover 7, we set current parent to *parent1* (Line 1). While the *offspring* is not yet complete (Line 2), we get the next available city from the current parent (Line 3). We add the city in the *offspring* as long as

it is not a member of the *offspring* (Lines 4-6). At the end of iteration, current parent is switched to the other one (Line 7).

parent 1: (1, 6, 4, 5, 2, 3) parent 2: (4, 2, 3, 6, 1, 5)

offspring: (1, 4, 6, 2, 3, 5)

Starting from the smallest index, we get the cities to form the *offspring* from *parent1* and *parent2* respectively. If a city exists in the *offspring* we proceed to the next available city.

### 2.3.6 Greedy Crossover

GX targets using edge values thus leading fast convergence. GX tries to exchange information between parents with the logic of choosing closer cities while forming the offspring.

In greedy crossover 8, we select the first city of *parent1* and add it to the offspring (Lines 1-2). Then, we iterate until *offspring* represents a complete tour (Line 3). At each iteration, we determine the next city of the paths in both parents (Lines 4-5). If both of them do not exist in the *offspring*, we compare them with respect to their edge length (Line 6). The shorter edge will be added to the *offspring* and current city is updated for the next iteration (Lines 7-13). If the next city of *parent1* is available only, we add it to the *offspring* (Lines 14-16). We do the same for *parent2* (Lines 17-19). If next cities of both parents exist in the *offspring*, we simple get a suitable city that is not exists in the *offspring* and update the current city. (Lines 20-24).

parent 1: (1, 6, 4, 5, 2, 3) parent 2: (4, 2, 3, 6, 1, 5)

offspring: (1, 5, 2, 3, 6, 4)

For this example, we use the distance matrix 3.1. We start from the first city of *parent1* which is city 1. Candidate edges are: [1, 6] and [1, 5].  $D(1, 5) < D(1,$



---

**Algorithm 8** Greedy Crossover

---

```
1: city = parent1.getFirstCity()
2: offspring.add = city
3: while offspring not complete do
4:   next1 = parent1.getCity(city).next()
5:   next2 = parent2.getCity(city).next()
6:   if !memberOf(offspring, next1) AND !memberOf(offspring, next2) then
7:     if next1 < next2 then
8:       offspring.add(next1)
9:       city = next1
10:    else
11:      offspring.add(next2)
12:      city = next2
13:    end if
14:  else if !memberOf(offspring, next1) then
15:    offspring.add(next1)
16:    city = next1
17:  else if !memberOf(offspring, next2) then
18:    offspring.add(next2)
19:    city = next2
20:  else
21:    suitableCity = getSuitableCity()
22:    offspring.add(suitableCity)
23:    city = suitableCity
24:  end if
25: end while
```

---

6). We continue with the city 5. Candidate edges are: [5, 2] and [5, 4].  $D(5, 2)$  is shorter. For city 2 we have both city 3 connected in both parents. City 3 has one suitable connection which is city 6. Finally, we complete the *offspring* by adding the last suitable city which is city 4.

### 2.3.7 Complete Subtour Exchange

Protecting and reversing routes for next generations seem to be appropriate to produce better offsprings in TSP according to our observations. This crossover operator focuses on swapping common subtours of chromosomes to obtain feasible offsprings for ongoing generations. In this crossover,  $2 \times 2^{sub} - 2$  offsprings created, where sub represents the number of distinct common subtours.

---

**Algorithm 9** Complete Subtour Exchange

---

```
1: subtours = getCommonSubtours(parent1, parent2)
2: offsprings = new Offspring[2 times 2sub - 2]
3: for i = 0 to 2 times 2sub - 2 do
4:   offsprings[i] = new Offspring(subtours)
5: end for
```

---

Complete subtour exchange 9 works as follows: We get common subtours from parents (Line 1). We create an offspring array of length 2 times 2<sup>sub</sup> - 2 (Line 2). Then we form the *offsprings* by combining the subtours in every possible combination including their reverse order (Lines 3-5).

parent 1: ([1, 6], [4, 5], [2, 3]) parent 2: (4), [2, 3], [6, 1], [5]

offsprings: {([1, 6], [5, 4], [2, 3]), ([1, 6], [5, 4], [3, 2]), ([1, 6], [4, 5], [3, 2]), ([6, 1], [5, 4], [2, 3]), ([6, 1], [5, 4], [3, 2]), ([6, 1], [4, 5], [3, 2]), ([6, 1], [4, 5], [2, 3]), (4), [3, 2], [6, 1], [5], (4), [3, 2], [1, 6], [5], (4), [2, 3], [1, 6], [5], (5), [2, 3], [6, 1], [4], (5), [2, 3], [1, 6], [4], (5), [3, 2], [6, 1], [4], (5), [3, 2], [1, 6], [4]}

The common subtours are listed: {[1, 6], [4, 5], [2, 3]}. *offsprings* are formed with all possible combinations including reversed order of the subtours.

### 2.3.8 Sorted Match Crossover

SMX tries to find common paths of same length and set of cities which are also start end with the same cities. We use the shorter one for the offspring.

---

**Algorithm 10** Sorted Match Crossover

---

```
1: subtour1, subtour2 = getCommonSubtours(parent1, parent2)
2: if length(subtour1) < length(subtour2) then
3:   offspring = parent2
4: else
5:   offspring = parent1
6: end if
7: offspring.swapTours(subtour1, subtour2)
```

---

In algorithm 10, we get common paths having properties as stated above (Line 1). After, we form the *offspring* from the parent that have longer subtour (Lines 2-5). Then, we swap the subtours to get a shorter path for the *offspring*.

parent 1: (1, 6, [4, 2, 5, 3]) parent 2: ([4, 5, 2, 3], 1, 6)

offspring: (1, 6, [4, 5, 2, 3])

For these two parents we have two common subtours which are [4, 5, 2, 3] and [4, 2, 5, 3] having lengths 46 and 100 respectively according to distance matrix 3.1. We use [4, 5, 2, 3] for *parent1*.

## 2.4 Mutation

Another important GA operator is the mutation operator. It helps the algorithm to jump out of the local optima. Various mutation operators have been developed for TSP, each of which states a local modification of an individual. The operator is completely blind unless there is a special implementation applied for it. Multiple mutations and improving mutations are examples of special implementations. Therefore, diversity is provided by this operator through generations. On the other hand executing this operator with a small random probability protects most of the individuals.

In a traditional GA, if we take mutation out of the approach, most probably lots of applications can no longer produce different individuals after a certain amount of generations. Here, we present the well-known mutation operators in the TSP literature in detail. Table 2.2 shows the well-known mutation operators in the TSP literature.

Table 2.2: Well-known mutation operators in the literature

Operator Name	Paper
Exchange Mutation <b>EM</b>	[5], [12]
Insertion Mutation <b>IM</b>	[5], [12]
Displacement Mutation <b>DM</b>	[5], [12]
Simple Inversion Mutation <b>SIM</b>	[5]
Inversion Mutation <b>IVM</b>	[5]
Scramble Mutation <b>SM</b>	[5]
Ends Exchange Mutation <b>ESEM</b>	[12]
Reverse Ends Mutation <b>RESM</b>	[12]
Reverse Ends Exchange Mutation <b>RESEM</b>	[12]

### 2.4.1 Reciprocal Exchange Mutation

REM is the classical swap mutation of the traditional GA design. It is shown in algorithm 11

---

**Algorithm 11** Reciprocal Exchange Mutation

---

```

1: function REM(city1 = random(0, N), city2 = random(0, N))
2:   tour.swap(city1, city2)
3: endfunction

```

---

We simply select two cities for the function REM (Line 1) and swap them (Line 2).

before: (1, 6, 4, 5, 2, 3)

after: (1, 2, 4, 5, 6, 3)

To apply REM, we swap the cities 6 and 2.

### 2.4.2 Insertion Mutation

IM 12 is similar to EM rather a city is removed from the tour and inserted into another randomly chosen place consequently.

---

**Algorithm 12** Insertion Mutation

---

```
1: function IM(oldIndex = random(0, N), newIndex = random(0, N))
2:   aCity = tour.removeCity(oldIndex)
3:   tour.insertCity(newIndex, aCity)
4: endfunction
```

---

A random city's current and new index are given to the function IM as inputs (Line 1) and removed from the route (Line 2). At the second stage, the removed city is inserted into a random place (Lines 3).

before: (1, 6, 4, 5, 2, 3)

after: (1, 6, 5, 2, 4, 3)

We remove the city 4 from the individual which leads to the subtour [1, 6, 5, 2, 3]. Then, we insert city 4 to a random position of the subtour to form a complete path.

### 2.4.3 Displacement Mutation

An extended version of IM is DM where a subroute is exchanged rather than a single city. It is shown in algorithm 13

---

**Algorithm 13** Displacement Mutation

---

```
1: function DM(oldIndex = random(0, N), length = random(0, N), newIndex =
   random(0, N))
2:   aSubtour = tour.removeSubtour(oldIndex, length)
3:   tour.insertSubtour(newIndex, aSubtour)
4: endfunction
```

---

For the DM function, we have 3 inputs for displacing a random subtour, (Line 1) which is derived from a starting index and length, is removed from the route (Line 2). At the second stage, the removed subtour is inserted to the same route into a random place (Line 3).

before: (1, [4, 6, 5], 2, 3)

after: (1, 2, [4, 6, 5], 3)

We remove the subtour [4, 6, 5] from the tour. We have [1, 2, 3] remained. Then, we insert subtour [4, 6, 5] into a random position of the individual to form a complete path.

#### 2.4.4 Simple Inversion Mutation

SIM is the reversed version of DM which is described in algorithm 14.

---

**Algorithm 14** Simple Inversion Mutation

---

```

1: function SIM(index = random(0, N), length = random(0, N))
2:   aSubtour = tour.getSubtour(index, length)
3:   return reverse(aSubtour)
4: endfunction

```

---

We call SIM with inputs index and length (Line 1). A random subroute which is obtained from the inputs, selected for reversal (Line 2) and that random subroute is reversed (Line 3).

before: (1, [4, 6, 5], 2, 3)

after: (1, [5, 6, 4], 2, 3)

We reverse the subtour [4, 6, 5].

#### 2.4.5 Inversion Mutation

IVM 15 is a variation of SIM where reversed subroute is inserted to the route just like the insertion pattern followed in IM and DM.

---

**Algorithm 15** Inversion Mutation

---

```

1: function IVM(oldIndex = random(0, N), length = random(0, N), newIndex
   = random(0, N))
2: SIM(oldIndex, length)
3: DM(oldIndex, length, newIndex)
4: endfunction

```

---

First, we call the function IVM with input parameters: oldIndex, length, and newIndex (Line 1). SIM function is invoked to reverse the subtour derived from

oldIndex and length (Line 2). As a final step, we call the function DM to displace it to newIndex (Line 3).

before: (1, [4, 6, 5], 2, 3)

after: (1, 2, [5, 6, 4], 3)

We remove the subtour [4, 6, 5]. Then, place its reversed version [5, 6, 4] into a random place in the individual.

### 2.4.6 Scramble Mutation

Scramble Mutation 16 has the maximum number of enhancements according to the paper [5].

---

**Algorithm 16** Scramble Mutation

---

```
1: function SM(index = random(0, N), length = random(0, N))
2:   aSubtour = tour.getSubtour(index, length)
3:   return scramble(aSubtour)
4: endfunction
```

---

First, we call the function SM with index and length inputs (Line 1). Then, by using these inputs we select a random subtour (Line 2) and scramble it (Line 3).

before: (1, [4, 6, 5], 2, 3)

after: (1, [6, 5, 4], 2, 3)

We scramble the subtour [4, 6, 5] leading [6, 5, 4].

### 2.4.7 Ends Exchange Mutation

ESEM 17 is similar to DM but we use it twice for the ends of the individual.

We invoke ESEM (Line 1). Then, we apply DM to the ends of the individual with selected *length* (Lines 2-3).

---

**Algorithm 17** Ends Exchange Mutation

---

```
1: function ESEM(length = random(0, N/2))
2:   DM(0, length, N)
3:   DM(N - (length times 2), length, 0)
4: endfunction
```

---

before: ([1, 4], 6, 5, [2, 3])

after: ([2, 3], 6, 5, [1, 4])

We simply swap two subtours [1, 4] and [2, 3] from the ends of the individual.

### 2.4.8 Reverse Ends Mutation

RESM 18 is similar to SIM but we use it twice for the ends of the chromosome.

---

**Algorithm 18** Reverse Ends Mutation

---

```
1: function RESM(length = random(0, N/2))
2:   SIM(0, length)
3:   SIM(N - length, N)
4: endfunction
```

---

We call the function RESM (Line 1). Then, we apply SIM to the ends of the individual with selected *length* (Lines 2-3).

before: ([1, 4], 6, 5, [2, 3])

after: ([4, 1], 6, 5, [3, 2])

We reverse the subtours [1, 4] and [2, 3] from the ends of the chromosome.

### 2.4.9 Reverse Ends Exchange Mutation

RESEM 19 is similar to IVM but we use it twice for the ends of the individual. The subtour length should be  $\leq N/2$ .

We invoke RESEM (Line 1). Then, we apply IVM to the ends of the individual with selected *length* (Lines 2-3).



---

**Algorithm 19** Reverse Ends Exchange Mutation

---

```
1: function RESEM(length = random(0, N/2))
2:   IVM(0, length, N)
3:   IVM(N - (length times 2), length, 0)
4: endfunction
```

---

Table 2.3: Well-known local operators in the literature

Operator Name	Paper
2-Opt	[7], [13], [14]
3-Opt	[8]
Lin-Kernighan-Opt	[8]
Remove Sharp	[15]
LocalOpt	[15]
Untwist	[16]

before: ([1, 4], 6, 5, [2, 3])

after: ([3, 2], 6, 5, [4, 1])

We both apply reversing and swapping to the subtours [1, 4] and [2, 3].

## 2.5 Local Operators

If we compare an ordinary tour with the optimum tour, an human eye can easily detect the local problems in the ordinary tour. After several observations, we are aware of some common problem patterns, i.e. twisted routes. A local optimization, by the name itself, optimizes the route by solving these local problems. A local optimization with a loss of quality in individual score may serve as a global mutation operator for the algorithm, so we refer to local operators as global mutations. Table 2.3 shows the well-known local operators in the literature.

### 2.5.1 2-opt

It is one of the most popular local operators in the literature. 2-opt searches the best swapping of all dual pairs of the individual.

---

**Algorithm 20** 2-opt

---

```
1: shortest = length(tour)
2: for i = 0 to N - 1 do
3:   for j = i + 1 to N - 2 do
4:     newTour = tour.2optSwap([i, i+1], [j, j+1])
5:     if length(newTour) < shortest then
6:       shortest = length(newTour)
7:     end if
8:   end for
9: end for
```

---

2-opt algorithm 20 searches the best swapping from all dual pairs of the individual (Lines 2-3). If the score improves after the swap, 2optSwap is applied and the shortest tour is decided (Lines 4-6).

---

**Algorithm 21** 2optSwap

---

```
1: function 2optSwap([x1, x2], [y1, y2])
2:   [x1, x2].cut()
3:   [y1, y2].cut()
4:   [x1, y2].merge()
5:   [x2, y1].merge()
6: endfunction
```

---

In 2optswap algorithm 21, we invoke 2optSwap with two edge inputs (Line 1). We cut those 2 edges (Lines 2-3). Then, we merge the cities with the second possible option (Lines 4-5).

### 2.5.2 3-opt

It is one of the most popular local operators in the literature. 3-opt searches the best swapping from all ternary pairs of the individual. 3-opt mechanism is a version of 2-opt.

---

**Algorithm 22** 3-opt

---

```
1: shortest = length(tour)
2: for i = 0 to N - 1 do
3:   for j = i + 1 to N - 2 do
4:     for k = j + 1 to N - 3 do
5:       newTour = tour.3optSwap([i, i+1], [j, j+1], [k, k+1])
6:       if length(newTour) < shortest then
7:         shortest = length(newTour)
8:       end if
9:     end for
10:  end for
11: end for
```

---

In 3-opt algorithm 22, we search for the best ternary pairs (Lines 1-3). At the second stage, 3optSwap is used to determine the shortest path in the current iteration (Lines 4-7).

---

**Algorithm 23** 3optSwap

---

```
1: function 3optSwap([x1, x2], [y1, y2], [z1, z2])
2:   [x1, x2].cut()
3:   [y1, y2].cut()
4:   [z1, z2].cut()
5:   edges = getShortestEdgeCombination(x1, x2, y1, y2, z1, z2)
6:   edges.merge()
7: endfunction
```

---

3optSwap algorithm 23 is called with 3 edge parameters (Line 1). The function is about cutting those 3 edges from the tour (Lines 2-4). Then, the shortest combination of the edges are merged (Lines 5-6).

### 2.5.3 Lin-Kernighan Opt

Lin-Kernighan approach uses path swapping with  $k$ -opt technique where  $k$  is determined by the algorithm itself at each iteration.  $k$  is mostly 2 or 3.

In Lin-Kernighan opt algorithm 24, we iterate on all edge pairs (Line 1). After, we remove 2 or 3 edges from tour (Line 2). These removed edges are said to be the worst edges of the tour. So, replacing them with feasible subtours will minimize the tour length (Line 3).

---

**Algorithm 24** Lin-Kernighan Opt

---

```
1: for iterate over all dual or ternary edge pairs do
2:   apply 2-opt or 3-opt to the tour.
3:   try all subtour combinations to minimize the tour length.
4: end for
```

---

#### 2.5.4 Remove Sharp

Remove Sharp removes a city from the tour. Then, the operator inserts the city into before and after all of its  $k$ -nn. The insertion place where the shortest value in tour length is selected for the tour construction.

---

**Algorithm 25** Remove Sharp

---

```
1: function removesharp(city = random(0, N))
2:   shortest = length(tour)
3:   aCity = tour.removeCity(city)
4:   for i = 0 to k do
5:     tour.insertCity(i, aCity)
6:     if length(tour) < shortest then
7:       shortest = length(tour)
8:       shortestIndex = i
9:     end if
10:  tour.removeCity(i, aCity)
11: end for
12:  tour.insertCity(shortestIndex, aCity)
13: endfunction
```

---

removesharp algorithm 25 takes two parameters (Line 1). The operator removes a random city from the tour resulting a cyclic path of  $N - 1$  cities (Line 3). The removed city is inserted into the path before and after the all  $k$ -nearest neighbours of the city to look for which tour gives the shortest path among all (Lines 4-11). As a last step, we insert the selected city which makes the tour shortest (Line 12).

#### 2.5.5 LocalOpt

LocalOpt selects a subtour from the tour. Then we try all possible combinations of the tour to construct the shortest one among all combinations.

---

**Algorithm 26** LocalOpt

---

```
1: function localopt(oldIndex = random(0, N), length = random(0, N))
2:   aSubtour = tour.removeSubtour(oldIndex, length)
3:   shortest = length(aSubtour)
4:   subtours = aSubtour.getCombinations()
5:   while subtours.hasNext() do
6:     aSubtour = subtours.next()
7:     if length(aSubtour) < shortest then
8:       shortest = length(aSubtour)
9:     end if
10:  end while
11: endfunction
```

---

LocalOpt algorithm 26 takes two inputs (Line 1) to select *aSubtour* from the tour (Line 2). We get all possible combinations of the tour to construct a shorter one (Line 4). The best combination is selected as the shortest path of the cities (Lines 5-10).

### 2.5.6 Untwist

Untwist by the name itself, deals with twisted routes. If there are no twisted routes, it means that we are close to the global optimum.

---

**Algorithm 27** Untwist

---

```
1: function untwist(i = random(0, N), j = random(0, N))
2:   if  $D(C_i, C_{i-1}) + D(C_j, C_{j+1}) > D(C_j, C_{i-1}) + D(C_{j+1}, C_i)$  then
3:     tour.deleteEdges([i, i-1], [j, j+1])
4:     tour.addEdges([j, i-1], [i, j+1])
5:   end if
6: endfunction
```

---

For untwist algorithm 27, we generate two cities for the function untwist as inputs (Line 1). Then, we change the edges of the cities *i* and *j* in case that the fitness score improves related to the formula (Lines 2-5).

## Chapter 3

### Proposed Algorithm

#### 3.1 Greedy $k$ -nn Crossover

Before implementing our special algorithm, we have analyzed how an optimal tour looks like for a specific data. We observed that in an optimal route two cities belonging to an edge are closely related in a  $k$ -nearest neighbour way. A city  $C_1$  is in the  $k$ -nn list of city  $C_2$ ;  $C_1$  is one of the closest  $k$  cities of city  $C_2$ . Mostly, we found that, a city is connected to its first, second or third nearest neighbour in the optimal tour as shown in Table 3.2. So, we have decided to implement a crossover method to satisfy the  $k$ -nn logic. We name this novel crossover method as greedy  $k$ -nn crossover. It is called greedy because we extend the abilities of the greedy crossover [9], where it provides us a base for our new approach.

Table 3.1: Distance Matrix D

$$D = \begin{bmatrix} \infty & 72 & 36 & 12 & 4 & 5 \\ 72 & \infty & 14 & 89 & 1 & 73 \\ 36 & 14 & \infty & 6 & 10 & 19 \\ 12 & 89 & 6 & \infty & 31 & 99 \\ 4 & 1 & 10 & 31 & \infty & 6 \\ 5 & 73 & 19 & 99 & 6 & \infty \end{bmatrix}$$

---

**Algorithm 28** Greedy k-nn Crossover

---

```
1: city = parent1.getFirstCity()
2: offspring.add(city)
3: while offspring not complete do
4:   next1 = parent1.getCity(city).next()
5:   next2 = parent2.getCity(city).next()
6:   if !memberOf(offspring, next1) AND !memberOf(offspring, next2) then
7:     if next1 < next2 then
8:       offspring.add(next1)
9:       city = next1
10:    else
11:      offspring.add(next2)
12:      city = next2
13:    end if
14:  else if !memberOf(offspring, next1) then
15:    nncity = city.knn(4)
16:    if next1 < nncity then
17:      offspring.add(next1)
18:      city = next1
19:    else
20:      offspring.add(nncity)
21:      city = nncity
22:    end if
23:  else if !memberOf(offspring, next2) then
24:    nncity = city.knn(4)
25:    if next2 < nncity then
26:      offspring.add(next2)
27:      city = next2
28:    else
29:      offspring.add(nncity)
30:      city = nncity
31:    end if
32:  else
33:    nncity = city.knn(4)
34:    offspring.add(nncity)
35:    city = nncity
36:  end if
37: end while
```

---

Table 3.2: The number of  $k$ -nearest neighbours appearing in the optimal tour

$k$ /Dataset	berlin52	eil51	eil76	eil101	kroa100	pcb442
<b>1</b>	42	44	59	83	87	355
<b>2</b>	27	32	46	56	46	294
<b>3</b>	13	9	28	33	28	140
<b>4</b>	6	8	8	13	20	56
<b>5+</b>	16	9	11	17	19	39

In greedy  $k$ -nn crossover 28, we select the first city of *parent1* and add it to the offspring (Lines 1-2). Then, we iterate until offspring represents a complete tour (Line 3). At each iteration, we determine the next city in both parents (Lines 4-5). If both of them do not exist in offspring, we compare them with respect to their edge length (Line 6). The shorter edge will be added to the offspring and current city is updated for the next iteration (Lines 7-13). If the next city of *parent1* is available only, we compare it with a city derived from  $k$ -nn heuristic. The shorter edge will be added to the *offspring* and current city is set as before (Lines 14-22). We do the same process for the condition that only the next city of *parent2* is available (Lines 23-31). If next cities of both parents exist in the offspring, we simple get a city from  $k$ -nn list and update the current city. (Lines 32-36).

Let's say  $k = 2$  and initially we have two parent chromosomes:

parent 1: (1, 6, 4, 5, 2, 3)

parent 2: (4, 2, 3, 6, 1, 5)

According to distance matrix D in Table 3.1, *parent1* has length 274 and parent 2 has 162. First of all, we should have a template which would be the one of parent chromosomes. We select the template chromosome as *parent1*. So city 1 is the first city of the offspring.

offspring: [1]



Then, we locate city 1 in both parents to compare the edges that contains it. (1, 6) and (1, 5) are the candidate edges. Since  $D(1, 5) < D(1, 6)$ , we select edge (1, 5) to add to the offspring.

offspring: [1, 5]

After the offspring's first two cities are formed, we see that city 5 in *parent2* is the last city. For this crossover model, we represent edges in a left to right manner because individuals are processed in the same direction. As a result, taking the first city from a chromosome is the right way to form the edge, if we are trying to find the edge partner of the last city. Candidate edges are: (5, 2) and (5, 4). Since  $D(5, 2) < D(5, 4)$ , we select edge (5, 2) to add to the offspring.

offspring: [1, 5, 2]

Both of the parents have the same edge going out from 2, so we have one candidate edge to select from: namely (2, 3).

offspring: [1, 5, 2, 3]

Edges that contains the city 3 are (3, 1) and (3, 6). The city 1 is already in the offspring. So, our  $k$ -nn model steps into the hybrid crossover design. 2-nn of city 3 is 4, 5. City 5 exists in the *offspring* so we pick city 4 and compare edges (3, 4) and (3, 6). Since  $D(3, 4) < D(3, 6)$ , we select edge(3, 4) to add to the offspring.

offspring: [1, 5, 2, 3, 4]

There are two edges going out from city 4: (4, 2) and (4, 5). Both cities 2 and 5 are in the offspring. So we use  $k$ -nn model to pick a city. There is one suitable city left to put into the *offspring*, so  $k$ -nn will choose that city as expected. Complete *offspring* with length 129 is as follows:

offspring: (1, 5, 2, 3, 4, 6)

### 3.2 Greedy Selection

RWS and TS are the popular selection methods as described in Chapter 2. But initialization of the population with those selection methods result in a chromosome list full of cities having nearly equal scores with these methods. So when selecting cities from the population, we see that best chromosome and worst chromosome do not differ so much.

---

**Algorithm 29** Greedy Selection

---

```
1: initialize population
2: while termination do
3:   value = random(0, 1)
4:   exp = power(value, 4)
5:   index = exp * populationSize
6:   return index
7: end while
```

---

As a result, we have implemented a simple selection method named greedy selection as shown in algorithm 29. First, we get a random value between 0 and 1 (Line 3). Then, we calculate value 4 so that we select better chromosomes (Line 4). Finally, we multiple the value with population size to indicate its index (Line 5). Sorting of individual fitness scores is required for this method to work successfully. This design provides fitter chromosomes to be selected more frequently.

### 3.3 Extended Untwist

We are using this formula for every city pair in an individual rather than selecting one pair in the classical one. This kind of processing reminds us 2-opt. Untwisting a route may result in worse paths or can lead to a more twisted route exclusively in early generations. We tweak local operator untwist, to take more advantage of it.

---

**Algorithm 30** Extended Untwist

---

```
1: for i = 0 to N - 1 do  
2:   for j = i + 1 to N do  
3:     untwist(i, j)  
4:   end for  
5: end for
```

---

Untwist by the name itself, deals with twisted routes. We extend the abilities of this operator by applying this formula to all dual city pairs in a complete tour (Lines 1-2) as shown in algorithm 30.

### 3.4 Other Elements

We choose REM as the mutation element for our system. Our mutation rate is 10%. The best individual survives to the next generation for survival strategy and 10% of the population is replaced with newly created artificial chromosomes to maintain the diversity through the iterations. These chromosomes are called reinforcements. We take  $k$  as 5 through the algorithm. By doing this, the system moves around good paths to approach the global optimum.

According to our preliminary results, the best individual of the population occasionally is not sufficient for a good result. In most of the populations, the best individual have some good and bad parts unless we have successfully approached global optimum. So, we have decided to work with multiple populations. At specific generation intervals, we immigrate the best individuals of all populations to other populations. By doing this, we make all populations collaborate together to reach the optimum route.

### 3.5 The Algorithm

---

**Algorithm 31** Proposed Hybrid GA

---

```
1: population = new Population(populationSize)
2: while generation < numberOfIterations do
3:   newPopulation.add(population.best())
4:   chromosomes = population.greedyknn(greedyselection(), k)
5:   newPopulation.add(chromosomes)
6:   newPopulation.reinforcement(k, reinforcementRate)
7:   if probability <= mutationRate then
8:     chromosome.REM()
9:   end if
10:  chromosome.extendedUntwist()
11:  newPopulation.evaluate()
12:  best = population.best()
13:  population = newPopulation
14: end while
```

---

We present our novel algorithm in algorithm 31. We initiate our population with  $k$ -nn approach (Line 1). We do numberOfIterations (Line 2). At each iteration we protect the best individual from previous population (Line 3) and add reinforcements with 10% rate. After, we mutate an individual with 10% probability (Lines 7-9). Extended untwist is applied to all chromosomes (line 10). Finally, we evaluate the population to determine the fittest individual (Lines 11-12) and clone *newPopulation* to serve for the next generation (Line 13).

## Chapter 4

### Experiments

#### 4.1 Experimental Setup

In order to compare our work with previous approaches we select the datasets that are frequently used in the literature. The datasets that we use are, *berlin52*, *eil51*, *eil76*, *eil101*, *kroa100*, and *pcb442*. The tests are performed on a commodity computer; RAM: 8 GB and CPU: 2.40Ghz \* 4.

Table 4.1: Algorithm Parameters

$k$	5
populationSize	200
crossoverRate	100%
mutationRate	10%
reinforcementRate	10%
numberOfIterations	10000

We run our algorithm 10 times and compare our results with the optimum tours [17]. The algorithm parameters are given in the Table 4.1.

In Figure 4.1 there are 4 datasets; *eil51*, *eil76*, *kroa100* and *berlin52*. These datasets have a small number of cities and a good tour can be guessed.

In Figure 4.2 there are 4 datasets; *kroa150*, *kroa200*, *eil101* and *d198*. These datasets have more number of cities and a good tour is hard to guess.

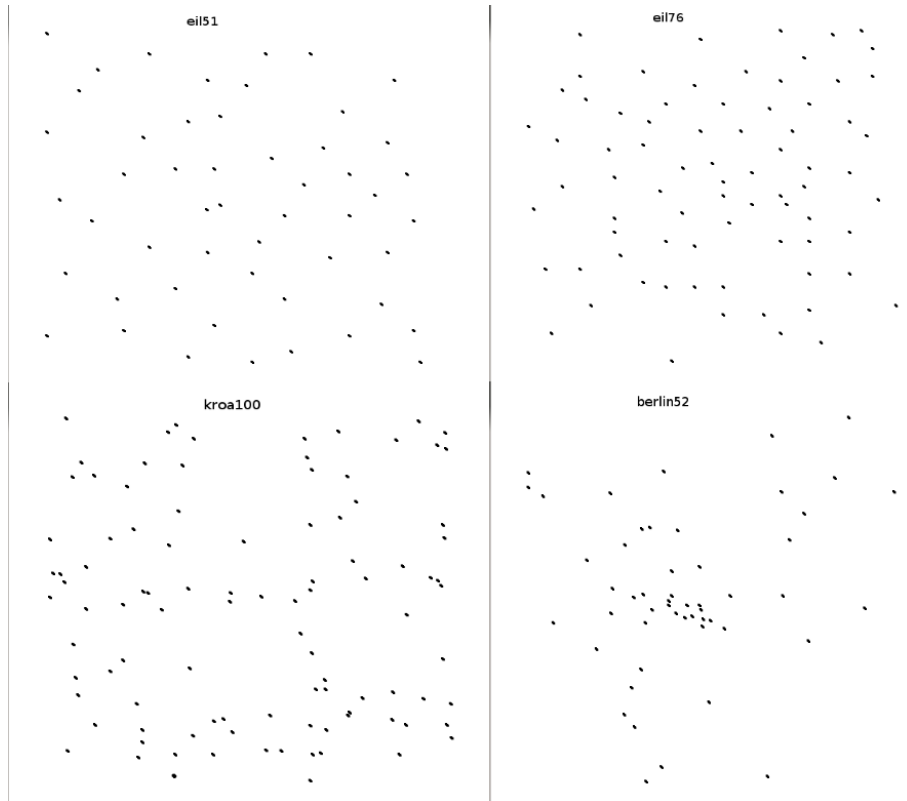


Figure 4.1: Easy datasets

In Figure 4.3 there are 2 datasets; *lin318* and *pcb442*. These dataset have high number of cities and a there are a lot of good tours.

## 4.2 Experimental Results

According to the experimental results shown in the Table 4.2, we reach the optimum tour in small datasets but in bigger datasets we are close to optimum. This is the base experiment table and we compare it with some other parameters. Color green and red indicate a better and worse result respectively.

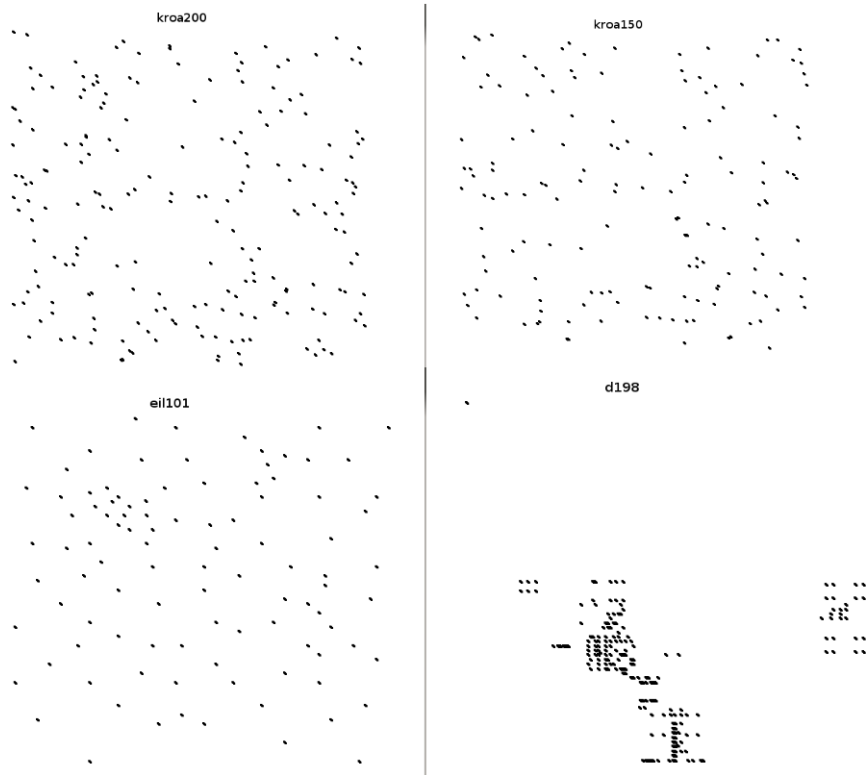


Figure 4.2: Medium datasets

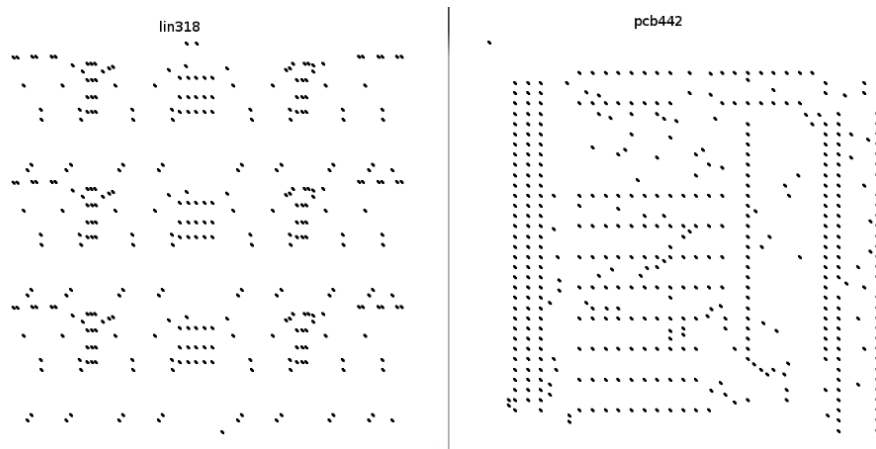


Figure 4.3: Hard datasets

According to the experimental results with multiple populations in Table 4.3, multiple population model outperforms in both best and average tour quality of the dataset *eil101*.

Table 4.2: Experimental Results

Dataset	Optimum	Best	Error (%)	Iteration	Average	Error (%)
berlin52	7544.36	7544.36	0.000	3	7544.36	0.000
eil51	428.87	428.87	0.000	30	428.91	0.010
eil76	544.36	544.36	0.000	48	545.00	0.117
eil101	640.21	640.21	0.000	164	643.32	0.485
kroa100	21285.44	21285.44	0.000	22	21287.00	0.010
kroa150	26524	26524.86	0.003	3919	26668.23	0.544
kroa200	29368	29369.40	0.005	8725	29487.62	0.407
d198	15780	15811.34	0.199	4579	15828.57	0.308
lin318	42029	42188.51	0.380	7351	42512.36	1.150
pcb442	50783.54	50965.11	0.358	3181	51362.99	1.141

Table 4.3: Experimental Results with 4 populations

Dataset	Optimum	Best	Error (%)	Iteration	Average	Error (%)
berlin52	7544.36	7544.36	0.000	2	7544.36	0.000
eil51	428.87	428.87	0.000	7	428.88	0.003
eil76	544.36	544.36	0.000	34	544.57	0.037
eil101	640.21	640.21	0.000	79	641.19	0.153
kroa100	21285.44	21285.44	0.000	27	21285.44	0.000
kroa150	26524	26524.86	0.003	214	26573.75	0.188
kroa200	29368	29369.40	0.005	89	29433.47	0.223
d198	15780	15808.65	0.182	252	15823.17	0.274
lin318	42029	42263.30	0.557	1129	42430.65	0.955
pcb442	50783.54	50944.28	0.317	8542	51283.16	0.983

Table 4.4: Experimental Results with PMX

Dataset	Optimum	Best	Error (%)	Iteration	Average	Error (%)
berlin52	7544.36	7544.36	0.000	7	7544.36	0.000
eil51	428.87	428.87	0.000	516	428.88	0.003
eil76	544.36	544.36	0.000	2186	547.43	0.563
eil101	640.21	645.25	0.787	6507	649.17	1.399
kroa100	21285.44	21285.44	0.000	739	21287.63	0.010
kroa150	26524	26688.38	0.619	6059	26799.53	1.038
kroa200	29368	29662.34	1.002	9897	29781.69	1.408
d198	15780	15935.22	0.983	9459	15979.85	1.266
lin318	42029	43169.63	2.714	7035	43377.09	3.207
pcb442	50783.54	52793.15	3.955	5186	53122.11	4.604



Table 4.5: Experimental Results with  $k = 1$ 

Dataset	Optimum	Best	Error (%)	Iteration	Average	Error (%)
berlin52	7544.36	7544.36	0.000	2	7544.36	0.000
eil51	428.87	428.87	0.000	10	428.97	0.023
eil76	544.36	544.36	0.000	205	544.98	0.112
eil101	640.21	642.40	0.343	49	645.84	0.880
kroa100	21285.44	21285.44	0.000	13	21285.44	0.000
kroa150	26524	26524.86	0.003	450	26654.80	0.493
kroa200	29368	29369.40	0.005	7542	29426.62	0.200
d198	15780	15812.11	0.204	8963	15835.69	0.353
lin318	42029	42263.30	0.557	9328	42396.81	0.875
pcb442	50783.54	50935.56	0.299	1085	51084.75	0.593

Table 4.6: Experimental Results with TS

Dataset	Optimum	Best	Error (%)	Iteration	Average	Error (%)
berlin52	7544.36	7544.36	0.000	2	7544.36	0.000
eil51	428.87	428.87	0.000	59	428.87	0.000
eil76	544.36	544.36	0.000	9587	546.58	0.407
eil101	640.21	644.32	0.643	3158	647.56	1.148
kroa100	21285.44	21285.44	0.000	792	21288.12	0.013
kroa150	26524	26718.21	0.732	1130	26878.69	1.337
kroa200	29368	29861.70	1.681	1952	29928.25	1.908
d198	15780	15877.27	0.616	2031	15903.34	0.782
lin318	42029	43086.09	2.515	1533	43427.26	3.327
pcb442	50783.54	52629.47	3.635	7970	52941.30	4.248

According to the experimental results with PMX in Table 4.4, our novel crossover operator is superior especially in big datasets.

According to the experimental results with  $k = 1$  in Table 4.5, *pcb442* has a good enhancement in its best tour length due to the point distribution of the dataset. By forcing  $k = 1$ , we get average results better because the edges are created with the nearest neighbour whenever possible. But in the optimum tours, we mostly get better results.

According to the experimental results with TS in Table 4.6, our novel greedy selection method performs much better than the popular TS. In smaller datasets, the difference in the tour quality is slightly less.

## Chapter 5

### Conclusion

We observe that most of the attempts in the TSP literature come up with a hybrid design or introduce a new element such as a novel crossover or mutation. These structures are usually designed to get out of the local optima.

In this thesis, we propose a new selection method and a crossover operator, based on successful elements from the literature. Our crossover operator decreases the search space by using  $k$ -nn logic. We also extend the abilities of the untwist local operator. The best individual is protected to the next generation with a survival selection method. While this helps us to approach the global optimum, reinforcement of artificial chromosomes stabilize diversity level at each generation. We see that, among multiple populations the best individuals end up with different routing structures. As a result, we immigrate the best individual of a population to other populations at specific generations.

We have collected a subset of the popular datasets used in the literature. Our experimental results show that, proposed novel operators outperform their equivalents in the TSP literature. Multiple population design is superior to its single version in terms of tour length. With multiple populations, we get to the global optimum more frequently especially when the number of cities is not large.

Our hybrid approach model, that we presented have, forms a basis to our future work. In the future, we plan to integrate dynamic behaviour into the structure.

At runtime, operators will change according to the diversity level and individual quality of the population. For the datasets that have large number of cities, we plan to integrate a route changing plan for the good tours that are trapped in a local optima.

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## **Curriculum Vitae**

Cengiz Asmazođlu was born in 05 August 1983, in İstanbul. He received his B.S. degree in Computer Engineering in 2010 from Işık University. His research interests include optimization algorithms, artificial intelligence, information retrieval and data mining.