SECURITY ANALYSIS OF ULTRALIGHTWEIGHT RFID PROTOCOLS

by

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ABSTRACT

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RFID (Radio Frequency Identification) is a technology that uses radio waves as a medium to exchange data between a reader and an electronic tag for the purpose of object identification and tracking. RFID tags are considered as a replacement technology for barcodes and other means of traditional identification tools which traditionally find applications in manufacturing, supply chain management and inventory control. Security and privacy aspects of RFID are becoming more important as RFID technology continues to flourish as an inherent part of virtually every ubiquitous environment. As the nodes of RFID systems mostly suffer from low computational power and small memory size, strong cryptographic protocols are not appropriate for low-cost RFID tags. Therefore, designing security mechanisms for RFID systems turn out to be very challenging that many authentication protocols have been proposed recently by an increasing number of researchers. Nevertheless, it is shown that majority of these proposals do not provide security and privacy. The work done in this M.S. thesis is to analyze the privacy and security aspects of ultralightweight RFID protocols defined in the previous literature and outline the weaknesses in these protocols. Also in a new ultralightweight RFID protocol we manage to attain a total breakdown by compromising the secret key information by revealing each bit using the weakness of XOR operation. Other weaknesses, we report for this ultralightweight RFID protocol include desynchronization, replay and traceability flaws.

ÖZET

HAFİF AĞIRLIKLI RFID PROTOKOLLERİNİN GÜVENLİK ANALİZİ

RFID (Radyo Frekansı ile Tanımlama), nesnelerin tanımlanması ve izlenmesi amacıyla, radyo dalgaları kullanarak bir okuyucu ve bir elektronik etiket arasında veri alışverişini sağlayan bir teknolojidir. RFID etiketleri, barkod gibi üretim, tedarik zinciri yönetimi ve stok kontrolünde kendine uygulama bulan diğer geleneksel tanımlama araçları yerine teknolojik bir değişim olarak düşünülmektedir. RFID teknolojisi hemen hemen tüm çevrelerin hazır ve olağan bir parçası olarak gelişmesine devam ederken, RFID' nin güvenlik ve gizlilik yönleri daha da önemli bir hale gelmektedir. RFID sisteminin bileşenleri çoğunlukla düşük işlem gücü ve küçük hafıza boyutlarından sıkıntı çektiği için, güçlü şifreleme protokolleri RFID etiketleri için uygun değildirler. Buna bağlı olarak, artan sayıda araştırmacı tarafından birçok doğrulama protokolünün yakın zamanda önerilmiş olması RFID için güvenlik ve gizlilik mekanizmaları tasarımının çok zorlu olduğunu ortaya çıkarmaktadır. Ancak yinede, bu önerilerin çoğunun güvenliği ve gizliliği sağlayamadığı gösterilmiştir. Bu yüksek lisans tezinde önceki literatürde tanımlanan hafif ağırlıklı RFID protokollerinin güvenlik ve gizlilik analizleri yapılmıştır ve bu protokollerdeki zayıflıkların anahatları çıkarılmıştır. Ayrıca yeni bir hafif ağırlıklı RFID protokolünde XOR işleminin zayıflığından yararlanılarak her bitin tanımlanması ile gizli anahtar ele geçirilip, tüm sistemin bozulabilmesi sağlanmıştır. Bu hafif ağırlıklı RFID protokolü için elde edilen diğer zayıflıklar ise eşzamansız olma, izlenebilirlik ve tekrarlama kusurlarıdır.

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LIST OF SYMBOLS

\mathcal{DB}	Database
\mathcal{HW}	Hamming Weight
\mathcal{R}	Reader
${\mathcal T}$	Tag

LIST OF ACRONYMS/ABBREVIATIONS

EMAP	An Efficient Mutual-Authentication Protocol for Low-cost				
	RFID Tags				
GA	Good Approximation				
IC	Integrated Circuit				
ID	Identification				
IDS	Index Pseudonym				
IDT	Dynamic Temporary Identification				
LMAP	A Real Lightweight Mutual Authentication Protocol for Low-				
	cost RFID Tags				
LSB	Least Significant Bit				
$M^{2}AP$	A Minimalist Mutual Authentication Protocol for Low-cost				
	RFID Tags				
MSB	Most Significant Bit				
RF	Radio Frequency				
RFID	Radio Frequency Identification				
Р	Partial ID				
SASI	A New Ultralightweight RFID Authentication Protocol Pro-				
	viding Strong Authentication and Strong Integrity				
SBAP	Spacing Based Authentication Protocols for Low-Cost RFID				
SLMAP	A Secure Ultralightweight RFID Mutual Authentication Pro-				
	tocol				
XOR	Exclusive OR				

1. INTRODUCTION

In this chapter we will give a brief information about the RFID system.

1.1. RFID System Overview

Nowadays, many traditional identification tools are being replaced with RFID tags in various applications including manufacturing, supply chain management and inventory control. This imminent trend is mostly because of the recent advances in the IC technology meeting the costs and size sensitivities of such applications. Comparison of RFID technology and other traditional identification tools are given in Table 1.1 [1]. RFID technology also has the following advantages:

- RFID tags do not require direct line of sight therefore they can be hidden within the item.
- Active RFID tags have greater operation ranges than other traditional identification tools.
- Reading data from RFID tags are less time consuming than barcodes.
- It is possible to modify the data within an RFID tag, whereas it is not possible for barcodes.
- Batch mode is available for RFID tags where large number of items can be read at once.
- Memory size of RFID tags can reach up to order of kB, whereas barcodes can read just a few digits.
- It is much harder for RFID tags to be replicated than barcodes.

These advantages give RFID technology an opportunity to find many application areas in everyday life such as:

- *Human Identification*: E-passport is one of the RFID applications that aims human identification.
- Transportation payments: Many countries use RFID gadgets to collect payments for

toll roads and public transportation.

- *Touch-free credit cards*: Some credit card companies have developed special credit cards that enable users to do the payment without handing over the credit card.
- Product tracking: Products with planted RFID tags enables tracking of the assets.
- *Logistics*: Yard management, shipping and distribution centers are some areas where RFID technology is used.
- Animal Identification: Especially for large ranches and rough terrain, RFID has become important in animal identification management.
- *Inventory Tracking*: RFID system is used for managing inventory in public and private foundations such as libraries, museums and theaters.
- Access Management: Many foundations use RFID gadgets to manage access of information and locations that needs to be protected from unauthorized usage.

A typical RFID system consists of tags, one or more readers, and a back-end server described with the following roles:

• Tag: A tag \mathcal{T} is equipped with an antenna for receiving and transmitting radiofrequency (RF) signal. Tags are mostly classified as active and passive according to their source of energy; active tags have on-board batteries whereas passive tags use only the electromagnetic waves emitted from the reader. Since passive tags have no battery this allows them to be smaller than active tags and their lifespan is theoretically indefinite. But they generally lack strong cryptographic operations and their operation distance is limited whereas active tags have the advantage of high computational capabilities and long operation distance. Therefore, in active tags more complicated protocols can be implemented to reduce the possibility of any unathorized interactions.



Figure 1.1. Example of passive and active tag.

• Reader: A reader \mathcal{R} has the privilege to read or write data to the tag and interrogates tags within its range. The readers are just simple tranceivers that have the ability to transmit and receive signal through their antenna. They may have one or more antennas to do their task. However, reader's role in RFID systems is quite limited. In general, it bridges the tag and server and just forwards messages to each other. The communication between the reader and the database is generally considered to be secure.



Figure 1.2. A simple RFID reader.

• *Back-end server/database*: A database is denoted by \mathcal{DB} and contains all the relevant information about the RFID system. The server is responsible for the security and operability of the whole system and controls all the processes including authentication and identification.

Despite their advantages, RFID system has weaknesses that can be summarized as:

• When RFID tag is implemented under liquid or metal product, it is not possible for the reader to read the tag since metalic surfaces and liquids reflect and attenuate the radio waves. Therefore, for these kind of products, careful locations must be selected for the tags.

- Since RFID tags use radio waves, it is possible for an adversary to execute denial of service attack by generating noise at the same frequency that the RFID tags use.
- Many countries use different range of frequencies for same RFID applications. These differences make RFID technology hard to use for international markets.

These weaknesses are the simplest ones when compared to the threats for user privacy and counterfeiting. For instance, in a system without any security measure, a tag holder can easily be tracked by any adversary with simple low-cost devices. Although it is possible to raise a similar concern that the same tag holder could also be traced by tracking his/her mobile phone through a carrier, such a track is impossible once the phone is completely turned off. However, this countermeasure is not applicable for someone carrying a RFID gadget since in general a tag could not be turned off, and worse, it automatically responds to queries via radio signals. Therefore, in RFID systems, the attack scenarios and accompanying countermeasures are quite different than the typical wired or wireless systems.

Without any doubt, cryptographic challenge-response protocols address the authentication problems in distributed systems. However, utilizations of these protocols requires intensive computational power that most low-cost constraint device/tag does not have. Therefore, the interest for building security mechanisms for such limited devices has recently arouse in the security community. However, most of early studies are analyzed later that they do not fulfill the basic security and privacy measures [2]. In fact, active RFID security research exhibits how deep the authentication/identification problem in these systems and address the need for more efficient protocols.

1.2. Security Requirements for RFID Protocols

For a secure RFID protocol, various requirements are needed to provide privacy and security issues as reported in previous literature [3–6]. In this context, a secure protocol should satisfy the following security parameters to deal with several privacy issues in RFID systems:

	Barcodes	Contact	Passive	Active
		memory	RFID	RFID
Modification	Unmodifiable	Modifiable	Modifiable	Modifiable
of data				
Security of	Minimal	Highly	Ranges from	Highly
data	security	secure	minimal to	secure
			highly secure	
Amount of	Linear (8-30	Up to 8 MB	Up to 64 kB	Up to 8 MB
data	characters)			
	2D (7200			
	numbers)			
Costs	Low	High Medium		Very high
Standards	Stable and	Proprietary;	Evolving	Proprietary;
	agreed	no standard	to an agreed	evolving open
			standard	standards
Lifespan	Short unless	Long	Indefinite	3-5 year
	laser-etched			battery life
	into metal			
Reading	Line of sight	Contact	Distance up	Distance up
distance	(3-5 feet)	required	to 50 feet	to 100 meters
				and beyond
Potential	Optical barri-	Contact	Environments	Limited barri-
interference	ers such as	blockage	or fields that	ers since the
	dirt		effect trans-	broadcast sig-
			mission of	nal from the
			radio waves	tag is strong

Table 1.1. Comparing the primary auto-ID technologies.

- Location privacy / untraceability: The transmitted messages should not leak any information that allows an adversary to trace the tag. Most obvious traceable tags are the ones transmitting fixed responses, hence, at each query, the tag should reply with a different message.
- Forward security: When the secret key within the tag is compromised by an adversary, the adversary must be unable to get any information about the tag owner's previous actions.
- *Key secrecy / anti-cloning*: The adversary should not be able to get the key or any other tag specific data without tampering. Without the key, no one could clone a tag.
- Synchronization: A very important measure for RFID protocols where any key update mechanisms are used. If the security protocol has a weakness that allows an adversary to set different keys for the database and tag, the tag would not be identified, hence, it would be useless for the present and forthcoming sessions.
- Authentication: The protocol has to ensure that the communicating parties are legitimate. Moreover, any information from the previous messages or any modification of the old messages should not give an advantage to an adversary.

Another important aspect in security analysis is the adversarial model. For analysis of the protocols, we assume that the attacker has full control over the communication line, i.e. he/she is able to intercept, modify and insert messages as well as eavesdrop the communication channel. Moreover, the attacker could instantiate new communication channels and directly interact with honest parties. Nevertheless, he/she is not able to compromise a target tag, i.e. cannot obtain the secrets of the tag by tampering. Hence, the attacker can be classified as a weak attacker as described in [7] and on duty he could perform the following types of attacks. We refer the reader to [7–11] for further discussions on the attacks.

- *Replay attack*: Adversary records the communication messages between the tag and the reader/server and afterwards he tries to realize a successful authentication between these entities by replaying the messages [8].
- *Tag impersonation attack*: In this type of attack, an adversary attempts to impersonate a tag to the reader/server. Thus, the adversary convinces the reader/server to believe the fake tags are legitimate [9].
- Man-in-the-middle attacks: In this type of attack the adversary intrudes into a com-

munication channel to intercept the exchanged data and inject false information. It involves eavesdropping on a connection, intruding into a connection, intercepting messages, and selectively modifying data [10].

• Denial of service attack: The adversary disturbs the communication channel between the tag and the reader by intercepting or blocking the communication messages to prevent the tag authentication. In some cases, the attacker tries to set different keys for the database and tag. So, the tag is desynchronized with the server and they would no longer be able to authenticate each other [9, 11].

In this study, we analyze the privacy and security aspects of ultralightweight RFID protocols in the previous literature and a recently proposed ultralightweight security method [12] named as the spacing based authentication protocol (SBAP). Although SBAP claims to provide both security and privacy in its design objectives, we outline very efficient attacks that SBAP fails to fulfill its claims. To be specific, we manage to attain a total breakdown by compromising the secret key information.

After briefly reviewing previous ultralightweight RFID protocols in the following chapter, a formal presentation of SBAP and its weaknesses are given in Chapter 3. Chapter 4 summarizes the weaknesses and main reasons for these vulnerabilities within these protocols. We close our study by some final notes in the last chapter.

2. REVIEW OF ULTRALIGHTWEIGHT RFID PROTOCOLS AND THEIR SECURITY ANALYSES

In this chapter, we make a survey over the previous ultralightweight RFID protocols.

2.1. LMAP Protocol

LMAP [13] is one of the first ultralightweight protocols. LMAP uses index pseudonyms (IDSs) with 96-bit length that shows the index of a table where all information about a tag is stored. Each tag is associated with a key, which is divided into four parts of 96 bits $(K = K1 \parallel K2 \parallel K3 \parallel K4)$. The protocol can be defined in three steps:

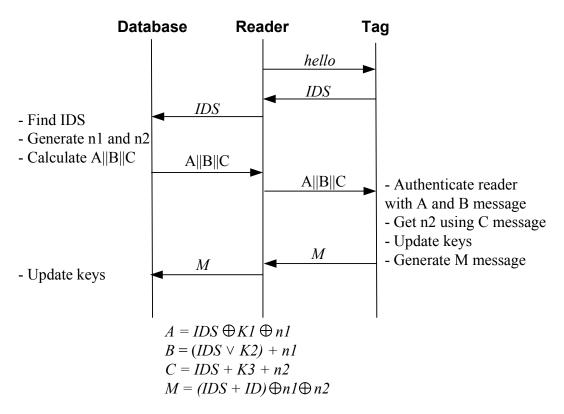


Figure 2.1. LMAP protocol flow.

- *Tag identification*: The reader sends a hello message to the tag and tag will answer with its current index pseudonym. By using the *IDS*, the legitimate reader will be able to access the tag secret key *K*.
- Mutual authentication: Upon receiving the IDS, the reader generates two random

numbers n1 and n2. By using these random numbers and K1, K2 and K3 subkeys, the reader generates $A = IDS \oplus K1 \oplus n1$, $B = (IDS \vee K2) + n1$ and C = IDS + K3 + n2messages. When the tag receives these messages, it authenticates the reader with Aand B message and gets the random number n1. In order to get the random number n2, C message is used. After succesfully completing these steps, the tag generates the message $D = (IDS + ID) \oplus n1 \oplus n2$ and sends it to the reader.

• *Index-pseudonym and key update*: After succesfully completing the mutual authentication step, index-pseudonym and key of the tag must be updated using the functions below:

$$IDS_{new} = (IDS + (n2 \oplus K4)) \oplus ID$$
(2.1)

$$K1_{new} = K1 \oplus n2 \oplus (K3 + ID) \tag{2.2}$$

$$K2_{new} = K2 \oplus n2 \oplus (K4 + ID) \tag{2.3}$$

$$K3_{new} = (K3 \oplus n1) + (K1 \oplus ID)$$

$$(2.4)$$

$$K4_{new} = (K4 \oplus n1) + (K2 \oplus ID) \tag{2.5}$$

As stated in [14], LMAP suffers from desynchronization and full disclosure attacks. Desynchronization attack is applied by two methods:

Modifying C message: The adversary intercepts the message C and toggles any bit of it to get a new C' message as C' = C ⊕ [I]_j (0 ≤ j ≤ 95). When the tag receives the modified message, it can still authenticate the reader but it will get a wrong random number n2'. If this modification is applied, number of bit differences between n2 and newly created n2' can be calculated by using hamming weight:

$$If \quad [C]_j = 1; [C']_j = 0; \quad \to If \quad [n2]_j = 0, HW(n2 \oplus n2') \ge 2$$
(2.6)

$$If \quad [C]_j = 1; [C']_j = 0; \quad \to If \quad [n_2]_j = 1, n_2' = n_2 \oplus [I]_j \tag{2.7}$$

$$If \quad [C]_j = 0; [C']_j = 1; \quad \to If \quad [n2]_j = 0, n2' = n2 \oplus [I]_j \tag{2.8}$$

$$If \quad [C]_j = 0; [C']_j = 1; \quad \to If \quad [n2]_j = 1, HW(n2 \oplus n2') \ge 2$$
(2.9)

For the cases $n2' = n2 \oplus [I]_j$, the reader will accept the new D' message created by the tag since $D' = D \oplus [I]_j = (IDS + ID) \oplus n1 \oplus n2' \oplus [I]_j = (IDS + ID) \oplus n1 \oplus n2$. For the other cases, since the number of bit differences are more than one, this attack is not applicable. Suppose that n^2 is randomly generated, there is probability of 50% success rate of desynchronization since the tag will update itself with $(n1, n^2)$ and the reader will update itself with $(n1, n^2)$.

Modifying A and B messages: The adversary intercepts the A and B messages and modifies any bit of them to get new A' and B' messages as A' = A ⊕ [I]_j and B' = B ⊕ [I]_j. Since A = IDS ⊕ K1 ⊕ n1, n1 is set as n1' = n1 ⊕ [I]_j. In this case for B, the adversary obtains:

$$If \quad [B]_j = 1; [B']_j = 0; \quad \to If \quad [n1]_j = 0, HW(n1 \oplus n1') \ge 2 \qquad (2.10)$$

$$If \quad [B]_j = 1; [B']_j = 0; \quad \to If \quad [n1]_j = 1, n1' = n1 \oplus [I]_j \tag{2.11}$$

- $If \quad [B]_j = 0; [B']_j = 1; \quad \to If \quad [n1]_j = 0, n1' = n1 \oplus [I]_j \tag{2.12}$
- $If \quad [B]_j = 0; [B']_j = 1; \ \to If \quad [n1]_j = 1, HW(n1 \oplus n1') \ge 2$ (2.13)

For the cases $n1' = n1 \oplus [I]_j$, the reader will accept the new D' message created by the tag since $D' = D \oplus [I]_j = (IDS + ID) \oplus n1 \oplus n2' \oplus [I]_j = (IDS + ID) \oplus n1 \oplus n2$. For the other cases, since the number of bit differences are more than one, this attack is not applicable. Suppose that n1 is randomly generated, there is probability of 50% success rate of desynchronization since the tag will update itself with (n1', n2) and the reader will update itself with (n1, n2).

For full disclosure attack of LMAP protocol, the adversary disguises as a legitimate reader and gets the current IDS of a tag. By using this valid IDS, the adversary queries the reader to get a valid $A \parallel B \parallel C$ message. Later, the adversary modifies j-th bit of A and B messages repeatedly and sends the modified messages to the tag. According to proper Dor an error message is received from the tag, the adversary concludes that j-th bit of n1 is equal to j-th bit of B or not equal to j-th bit of B. In 96 trials, adversary can decide the whole bit values of n1. Then, from A, B, IDS and n1, the adversary can now calculate K1and K2.

After this point the unknown parameters are n2, K3, K4 and ID. Obviously, one can use the method above to obtain the value of n2, but to interact with the reader m times. But many readers limits the number of interactions by a constant times. To avoid from reaching maximum reader interaction limit, adversary tries another method to find out the remaining parameters. Firstly, adversary disguises as a legitimate tag and sends the IDS to the reader again. The reader will response with the message $A^{new} \parallel B^{new} \parallel C^{new}$. Since the adversary knows the K1, K2 and IDS, he can set the $n1^{new} = 0$. After modifying the reader's message, the adversary sends the modified $A^{new'} \parallel B^{new'} \parallel C^{new}$ message to the tag. Then the tag responses with D^{new} . From these interactions the adversary generates the following equations:

$$C = (IDS + K3) + n2 (2.14)$$

$$D = (IDS + ID) \oplus n1 \oplus n2 \tag{2.15}$$

$$C^{new} = (IDS + K3) + n2^{new}$$
 (2.16)

$$D^{new} = (IDS + ID) \oplus n2^{new}$$
(2.17)

The following equation is generated by combining the equations:

$$C^{new} - C = (IDS + ID) \oplus D^{new} - (IDS + ID) \oplus n1 \oplus D$$
(2.18)

which is equal to

$$x \oplus a = x \oplus b + c \mod 2^{96} \tag{2.19}$$

where $a = D^{new}$, $b = n1 \oplus D$, $c = C^{new} - C \mod 2^{96}$ and $x = (IDS + ID) \mod 2^{96}$. To solve the x in the Equation 2.19, one must note that x's most significant bits do not effect the computation involving its less significant bits. So, adversary can divide the 96 bits into several parts and try to find all possible solutions for each part. Note that one given triple (a, b, c) may not be enough to determine the value of x. In this scenario, adversary can interact with the reader several times to attain a few more instances of equations. By intersecting these equations, the value range of the x can be significantly narrowed down. After x is solved other unknown parameters ID, K3 and K4 can be derived since the IDSis already known.

2.2. M²AP Protocol

 M^2AP is proposed in [15] and it is the modified version of LMAP protocol. M^2AP uses the same parameters as LMAP: an index pseudonym (IDS) and a key which is divided into four parts of 96 bits ($K = K1 \parallel K2 \parallel K3 \parallel K4$). The protocol can be defined in three steps:

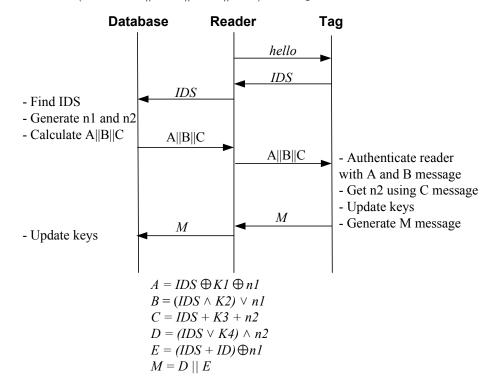


Figure 2.2. M^2AP protocol flow.

- Tag identification: The reader sends a hello message to the tag and tag will answer with its current index pseudonym. By using the IDS, the legitimate reader will be able to access the tag secret key K.
- Mutual authentication: Upon receiving the IDS, the reader generates two random numbers n1 and n2. By using these random numbers and K1, K2 and K3 subkeys, the reader generates A = IDS⊕K1⊕n1, B = (IDS∧K2)∨n1 and C = IDS+K3+n2 messages. When the tag receives these messages, it authenticates the reader with A and B message and gets the random number n1. In order to get the random number n2, C message is used. After succesfully completing these steps, the tag generates two messages:

$$D = (IDS \lor K4) \land n2 \tag{2.20}$$

$$E = (IDS + ID) \oplus n1 \tag{2.21}$$

and sends them to the reader.

• *Index-pseudonym and key update*: After successfully completing the mutual authentication step, index-pseudonym and key of the tag must be updated using the functions below:

$$IDS_{new} = (IDS + (n2 \oplus n1)) \oplus ID$$
(2.22)

$$K1_{new} = K1 \oplus n2 \oplus (K3 + ID)$$

$$(2.23)$$

$$K2_{new} = K2 \oplus n2 \oplus (K4 + ID) \tag{2.24}$$

$$K3_{new} = (K3 \oplus n1) + (K1 \oplus ID)$$

$$(2.25)$$

$$K4_{new} = (K4 \oplus n1) + (K2 \oplus ID)$$

$$(2.26)$$

Full disclosure attack can also be applied to M^2AP protocol as defined in [16]. Adversary has the advantage to reveal the tag ID, secrets keys K1, K3 and random numbers n1, n2 by simply eavesdropping two consecutive sessions. To recover K2 and K4, some other techniques are required. For the clarity of expression, we will denote the k-th bit of M in round n by M_k^n and when k = 96 it represents the LSB of M. M_k will represent the k-th bit of M in all sessions.

After eavesdropping two session, the adversary computes the LSB of n2 message:

$$[n2^{n}]_{96} = [E^{n}]_{96} \oplus [IDS^{n+1}]_{96}$$
(2.27)

Since $[n2^n]_{96}$ is known, next thing adversary computes is:

$$[K3^{n}]_{96} = [C^{n}]_{96} \oplus [IDS^{n}]_{96} \oplus [n2^{n}]_{96}$$
(2.28)

Using the updating formula of $K1^{n+1}$, the adversary can calculate the LSB of ID, since:

$$[ID]_{96} = ([K1^n]_{96} \oplus [ID]_{96}) \oplus ([K1^{n+1}]_{96} \oplus [ID]_{96}) \oplus [K3^n]_{96} \oplus [n2^n]_{96}$$
(2.29)

$$= ([A^n]_{96} \oplus [E^n]_{96}) \oplus ([A^{n+1}]_{96} \oplus [E^{n+1}]_{96}) \oplus [K3^n]_{96} \oplus [n2^n]_{96}$$
(2.30)

Adversary also computes the LSB of $K1^n$ and $n1^n$:

$$[n1^{n}]_{96} = [E^{n}]_{96} \oplus [IDS^{n}]_{96} \oplus [ID^{n}]_{96}$$
(2.31)

$$[K1^{n}]_{96} = [A^{n}]_{96} \oplus [IDS^{n}]_{96} \oplus [n1^{n}]_{96}$$

$$(2.32)$$

Adversary also computes $[K1^{n+1}]_{96}$ and $[K3^{n+1}]_{96}$ by using their update formulas. After that, he/she computes $[n1^{n+1}]_{96}$ from $[E^{n+1}]_{96}$. Finally, adversary calculates $[n2^{n+1}]_{96}$, $[IDS^{n+2}]_{96}$, $[K1^{n+2}]_{96}$, $[K3^{n+2}]_{96}$ respectively.

After computing the LSBs of the secret values, adversary tries to set up equations handling the addition modulo 2^{96} for the other 95 bits knowing the addends. After this step adversary obtains K1, K3, n1, n2 and ID.

The only unknown parameters in this step are K2 and K4. These parameters are captured in three different ways.

In the first method, adversary obtains K2 and K4 in 192 protocol runs. The updating formula for the round (n+2) for K2 and K4:

$$[K2^{n+2}]_{96} = [n1^n]_{96} \oplus [n2^n]_{96} \oplus [n2^{n+1}]_{96} \oplus [ID]_{96}$$

$$(2.33)$$

$$[K4^{n+2}]_{96} = [n1^n]_{96} \oplus [n2^n]_{96} \oplus [n1^{n+1}]_{96} \oplus [ID]_{96}$$

$$(2.34)$$

As the number of eavesdropped session increases, adversary computes the nonces at each session as it is described above and calculates the LSB of K2 and K4 at each session. Then, adversary computes the other bits by handling the addition modulo 2^{96} in the updating formulas of K2 and K4. Each bit is computed in two sessions, and after 192 protocol runs the whole $K2^{n+192}$ and $K4^{n+192}$ will be captured. In the other methods, adversary uses the fact that one fourth of K2 and K4 if the following implications are hold:

$$([IDS^i]_k = 1) \land ([n1^i]_k = 0) \rightarrow [B^i]_k = [K2^i]_k$$

$$(2.35)$$

$$([IDS^i]_k = 0) \land ([n2^i]_k = 1) \rightarrow [D^i]_k = [K4^i]_k$$
 (2.36)

In the second method, adversary uses the above information to calculate the whole K^2 and K^4 and it is shown that adversary can calculate them in nearly 120 protocol runs.

In the third method, adversary splits 96-bit long K2 and K4 into 8-bit blocks and for each block tests all the 2⁹⁶ possible $K2^n - K4^n$. After fixing a pair, adversary can generate the updated blocks by using the updating formula of K2 and K4. It is shown that after six eavesdropped sessions, there is only one possible $K2^{n+6} - K4^{n+6}$ with probability of 0.9. The expected value for having a unique $K2^n - K4^n$ is 4.5 and since there are 12 blocks, adversary can calculate K2 and K4 after 54 protocol runs.

2.3. EMAP Protocol

EMAP [17] is proposed by Peris-Lopez and it is the modified version of the legacy M^2AP protocol. EMAP uses index pseudonyms (IDSs) with 96-bit length that shows the index of a table where all information about a tag is stored. Each tag is associated with a key, which is divided into four parts of 96 bits ($K = K1 \parallel K2 \parallel K3 \parallel K4$). The protocol can be defined in three steps:

- Tag identification: The reader sends a hello message to the tag and tag will answer with its current index pseudonym. By using the IDS, the legitimate reader will be able to access the tag secret key K.
- Mutual authentication: Upon receiving the IDS, the reader generates two random numbers n1 and n2. By using these random numbers and K1, K2 and K3 subkeys, the reader generates $A = IDS \oplus K1 \oplus n1$, $B = (IDS \lor K2) \oplus n1$ and $C = IDS \oplus K3 \oplus n2$ messages. When the tag receives these messages, it authenticates the reader with Aand B message and gets the random number n1. In order to get the random number n2, C message is used. After succesfully completing these steps, the tag generates the messages $D = (IDS \land K4) \oplus n2$, $E = (IDS \land n1 \lor n2) \oplus ID \oplus K1 \oplus K2 \oplus K3 \oplus K4$ and sends them to the reader.
- *Index-pseudonym and key update*: After succesfully completing the mutual authentication step, index-pseudonym and key of the tag must be updated using the functions

below:

$$IDS_{new} = IDS \oplus n2 \oplus K1 \tag{2.37}$$

$$K1_{new} = K1 \oplus n2 \oplus ([ID]_{[1:48]} \parallel F_p(K4) \parallel F_p(K3))$$
(2.38)

$$K2_{new} = K2 \oplus n2 \oplus (F_p(K1) \parallel F_p(K4) \parallel [ID]_{[49:96]})$$
(2.39)

$$K3_{new} = K3 \oplus n1 \oplus ([ID]_{[1:48]} \parallel F_p(K4) \parallel F_p(K2))$$
(2.40)

$$K4_{new} = K4 \oplus n1 \oplus (F_p(K3) \parallel F_p(K1) \parallel [ID]_{[49:96]})$$
(2.41)

where $F_p(X)$ is a parity function: the 96-bit number X is divided into 24 4-bit blocks. A parity is generated for each block, with a total of 24 parity bits. $[ID]_{[j:k]}$ represents the bit sequence from j-th to the k-th positions of ID.

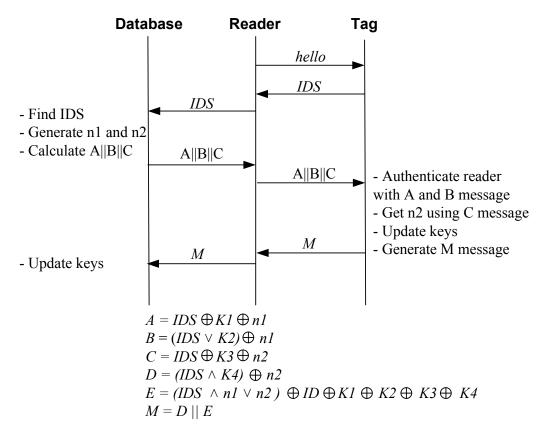


Figure 2.3. EMAP protocol flow.

EMAP suffers from desynchronization and full disclosure attacks [18]. Desynchronization attack is applied by two methods:

• Modifying C message: The adversary intercepts the message C and toggles any bit

of it to get a new C' message as $C' = C \oplus [I]_j$ $(0 \le j \le 95)$. Then he/she sends the new message $A \parallel B \parallel C'$ to the tag. When the tag receives these messages, it can still authenticate the reader as A and B are not modified. However, the tag will get a wrong random number n2' since C message is modified. Tag will reply with $D = (IDS \wedge K4) \oplus n2'$ message calculated with this wrong random number. Adversary captures this message and modifies it as $D' = D \oplus [I]_j = (IDS \wedge K4) \oplus n2$ which is accepted by the reader. Also, E message will be modified as $E' = E \oplus [I]_j =$ $(IDS \wedge n1 \vee n2') \oplus [I]_j \oplus ID \oplus K1 \oplus K2 \oplus K3 \oplus K4$. The reader will accept the message if $result_1 = (IDS \wedge n1 \vee n2)$ equals to $result_2 = (IDS \wedge n1 \vee n2') \oplus [I]_j$. The truth table of $result_1$ and $result_2$ is given in Table 2.1.

$[IDS]_j$	$[n1]_j$	$[n2]_j$	$[n2']_j$	$result_1$	$result_2$
0	0	0	1	0	0
0	0	1	0	1	1
0	1	0	1	0	0
0	1	1	0	1	1
1	0	0	1	0	0
1	0	1	0	1	1
1	1	0	1	1	0
1	1	1	0	1	0

Table 2.1. Truth table of $result_1$ and $result_2$.

Table 2.1 shows that for $[IDS]_j = 0$ the success rate is 100% whereas for $[IDS]_j = 1$ the success rate drops to 50%. Given that IDS is known, an adversary can choose to change any bit of C to achieve 100% success rate.

• Modifying A and B messages: The adversary intercepts the A and B messages and modifies any bit of them to get new A' and B' messages as $A' = A \oplus [I]_j$ and $B' = B \oplus [I]_j$. Since $A = IDS \oplus K1 \oplus n1$ and $B = (IDS \lor K2) \oplus n1$, the tag will get $n1' = n1 \oplus [I]_j$ from both A' and B'. When the tag receives these messages, it authenticates the reader and generates the reply messages D and E. As it is shown on Table 2.1, for $[IDS]_j = 0$ the adversary only forwards them to the reader and the reader will accept them with 100%. For the case $[IDS]_j = 1$, the success rate drops down to 50%. Full disclosure attack for EMAP protocol consists of four stages. In stage one, the adversary derives some bit values of random number n2 and derives the other half of n2 in stage two. In stage three, based on n2 he/she derives as much as possible the tag's secret information in a single protocol run and in the last stage all secret information including the ID of the tag is derived.

• Stage one: The adversary impersonates a legitimate reader and gets the current IDS of a tag. Using this valid IDS, the adversary impersonates the tag to get a valid $A \parallel B \parallel C$ from a legitimate reader. The attacker then intercepts the reply messages $D \parallel E$. Since $D = (IDS \land K4) \oplus n2$ and IDS is known, some bit values of n2 can derived from bitwise expression. If we let ϕ be the set of bit positions in which the corresponding bit values in IDS are zero and τ be the set of bit positions in which the corresponding bit values in IDS are one, we can derive the bitwise expression of D as:

$$D_j = [n2]_j \quad (\forall j \in \phi) \tag{2.42}$$

$$D_k = [K4]_k \oplus [n2]_k \quad (\forall k \in \tau)$$

$$(2.43)$$

From Equation 2.42 half of n^2 is derived. The other half of n^2 can not be derived since K4 is unknown. Following the same approach, we can derive the half of n^1 , since $[B]_k = [n^1]_k, \ (\forall k \in \tau).$

• Stage two: Firstly, the adversary launches the desynchronization attack by modifying A and B messages and sending $A' \parallel B' \parallel C$ where A' is set as $[A']_{\tau} = [A]_{\tau} \oplus [I]_{\tau}$, or equivalently toggling all the bit values at positions of τ on A. B' is set as $[B']_{\tau} = [B]_{\tau} \oplus [I]_{\tau}$ and n1' is set as $[n1']_{\tau} = [n1]_{\tau} \oplus [A]_{\tau}$. After receiving these values, the tag obtains n1' and n2 and replies with D and E'. Since E' is calculated from n1' and n2, it is different from the message in stage one. If we set $result_1 = (IDS \wedge n1 \vee n2)$ and $result_2 = (IDS \wedge n1' \vee n2)$, Table 2.2 is created.

From Table 2.2, Equation 2.44 can be derived for $(\forall k \in \tau)$ and n2 is fully disclosed:

$$[n2]_{k} = \begin{cases} 0, & \text{if } [E]_{k} \neq [E']_{k} \\ 1, & \text{if } [E]_{k} = [E']_{k} \end{cases}$$
(2.44)

Since n2 is disclosed, other unknown parameters K3 and $[K4]_{\tau}$ are derived by solving

$[IDS]_k$	$[n1]_k$	$[n1']_k$	$[n2]_k$	$result_1$	$result_2$
1	0	1	0	0	1
1	0	1	1	1	1
1	1	0	0	1	0
1	1	0	1	1	1

Table 2.2. Truth table of k-th bit value of $result_1$ and $result_2$.

the expressions of C and Equation 2.43.

Secondly, the adversary launches the desynchronization attack by modifying C message and obtains $[n1]_{\tau}$. By solving the expression of A, the adversary also derives $[K1]_{\tau}$.

Stage three: In this stage, the known parameters are [n1]_τ, n2, [K1]_τ, K3, [K4]_τ whereas the unknown parameters are [n1]_φ, [K1]_φ, K2, [K4]_φ. The adversary expresses the E message in the following forms:

$$E_{j} = [n2]_{j} \oplus [ID]_{j} \oplus [K1]_{j} \oplus [K2]_{j} \oplus [K3]_{j} \oplus [K4]_{j}$$
(2.45)

$$E_k = ([n1]_k \vee [n2]_k) \oplus [ID]_k \oplus [K1]_k \oplus [K2]_k \oplus [K3]_k \oplus [K4]_k$$
(2.46)

where $(\forall k \in \tau)$ and $(\forall j \in \phi)$. By using A and B, the equations are transformed into:

$$[ID]_{\phi} \oplus [K4]_{\phi} = [n2]_{\phi} \oplus [E]_{\phi} \oplus [A]_{\phi} \oplus [B]_{\phi} \oplus [K3]_{\phi}$$

$$(2.47)$$

$$[ID]_{\tau} \oplus [K2]_{\tau} = ([n1]_{\tau} \vee [n2]_{\tau}) \oplus [E]_{\tau} \oplus [K1]_{\tau} \oplus [K3]_{\tau} \oplus [K4]_{\tau}$$
(2.48)

Since $[K4]_{\phi}$ and $[K2]_{\tau}$ are still unknown, the adversary can not derive the *ID*. It is obvious that the adversary needs more protocols runs to capture the *ID*.

• Stage four: In this stage the adversary eavesdrops a valid IDS^{n+1} knowing that the formula for updating IDS is $IDS^{n+1} = IDS \oplus n2 \oplus K1$. Given that n2 is known, K1 is derived. By using A and B messages, n1 and $[K2]_{\phi}$ are obtained. At this point, the only unknown parameters are $[K4]_{\phi}$, $[K2]_{\tau}$ and ID. By using the updating algorithm of K1 and the known parameters, the adversary can derive the most significant 48 bits of ID denoted as L.

$$[ID]_{L} = [K1^{n+1}]_{L} \oplus [K1]_{L} \oplus [n2]_{L}$$
(2.49)

By using the updating algorithm of $K2^{n+1}$, $K4^{n+1}$ and the known parameters, the following parameters are derived:

$$[ID]_{R\cap\phi} = [K2^{n+1}]_{R\cap\phi'} \oplus [K2]_{R\cap\phi} \oplus [n2]_{R\cap\phi}$$

$$(2.50)$$

$$[ID]_{R\cap\tau} = [K4^{n+1}]_{R\cap\tau'} \oplus [K4]_{R\cap\tau} \oplus [n1]_{R\cap\tau}$$

$$(2.51)$$

It is calculated that in one protocol run, approximately 24 bits can be derived using the Equation 2.50 and Equation 2.51. It is assumed that nearly in six protocol runs, the whole ID can be captured.

2.4. SASI Protocol

In 2007, Hung-Yu Chien proposed an ultralightweight protocol with rotations named shortly as SASI [19]. In SASI protocol tag shares four variables with the database as one static identification ID, a pseudonym IDS, and two keys named K1 and K2. The length of these variables are 96 bits. The protocol can be defined in three steps:

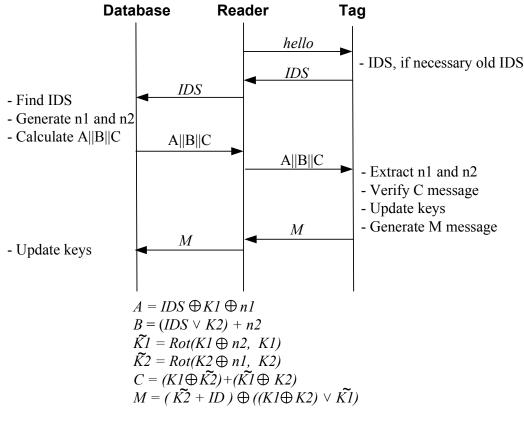


Figure 2.4. SASI protocol flow.

- Tag identification: The reader sends a hello message to the tag and tag will answer with its current index pseudonym. By using the IDS, the legitimate reader will be able to access the tag secret key K. If the tag is re-queried without completing a session successfully, the tag sends its old IDS to the reader.
- Mutual authentication: Upon receiving the IDS, the reader generates two random numbers n1 and n2. By using these random numbers and K1, K2 subkeys, the reader generates A = IDS⊕K1⊕n1 and B = (IDS∨K2)+n2 messages. After that the reader generates two new subkeys as K1 = Rot(K1 ⊕ n2, K1) and K2 = Rot(K2 ⊕ n1, K2) where Rot(x, y) means left rotation of x with y bits. Lastly, reader generates C = (K1 ⊕ K2) + (K1 ⊕ K2). From A || B || C, the tag extracts n1 from A, extracts n2 from B. With these random numbers it generates K1 and K2 to verify the value of C. If the messages are authentic, the tag generates D = (K2 + ID) ⊕ ((K1 ⊕ K2) ∨ K1) and sends it to the reader.
- Index-pseudonym and key update: After sending D message to the reader, the tag updates its keys and pseudonym. The tag also stores its old keys and pseudonym to avoid desynchronization attacks. As soon as the reader verifies D message, tag specific data is updated using the functions below:

$$IDS_{new} = (IDS + ID) \oplus (n2 \oplus \tilde{K1})$$
 (2.52)

$$K1_{new} = K1 \tag{2.53}$$

$$K2_{new} = \tilde{K2} \tag{2.54}$$

The natural way of attacking this protocol is to consider what happens when modular rotations are not performed, that is, when amount of rotation is zero modulo 96 [20]. In this case, the update functions of K1, K2 and IDS are defined as:

$$\tilde{K1} = Rot(K1 \oplus n2, K1 \mod 96) = Rot(K1 \oplus n2, 0) = K1 \oplus n2$$
 (2.55)

$$\tilde{K}_2 = Rot(K_2 \oplus n_1, K_2) = K_2 \oplus n_1$$
(2.56)

$$IDS_{new} = (IDS + ID) \oplus K1 \tag{2.57}$$

To recover the ID of the tag, the adversary now has the function:

$$ID = IDS_{new} \oplus K1 - IDS \tag{2.58}$$

By taking the advantage of $K1 = K2 = 0 \mod 96$ and snooping two consecutive authentication sessions, few least significant bits of the secret *ID* are recovered since with a probability of 33% it can be written as:

$$ID \mod 96 \approx (IDS_{new} - IDS) \mod 96$$
 (2.59)

which allows an adversary to trace the tag. Since the attack uses the case $K1 = K2 = 0 \mod 96$, it is important for an adversary to recognize it. Assume that $K1 = K2 = 0 \mod 96$ then:

$$\ddot{K}1 = K1 \oplus n2 \tag{2.60}$$

$$\tilde{K2} = K2 \oplus n1 \tag{2.61}$$

 So

$$C = (K1 \oplus \tilde{K2}) + (K2 \oplus \tilde{K1})$$

$$(2.62)$$

$$= K1 \oplus K2 \oplus n1 + K2 \oplus K1 \oplus n2 \tag{2.63}$$

which implies that

$$C \mod 96 \approx n1 + n2 \mod 96$$
 (2.64)

The value of n1 and n2 can be captured by using the messages A, B and IDS, that is,

$$A = IDS \oplus K1 \oplus n1 \to n1 = A \oplus IDS \oplus K1$$
(2.65)

$$B = (IDS \lor K2) + n2 \to n2 = B - (IDS \lor K2)$$

$$(2.66)$$

and since $K1 = K2 = 0 \mod 96$ these equations lead to

$$n1 \mod 96 \approx (A \oplus IDS) \mod 96 \tag{2.67}$$

$$n2 \mod 96 \approx (B - IDS) \mod 96$$
 (2.68)

$$C \mod 96 \approx (A \oplus IDS) + (B - IDS) \mod 96$$
 (2.69)

If Equation 2.69 holds, the $K1 = K2 = 0 \mod 96$ condition is satisfied. The adversary can eavesdrop authentication sessions to check if Equation 2.69 holds, and it is satisfied he/she can calculate the approximate ID using Equation 2.59. As the adversary observes many consecutive sessions, success probability of the attack will increase.

SASI protocol also lacks the untraceability property [21]. For the traceability attack, the adversary uses the case that addition (+) equals to $XOR(\oplus)$ for the least significant bit. This leads to:

$$C_{LSB} = K1_{LSB} \oplus \tilde{K}2_{LSB} \oplus \tilde{K}1_{LSB} \oplus K2_{LSB}$$

$$(2.70)$$

$$D_{LSB} = \tilde{K2}_{LSB} \oplus ID_{LSB} \oplus ((K1_{LSB} \oplus K2_{LSB}) \vee \tilde{K1}_{LSB})$$
(2.71)

XOR and OR operation results the same with a probability of p = 0.75. Depending on the probability p = 0.75, Equation 2.71 can be rewritten as:

$$D_{LSB} = \tilde{K}2_{LSB} \oplus ID_{LSB} \oplus K1_{LSB} \oplus K2_{LSB} \oplus \tilde{K}1_{LSB}$$
(2.72)

Combining Equation 2.70 and Equation 2.72 gives:

$$C_{LSB} \oplus D_{LSB} = ID_{LSB} \tag{2.73}$$

Using the relations above, the adversary launches the untraceability attack:

- Adversary eavesdrops on a protocol session between reader and a tag T_0 , to obtain C and D.
- Adversary chooses two fresh tags T_0 , T_1 with identifiers ID_0 , ID_1 , where $ID_0 =$

 $0 \mod 2, ID_1 = 1 \mod 2.$

• Adversary is then given a candidate tag T_* among T_0 and T_1 . By using Equation 2.73, adversary guesses T_* with a probability of 25% which is not negligible.

In [22], it is shown that SASI protocol suffers from desynchronization attack with two different methods. The first method uses the steps below:

- Adversary denotes the variables of a tag in the database as IDS_1 , $K1_1$, $K2_1$.
- When a legitimate reader queries the tag, the adversary records the messages A, B, C as A', B', C' and interrupts the D message. This causes the tag to update its variables as:

$$(IDS_{old}, K1_{old}, K2_{old}) = (IDS_1, K1_1, K2_1)$$
(2.74)

$$(IDS_{new}, K1_{new}, K2_{new}) = (IDS_2, K1_2, K2_2)$$
(2.75)

whereas the reader will not update its variables.

• Next, the adversary allows the tag and the reader to run a protocol without interrupting them. Thus, the database will update its variables as IDS_3 , $K1_3$, $K2_3$. In the tag, the values are now:

$$(IDS_{old}, K1_{old}, K2_{old}) = (IDS_1, K1_1, K2_1)$$
(2.76)

$$(IDS_{new}, K1_{new}, K2_{new}) = (IDS_3, K1_3, K2_3)$$
(2.77)

• In the final step, the adversary queries the tag as a valid reader, and the tag replies as IDS_{new} , which is IDS_3 . The adversary pretends that he can not find the IDS_{new} and queries the tag again. The tag will response with IDS_{old} , which is IDS_1 , and the adversary now replies with the recorded A', B', C' messages. Using these values the tag will update its values as:

$$(IDS_{old}, K1_{old}, K2_{old}) = (IDS_1, K1_1, K2_1)$$
(2.78)

$$(IDS_{new}, K1_{new}, K2_{new}) = (IDS_2, K1_2, K2_2)$$
(2.79)

which causes a desynchronization betweeen reader and the tag.

$\boxed{K1\oplus \tilde{K2}}$	$K2 \oplus \tilde{K1}$	carry	C^R	$K1 \oplus \tilde{K2^*}$	C^T	C_1^*
0	0	0	0	1	1	1
0	0	1	1	1	0	0
0	1	1	0	1	0	0
0	1	0	1	1	1	1
1	0	1	0	0	0	0
1	0	0	1	0	1	1
1	1	0	0	0	1	1
1	1	1	1	0	0	0

Table 2.3. The MSB of each variable.

The second method uses man-in-the-middle attack to cause desynchronization.

• When a legitimate reader queries the tag, the adversary records the messages A, B, C as A_1 , B_1 , C_1 . This causes the tag to update its variables as:

$$(IDS_{old}, K1_{old}, K2_{old}) = (IDS_1, K1_1, K2_1)$$
(2.80)

$$(IDS_{new}, K1_{new}, K2_{new}) = (IDS_2, K1_2, K2_2)$$
(2.81)

and the database updates its variables as $(IDS_2, K1_2, K2_2)$.

- Next, the adversary queries the tag until it replies with IDS_1 . The adversary tries to forge a tuple (A'_1, B'_1, C'_1) that is acceptable by the tag. The adversary makes $A'_1 = A^*_1$, where A^*_1 is to flip k-th bit in A_1 , $B'_1 = B_1$ and $C'_1 = C^*_1$ where C^*_1 is to flip the most significant bit of C_1 , considering that flipping k-th bit in A_1 will flip the k-th bit in n1, therefore k-th bit of $K2_1 \oplus n1_1$ will flip and if the flipped bit is rotated to the MSB in $\tilde{K2}$, then C message will be changed in the MSB. The adversary replies the tag with (A'_1, B'_1, C'_1) .
- When tag tries to verify message C, it is actually using C_1^* , $\tilde{K2}^*$ and K1, where $\tilde{K2}^*$ differs from $\tilde{K2}$ in the MSB. Table 2.3 shows that in all cases the value computed by the reader C^R and the value computed by the tag C^T are equal.

The adversary can obtain an authenticated tuple (A'_1, B'_1, C'_1) by at most 96 trials for all possible values of k. Once an authenticated tuple (A'_1, B'_1, C'_1) is accepted by the tag, the tag will update its variables as:

$$(IDS_{new}, K1_{new}, K2_{new}) = (IDS_2, K1_2, K2_2^*)$$
(2.82)

where $K2_2^*$ has the k-th bit flipped in $K2_2$. When the tag queried by the reader, the tag will reply with IDS_2 . IDS_2 will be found in the database, but the tag will reject the reader since the $K2_{new}$ stored in the tag is no longer synchronized with the database.

2.5. Gossamer Protocol

Gossamer protocol [23] is proposed by Peris-Lopez and it is inspired by the SASI scheme. In Gossamer protocol, tag shares four variables with the database as one static identification ID, a pseudonym IDS, and two keys named K1 and K2. The length of these variables are 96 bits. The protocol can be defined in three steps:

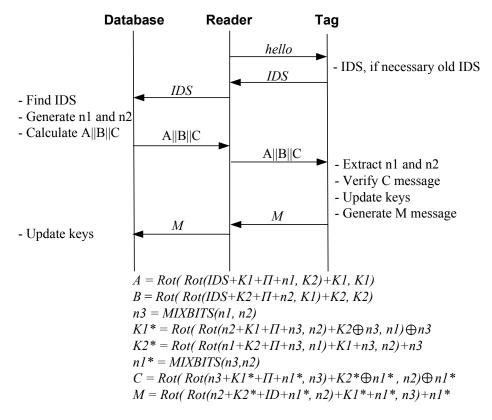


Figure 2.5. Gossamer protocol flow.

- Tag identification: The reader sends a hello message to the tag and tag will answer with its current index pseudonym. By using the IDS, the legitimate reader will be able to access the tag secret key K. If the tag is re-queried without completing a session successfully, the tag sends its old IDS to the reader.
- Mutual authentication: Upon receiving the IDS, the reader generates two random numbers n1 and n2. By using these random numbers, K1 and K2 subkeys the reader generates A, B and C messages using the functions below:

$$A = Rot(Rot(IDS + K1 + \pi + n1, K2) + K1, K1)$$
(2.83)

$$B = Rot(Rot(IDS + K2 + \pi + n2, K1) + K2, K2)$$
(2.84)

$$n3 = MIXBITS(n1, n2) \tag{2.85}$$

$$K1^* = Rot(Rot(n2 + K1 + \pi + n3, n2) + K2 \oplus n3, n1) \oplus n3$$
(2.86)

$$K2^* = Rot(Rot(n1 + K2 + \pi + n3, n1) + K1 + n3, n2) + n3$$
(2.87)

$$n1^* = MIXBITS(n3, n2) \tag{2.88}$$

$$C = Rot(Rot(n3 + K1^* + \pi + n1^*, n3) + K2^* \oplus n1^*, n2) \oplus n1^* \quad (2.89)$$

where $\pi = 0x3243F6A8885A308D313198A2$. In this protocol Rot(x, y) means a circular shift on the value of x, (y mod 96) positions to the left. In order to obtain highly non-linear functions, MIXBITS function is created and it is presented in Figure 2.6:

Require X, Y Z = Y;for k = 0 to 32 do Z = (Z >> 1) + Z + Z + Y;end for return Z;

Figure 2.6. Algorithm of MIXBITS function.

When the tag receives these messages, it extracts the nonces n1 and n2. Then the tag computes a local version of submessage C. If it is verified, the tag sends $D = Rot(Rot(n2 + K2^* + ID + n1^*, n2) + K1^* + n1^*, n3) + n1^*$ to the reader.

• Index-pseudonym and key update: After sending D message to the reader, the tag

updates its keys and pseudonym. The tag also stores its old keys and pseudonym to avoid desynchronization attacks. As soon as the reader verifies D message, tag specific data is updated using the functions below:

$$n2^* = MIXBITS(n1^*, n3) \tag{2.90}$$

$$IDS' = Rot(Rot(n1^* + K1^* + IDS + n2^*, n1^*) + K2^* \oplus n2^*, n3) \oplus n2^*$$
(2.91)

$$K1' = Rot(Rot(n3 + K2^* + \pi + n2^*, n3) + K1^* + n2^*, n1^*) + n2^*$$
(2.92)

$$K2' = Rot(Rot(IDS' + K2^* + \pi + K1', IDS') + K1^* + K1', n2^*) + K1' \quad (2.93)$$

where IDS', K1' and K2' shows the updated values.

Later it is shown that Gossamer protocol suffers from desynchronization attack [24]. This attack is applied in three steps:

• The values stored in the database are named as IDS_1 , $K1_1$, $K2_1$. When the tag is queried by the reader, the adversary stores the $A \parallel B \parallel C$ message, but the adversary does not allow D message to reach the reader. Tag updates its keys without verifying whether D message has reached the reader or not. The values in the tag are:

$$(IDS_{old}, K1_{old}, K2_{old}) = (IDS_1, K1_1, K2_1)$$
(2.94)

$$(IDS_{new}, K1_{new}, K2_{new}) = (IDS_2, K1_2, K2_2)$$
(2.95)

• The adversary allows the reader and the tag to run a successful protocol. Since the IDS_2 is not recognized by the reader, reader asks for the older values. When the tag sends IDS_1 , they complete the protocol. The values in the tag are now:

$$(IDS_{old}, K1_{old}, K2_{old}) = (IDS_1, K1_1, K2_1)$$
(2.96)

$$(IDS_{new}, K1_{new}, K2_{new}) = (IDS_3, K1_3, K2_3)$$
(2.97)

• The adversary sends hello message to the tag. Tag responds with IDS_3 and the adversary pretends that he/she can not identify IDS_3 and asks for IDS_1 . Since the adversary has stored $A \parallel B \parallel C$ message in the first step, he/she sends it to the tag.

The tag updates its keys as:

$$(IDS_{old}, K1_{old}, K2_{old}) = (IDS_1, K1_1, K2_1)$$
(2.98)

$$(IDS_{new}, K1_{new}, K2_{new}) = (IDS_2, K1_2, K2_2)$$
(2.99)

whereas the keys in the database are IDS_3 , $K1_3$, $K2_3$.

In the forthcoming sessions, the reader and the tag will not be able to communicate since their keys are different.

In [25], a possible weakness of the protocol is identified. If the nonces n1 and n2 satisfies the condition that n1, $n2 \mod 96 = 0$, then adversary can rewrite the equations as:

$$C = K1^* + \pi + K2^* \tag{2.100}$$

$$D = K1^* + ID + K2^* \tag{2.101}$$

$$IDS_{new} = K1^* + IDS + K2^*$$
 (2.102)

since n1, n2, n3, $n1^* \mod 96$ are all zeros. Then, the adversary computes:

$$ID = D - C + \pi \tag{2.103}$$

$$ID = D - IDS_{new} + IDS (2.104)$$

$$C - \pi = IDS_{new} - IDS \tag{2.105}$$

It is obvious that if Equation 2.105 is satisfied between two session, adversary can calculate ID from the exchanged messages using Equation 2.103 or Equation 2.104.

2.6. Lee Protocol

Lee protocol [26] uses three parameters; a dynamic temporary identification IDT, a secret key K, and a static identification ID. Their length are all 128 bits. The protocol mainly consists of three stages:

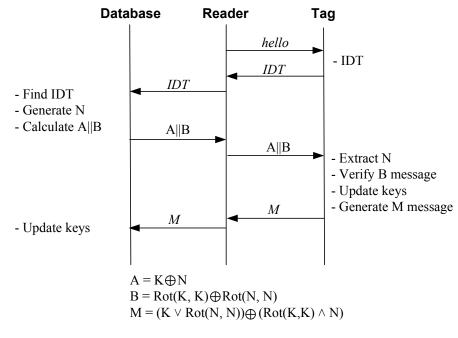


Figure 2.7. Lee protocol flow.

- Tag identification: The reader sends a inquire message to the tag and tag will answer with its dynamic temporary identification. By using the IDT, the legitimate reader will be able to access the tag secret key K.
- *Mutual authentication*: Upon receiving the *IDT*, the reader generates a random number N and computes A and B messages as follows:

$$A = K \oplus N \tag{2.106}$$

$$B = Rot(K, K) \oplus Rot(N, N)$$
(2.107)

where Rot(x, y) means left rotate of x with the number of one in y. The reader sends A and B messages to the tag. Upon receiving the messages, tag obtains the random number N from A, and computes a new B' message to check if it is equal to the one received from the reader. If the message is authentic, the tag generates

$$C = (K \lor Rot(N, N)) \oplus (Rot(K, K) \land N)$$
(2.108)

and sends it to the reader.

• Temporary identification and key update: After sending C message to the reader, the tag updates its key and temporary identification. The tag also stores its old key and

temporary identification to avoid desynchronization attacks. The server also updates its key and stores the old key and temporary identification as well. The key and temporary identification is updated using the functions below:

$$IDT_{new} = K \oplus Rot(N, N) \tag{2.109}$$

$$K_{new} = Rot(K, K) \oplus N \tag{2.110}$$

Also, this protocol does not fulfill its security claims including synchronization and key secrecy [27]. For full-disclosure attack, the adversary eavesdrops two consecutive sessions to acquire the following equations:

• The adversary eavesdrops the first authentication session between an authentic tag and a genuine reader to acquire the following equations:

$$A = K \oplus N \tag{2.111}$$

$$B = Rot(K, K) \oplus Rot(N, N)$$
(2.112)

• In the following authentication session, the adversary also eavesdrops the following equations:

$$IDT_{n+1} = K \oplus Rot(N, N) \tag{2.113}$$

$$A_{n+1} = K_{n+1} \oplus N_{n+1} \tag{2.114}$$

$$B_{n+1} = Rot(K_{n+1}, K_{n+1}) \oplus Rot(N_{n+1}, N_{n+1})$$
(2.115)

$$C_{n+1} = (K_{n+1} \lor Rot(N_{n+1}, N_{n+1})) \oplus (Rot(K_{n+1}, K_{n+1}) \land N_{n+1})$$
 (2.116)

The secret key in session n+1 is $K_{n+1} = Rot(K, K) \oplus N$. By using these equations, the adversary can now write:

$$A \oplus B \oplus IDT_{n+1} = K \oplus N \oplus Rot(K, K) \oplus Rot(N, N) \oplus K \oplus Rot(N, N)$$
(2.117)

=

=

$$= Rot(K, K) \oplus N \oplus (K \oplus K) \oplus (Rot(N, N) \oplus Rot(N, N))$$
(2.118)

$$Rot(K,K) \oplus N$$
 (2.119)

$$K_{n+1}$$
 (2.120)

By using this equation, the adversary obtains the current key of the tag easily. Therefore, Lee protocol fails to hold key secrecy and since the secret key is revealed, the adversary can now reveal random number N and clone the whole tag data. After capturing the secret key, the adversary can desynchronize the database and the tag as:

• During an authentication session, the adversary intercepts the A and B messages calculated with the random number N. Since the secret key and random number N is known, he/she calculates new messages with a random value N^* .

$$A = K \oplus N^* \tag{2.121}$$

$$B = Rot(K, K) \oplus Rot(N^*, N^*)$$
(2.122)

• The tag updates its values with the random number N^* , and calculates C message with N^* . The adversary intercepts this message and calculates the C message with the random number N.

$$C = (K \lor Rot(N, N)) \oplus (Rot(K, K) \land N)$$
(2.123)

Since the tag and the server is updated with different random numbers, they are out of synchronization in the forthcoming sessions. In the second desynchronization method the adversary can use older messages and the non-resistance of the bitwise operations to create new valid messages:

- The adversary eavesdrops an authentication session and captures the messages IDT, A, B, C. After authentication the secret values are IDT_{n+1} , K_{n+1} .
- Adversary selects a C_1 value with the restriction that its hamming weight is 2.
- Adversary selects a C_2 value from the subset of $x \in \{0, 1, ..., 2^{128}\}$ that has hamming weight of 2.
- Adversary computes the values:

$$A_{n+1} = A \oplus C_1 = K \oplus N \oplus C_1 \tag{2.124}$$

$$B_{n+1} = B \oplus C_2 = Rot(K, K) \oplus Rot(N, N) \oplus C_2$$

$$(2.125)$$

- If the tag accepts A_{n+1} and B_{n+1} and replies with C_{n+1} to the adversary, the attack is successfull. Otherwise, starting from C_2 selection, adversary repeats the steps.
- If the whole step completely fails, the adversary repeats the steps from the beginning.

It is calculated that the average number of trials until desynchronization is 8128 which is feasible to implement.

2.7. SLMAP* Protocol

In 2007, Li and Wang [28] introduced an ultralightweight RFID protocol denoted as SLMAP that is mainly based on LMAP protocol. In [29], it is shown that this protocol has some security flaws and later an improved version, SLMAP^{*}, is proposed by Li, Deng and Wang [30]. SLMAP^{*} protocol uses five parameters; an index pseudonym *IDS*, three secret

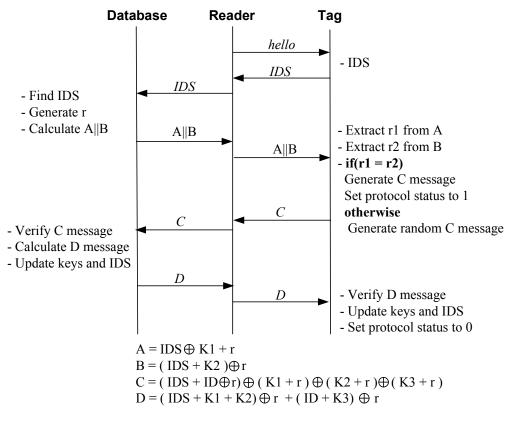


Figure 2.8. SLMAP^{*} protocol flow.

keys K1, K2, K3, and a static identification ID. The protocol consists of three stages:

• *Tag identification*: The reader sends a hello message to the tag and tag will answer with its current index pseudonym. By using the *IDS*, the legitimate reader will be

able to access the tag secret key K.

• Mutual authentication: Upon receiving the IDS, the reader generates a random number r. With this random number, reader generates $A = IDS \oplus K1 + r$ and $B = (IDS + K2) \oplus r$ messages and sends them to the tag. From $A \parallel B$, the tag computes r1 and r2 values:

$$r1 = A - (IDS \oplus K1) \tag{2.126}$$

$$r2 = B \oplus (IDS + K2) \tag{2.127}$$

If r1 = r2, tag sets the protocol status bit to one. Later, the tag prepares an answer message $C = (IDS + ID \oplus r) \oplus (K1 + r) \oplus (K2 + r) \oplus (K3 + r)$ and sends it to the reader.

• Index-pseudonym and key update: When the message is received by the reader, it computes a local C message. If it is equal with the one received from the tag, the reader computes:

$$D = IDS_{new} \tag{2.128}$$

$$= (IDS + K1 + K2) \oplus r + (ID + K3) \oplus r$$
 (2.129)

After updating IDS, K1, K2 and K3, the reader sends D message to the tag. When the tag receives D message, the tag also updates its secret values and sets the protocol status bit to zero.

The protocol status bit is set to zero if protocol is completed succesfully and set to one otherwise. If protocol status is one, the tag will expect D message from the reader. If no valid D message arrives for a maximum number of trials, for example c, tag resets its state and returns its status to the beginning of the session without changing the secrets.

In [31], authors have shown a way to desynchronize the tag and the server in two phases. The authors note that key update function is not defined in the protocol description, but they assume that the random number r is used in update function since most similar protocols, including SLMAP, use the random nonces in their update functions.

X_{MSB}	Y_{MSB}	r_{MSB}/\hat{r}_{MSB}	D_{MSB}/\hat{D}_{MSB}
0	0	0/1	0/0
0	0	1/0	0/0
0	1	0/1	1/1
0	1	1/0	1/1
1	0	0/1	1/1
1	0	1/0	1/1
1	1	0/1	0/0
1	1	1/0	0/0

Table 2.4. Truth table of the MSB values of messages.

- In phase one, the adversary eavesdrops a session between the tag and the reader but stops D message from reaching the tag. The reader will update its keys whereas the tag will not update its keys since D message is blocked. At the end of the session, adversary obtains the tuple $A \parallel B \parallel D$.
- In phase two adversary queries the tag until it reaches maximum number of trials to force the tag to reset the status bit to zero without changing any secret value. After the tag resets its state, the adversary initiates a session between the tag and tries to change the random number r as $\hat{r} = r \oplus I$ where I = [100...000]. Then adversary modifies A and B messages as $\hat{A} = A + I$ and $\hat{B} = B \oplus I$. After these modifications, the tag obtains

$$r1 = \hat{A} - (IDS \oplus K1) \tag{2.130}$$

$$= A + I - (IDS \oplus K1) \tag{2.131}$$

$$= r + I \tag{2.132}$$

$$r2 = \hat{B} \oplus (IDS + K2) \tag{2.133}$$

$$= B \oplus I \oplus (IDS + K2) \tag{2.134}$$

 $= r \oplus I \tag{2.135}$

Since $r1 = r2 = \hat{r}$, the tag accepts A and B messages and sends the C message.

Adversary ignores this message and sends the old D message to the tag. Tag computes $\hat{D} = (IDS + K1 + K2) \oplus \hat{r} + (IDS + K3) \oplus \hat{r}$. Tag updates its keys since it is equal to the D message as proven below:

The two messages can be written as:

$$D = (X \oplus r) + (Y \oplus r) \tag{2.136}$$

$$\hat{D} = (X \oplus \hat{r}) + (Y \oplus \hat{r}) \tag{2.137}$$

Two messages only differ in their MSB and Table 2.4 shows that they are equal in all cases. After the session, tag updates its keys with \hat{r} whereas the server updates its values with r. Therefore, tag and the reader will not be able to authenticate each other in the forthcoming sessions.

2.8. LMAP++ Protocol

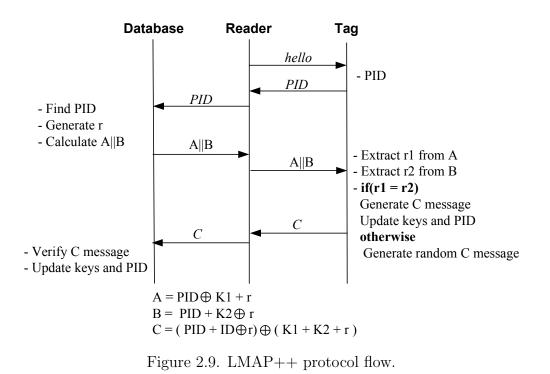
LMAP++ is proposed by Li in [32], and it is a modified version of SLMAP protocol. LMAP++ uses four variables: a static identifier ID, a dynamic pseudonym PID, and two keys K1 and K2. All parameters are 96-bit. PID shows the index of the tag specific data in the database. The protocol steps are as follows:

- *Tag identification*: The reader sends a hello message to the tag and tag will answer with its current index pseudonym. By using the *PID*, the legitimate reader will be able to access the tag specific data.
- Mutual authentication: Upon receiving the PID, the reader generates a random number r. With this random number, reader generates $A = PID \oplus K1 + r$ and $B = (PID + K2) \oplus r$ messages and sends them to the tag. From $A \parallel B$, the tag computes r1 and r2 values:

$$r1 = A - (PID \oplus K1) \tag{2.138}$$

$$r2 = B \oplus (PID + K2) \tag{2.139}$$

If r1 = r2, tag prepares an answer message $C = (PID + ID \oplus r) \oplus (K1 + K2 + r)$ and



sends it to the reader. If $r1 \neq r2$, a random C message is created.

Index-pseudonym and key update: After sending the C message, tag updates its variables. When C message is received by the reader, it computes another local C message. If it is equal with the one received from the tag, the reader updates PID, K1, and K2:

$$PID_{new} = (PID + K1) \oplus r + (ID + K2) \oplus r \qquad (2.140)$$

$$K1_{new} = K1 \oplus r + (PID_{new} + K2 + ID)$$
 (2.141)

$$K2_{new} = K2 \oplus r + (PID_{new} + K1 + ID) \tag{2.142}$$

In [33], authors presented a way to desynchronize the tag and the server. To mount the attack, authors assumes that the LSBs of *PID*, *K*1, *K*2 and *ID* are zero. Based on this assumption, the adversary eavesdrops a legitimate session and modifies *A* and *B* messages as $A' = A \oplus I$ and $B' = B \oplus I$ where $I = [000 \dots 0001]$. Based on the fact that, modular addition for LSBs can be replaced by XOR, the tag authenticates the reader but calculates a wrong random number $r' = r \oplus I$. After authenticating the reader, tag generates C message with r':

$$C = (PID + ID \oplus r') \oplus (K1 + K2 + r')$$
(2.143)

Since LSBs of *PID*, K1, K2 and *ID* are zero, replacing r by r' will have no effect on the computation of C message, and the reader will authenticate the tag. At the end of the protocol, the tag will update its variables with r', whereas the reader will update its keys with r. Therefore, the synchronization between the reader and the tag exists no more.

The success probability depends on the assumption that LSBs of PID, K1, K2 and ID are zero, and it has a success probability of 0.0625.

Traceability attack against LMAP++ also uses the fact that modular addition for LSBs can be replaced by XOR operation. For LSBs the message equations can be rewritten as:

$$A_{LSB} = PID_{LSB} \oplus K1_{LSB} \oplus r_{LSB} \tag{2.144}$$

$$B_{LSB} = PID_{LSB} \oplus K2_{LSB} \oplus r_{LSB}$$

$$(2.145)$$

$$C_{LSB} = PID_{LSB} \oplus ID_{LSB} \oplus r_{LSB} \oplus K1_{LSB} \oplus K2_{LSB} \oplus r_{LSB}$$
(2.146)

Using these equations the adversary can detect the LSB of its *ID* by calculating:

$$ID_{LSB} = A_{LSB} \oplus B_{LSB} \oplus C_{LSB} \oplus PID_{LSB} \oplus PID_{LSB} \oplus PID_{LSB} \qquad (2.147)$$

Keeping this equation in mind, if an adversary takes two tags with $ID_{LSB}^0 = 1$ and $ID_{LSB}^1 = 1$, he can distinguish these tags with a probability of one.

2.9. David-Prasad Protocol

In MobiSec'09, David and Prasad proposed a new ultralightweight mutual authentication protocol [34] for low-cost RFID tags. This protocol uses five parameters: an old pseudonym P_{ID} , a potential pseudonym P_{ID2} , two secret keys K1, K2 and a static identifier ID with all 96-bit length. The protocol flow can be summarized as:

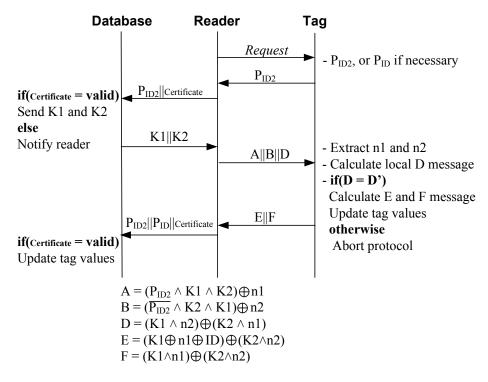


Figure 2.10. David-Prasad protocol flow.

- The reader sends a $C_{request}$ message to the server. If server authenticates the reader, the server sends certificate C that is valid for one day.
- If the reader has a valid certificate, the reader sends a request message to the tag, and tag replies with its pseudonym P_{ID2} .
- The reader sends the tuple $P_{ID2} \parallel C$ to the server to get the tag specific data. If the certificate is valid and P_{ID2} matches the one in the database, the server sends secret keys K1 and K2 to the reader. If P_{ID2} is not found, server informs the reader and the reader sends another request to get the old pseudonym P_{ID} of the tag.
- After getting the tag specific data, reader generates two random numbers n1, n2 and computes:

$$A = (P_{ID2} \wedge K1 \wedge K2) \oplus n1 \tag{2.148}$$

$$B = (\overline{P_{ID2}} \wedge K2 \wedge K1) \oplus n2$$
(2.149)

$$D = (K1 \wedge n2) \oplus (K2 \wedge n1) \tag{2.150}$$

where \overline{X} represents the bitwise NOT of X. Later, the reader sends these messages to the tag.

• From A and B messages, the tag gets the random numbers n1 and n2. Then, it

computes a local version of D message to check if the reader is authentic. If the reader is not authentic, protocol is aborted. After authenticating the reader, tag computes:

$$E = (K1 \oplus n1 \oplus ID) \oplus (K2 \wedge n2)$$
(2.151)

$$F = (K1 \wedge n1) \oplus (K2 \wedge n2) \tag{2.152}$$

Finally, the tag updates its values:

$$P_{ID} = P_{ID2} \tag{2.153}$$

$$P_{ID2} = P_{ID2} \oplus n1 \oplus n2 \tag{2.154}$$

• When the reader gets E and F messages, the reader computes a local version of F message and checks if it is equal to the received one. If they are equal, the reader can obtain static identifier of the tag as:

$$ID = E \oplus (K2 \wedge n2) \oplus K1 \oplus n1 \tag{2.155}$$

Then, the reader updates the tag specific data and sends the updated pair $\{P_{ID}, P_{ID2}\}$ and its certificate C to the server. If the certificate is valid, server updates the tag specific data.

It is later analyzed that this protocol suffers from traceability and full-disclosure attacks [35]. For traceability attack adversary eavesdrops a session between a legitimate reader and a tag. During the session, adversary captures and stores P_{ID2} and A, B, C, D, E, F. By computing XOR between E and F, adversary obtains:

$$E \oplus F = (K1 \oplus n1) \oplus (K1 \wedge n1) \oplus ID$$
(2.156)

Table 2.5 shows that for a probability of 0.75, XOR operation is the complement of AND operation for any bit position, so XOR of $K1 \oplus n1$ and $K1 \wedge n1$ is equal to one. This gives

K1	n1	$K1 \oplus n1$	$K1 \wedge n1$
0	0	0	0
0	1	1	0
1	0	1	0
1	1	0	1

Table 2.5. Truth table of XOR and AND operation.

adversary an opportunity to compute complement of ID for each bit:

$$E \oplus F = \overline{ID} \tag{2.157}$$

Keeping this equation in mind, adversary takes two tags T_0 and T_1 . Using the method above, adversary computes the approximate value of these tags as ID^{T0} and ID^{T1} . Then, he takes a candidate tag T^* , and computes approximate value of its ID^* . Finally, by looking at the bits of candidate tag, the adversary decides the tag as T_0 or T_1 with a probability of 0.125 for each bit.

Full-disclosure attack can be made by two different methods. In the first method, adversary eavesdrops two consecutive sessions to obtain two pseudonyms $P_{ID2}(i-1)$, $P_{ID2}(i)$ and messages $\{A_{i-1}, B_{i-1}, C_{i-1}, D_{i-1}, E_{i-1}, F_{i-1}\}$, $\{A_i, B_i, C_i, D_i, E_i, F_i\}$. By using these values, adversary computes:

$$Y = P_{ID2}(i-1) \oplus P_{ID2}(i) = n1 \oplus n2$$
(2.158)

$$Z = A_i \oplus B_i = (K1 \wedge K2) \oplus n1 \oplus n2 \tag{2.159}$$

Thus, XOR between Y and Z gives:

$$Y \oplus Z = K1 \wedge K2 \tag{2.160}$$

So, where $Y \oplus Z$ is one, this implies that both K1 and K2 are equal to one for that position. Eventually, after two consecutive sessions on average k/4 bits of both keys will be revealed. The second method is named as Passive Tango Cryptanalysis by the authors. This attack consists of two phases: selection of good approximations and combination of these good approximations.

• Diffusion properties of triangular functions are known as very poor. Thus, adversary uses {A, B, C, D, E, F} messages to create good approximations (GA) for secret values of the tag. The adversary selects a set of approximations for which hamming distance between an approximation and the secret value deviates from the expected value, 48. After that, adversary lists the approximations in Table 2.6 as the best for each secret value.

Target	Good Approximation
K1	$GA - K1 = \{D, F, (A \oplus D), \overline{(A \oplus F)}, \overline{(B \oplus D)}, $
	$(B\oplus F), (A\oplus B\oplus D), (A\oplus B\oplus F)\}$
K2	$GA - K2 = \{D, F, \overline{(A \oplus D)}, (A \oplus F), (B \oplus D), $
	$\overline{(B\oplus F)}, (A\oplus B\oplus D), (A\oplus B\oplus F)\}$
ID	$GA - ID = \{\overline{(E \oplus F)}, (A \oplus B \oplus E), (A \oplus D \oplus E), (A \oplus D \oplus E), (A \oplus D \oplus E), (A \oplus B$
	$(A \oplus E \oplus F), (B \oplus D \oplus E), (D \oplus E \oplus F),$
	$\boxed{(A \oplus B \oplus D \oplus E)}, (A \oplus D \oplus E \oplus F), \overline{(B \oplus D \oplus E \oplus F)}$

Table 2.6. Best approximations for secret values.

• In this phase, adversary tries to combine different approximations obtained in different sessions to construct a global approximation which is highly correlated with the secret value. This is done by eavesdropping authentication sessions between legitimate parties. For each session, adversary computes and stores the approximations as rows of three $(N_S N_A) \mathbf{x} L$ matrices, namely G_{K1} , G_{K2} and G_{ID} , (one for each K1, K2 and ID) where N_A is the number of approximations for each secret value, N_S is the number of eavesdropped sessions and L is the bitwise length of the secret value. The matrice is built as shown in Figure 2.11 where GA_i^j represents the i-th good approximation in the j-th session. Then, adversary builds three $1\mathbf{x}L$ matrices in which each column shows the total number of ones in each column of the corresponding G matrice. These matrices, which we can call as approximation matrices, represent the approximate value of the corresponding secret value. Finally, adversary replaces each column of the ap-

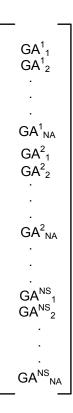


Figure 2.11. Approximation matrice structure.

proximation matrices with zero if the value in the column is below a given threshold γ , or one in any other case. The value of the γ is calculated by:

$$\gamma = 0.5 N_A N_S \tag{2.161}$$

These final matrices show the value of the corresponding secret value. As the number of eavesdropped sessions increase, more bits are revealed accurately. It is shown that after five or 10 sessions, more than 90 bits of each secret value is revealed with this attack.

3. A RECENT ULTRALIGHTWEIGHT PROTOCOL

A new type of attack for a recent ultralightweight protocol is defined in this chapter.

3.1. Spacing Based Authentication Protocol (SBAP)

SBAP is an ultra lightweight protocol that uses an XOR operation and a spacing algorithm to generate a new secret ID for each session [12]. SBAP proposes two authentication methods for the same protocol where the second method is an enhanced version of the first one that reduces server time complexity by saving the key that will be used in the forthcoming sessions.

Assume that each tag keeps its own secret S and a tag ID, and the reader stores a list of secret IDs. For tag authentication, SBAP uses a partial ID which is denoted by P and generated as follows:

$$P = P_{odd} \oplus P_{even} \tag{3.1}$$

where $P_{odd} := f(S, u, odd)$ and $P_{even} := f(S, u, even)$ for an ultralightweight extracting function f(S, u, b) having three inputs: bit string S, random spacing factor u and a Boolean variable b.

Generation of the f function is quite simple: let L be the length of the bit stream S, u be a positive integer dividing L. Thus, we may write $S = s_0 s_1 \dots s_{L-1}$, and partition S to get smaller bit streams $q_i = (s_{iu}s_{iu+1} \dots s_{iu+u-1})$ for $i = 0, 1, \dots, L/u - 1$. Once S is partitioned into q_i values, $P_{odd} := f(S, u, 1)$ and $P_{even} := f(S, u, 0)$ are simply calculated by concatenating the odd and even indexed q_i digits respectively.

For instance, in Figure 3.1, we illustrate how the spacing process works for a secret S having L = 16 bits long, and the spacing factor u = 2.

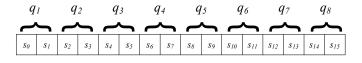


Figure 3.1. An example for the spacing algorithm.

Note that after the partitioning, one computes P_{odd} and P_{even} values as follows:

$$P_{odd} = q_1 \parallel q_3 \parallel q_5 \parallel q_7 \tag{3.2}$$

$$P_{even} = q_0 \| q_2 \| q_4 \| q_6 \tag{3.3}$$

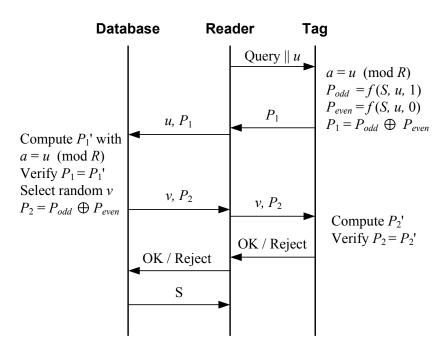


Figure 3.2. Protocol flow for regular method in SBAP.

Our next step is to describe SBAP with its regular and enhanced methods. The enhanced SBAP is proposed to reduce the searching/authentication time in the server if there is a fair amount of tags in the system. The main difference between two protocols is that in the enhanced method, both tag and server generate and save a P_n value for the next section's use. In other words, the tag always responses with P_n and the server initially performs a quick search for P_n in its saved P_n database. If a match exist, the server authenticates the tag otherwise it goes through the regular process. Such an approach surely reduces the server load as enhanced SBAP performs less spacing operations.

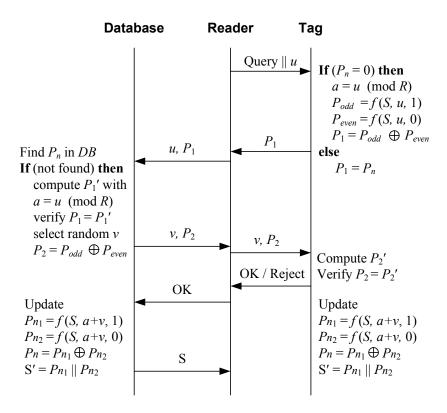


Figure 3.3. Protocol flow for enhanced method in SBAP.

With these remarks in mind, the details of both regular and enhanced SBAP are described in the following paragraphs and further illustrated in Figure 3.2 and 3.3 respectively.

- (i) Step one: Partial ID generation
 - The reader generates a random nonce, and sends a request along with this nonce to the tag.
 - The first method reduces the range of this random nonce and generates a new partial ID using the calculations above, and responses with it. But the second method only computes a new partial ID if it does not have any P_n computed in the previous successful session. After a successful session, the second method always responses with the last computed P_n value.
- (ii) Step two: Authentication
 - Upon receiving partial ID P_1 , the reader forwards it to the database.
 - The server looks for a match for P_1 in the P_n database, if no such P_1 exists, it

calculates P_1 with the random nonce u and secret key S

- After verification database generates a random nonce v, and calculates a message P_2 with this nonce using the same spacing algorithm. The reader then forwards (P_2, v) pair to the tag.
- (iii) Step three: Verification & Key Update
 - Upon receiving verification message P_2 , the tag verifies its correctness.
 - After checking P_2 value, in both methods, the tag simply sends OK/Reject response to the reader, and the reader forwards it to the database.
 - However, the enhanced SBAP performs an additional key update session. After verification, it updates the secret key by using the following two values:

$$P_{n_1} = f(S, a + v, odd) \tag{3.4}$$

$$P_{n_2} = f(S, a + v, even) \tag{3.5}$$

The P_n value for the coming session is calculated as $P_n = P_{n_1} \oplus P_{n_2}$ and the secret key S is updated as $S = P_{n_1} \parallel P_{n_2}$.

• On the other hand, once the database receives the last OK message it performs the same update to calculate the fresh P_n and S values.

3.2. Attacks

Although SBAP claims to provide both security and privacy in its design objectives, we outline very strong attacks that SBAP failed to fulfill its claims. We manage to perform a total breakdown by compromizing the secret key information. Other weaknesses, we report for SBAP includes the strong attacks such as traceability, replay and desynchronization attacks.

3.2.1. Full Disclosure

We claim that SBAP does not hold the key secrecy. In order to prove this this claim, we exhibit an attack for the enhanced method that captures the secret key of the tag without an exhaustive search.

Assume that P_n is not assigned (means it is equivalent to zero). In this phase any request to the tag would be replied back with a fresh P_1 . Notice that the adversary could get the length information of the secret key S by simply sending the nonce $N_r = 1$ to the tag since the tag would response such a message with P_1 having a length equals to the half of the secret key length.

Remark 3.1. The authors in [12] did not explicitly discuss the relation of the secret length L with the spacing factor u. However, according to our analysis the protocol would be extremely weak if

- spacing factor u does not divide L
- u divides L but L/u is odd

Notice that, in both cases the secret S needs a padding in order to generate P_1 value. Since XOR of the padding and the secret key bits are open the adversary would extract the secret key bits from P_1 .

Next proposition shows that even if the assumptions in Remark 3.1 are satisfied, the key space for S can be shrunk.

Proposition 3.2. Let S be a secret having a length L; the spacing factor u = 2 divide L and L/2 be even, then the bit search space for S can be shrunk to L/4.

Proof. Since L/u is even for u = 1 and u = 2, the adversary may send the nonces one and two without completing a session successfully. If P_1 and P'_1 are the respective responses of the tag and $S = s_0 s_1 \dots s_{L-1}$ represents the secret bit stream, P_1 and P'_1 can be given as follows:

$$P_1 = (s_0 \oplus s_1)(s_2 \oplus s_3) \dots (s_{L-2} \oplus s_{L-1})$$
(3.6)

$$P'_{1} = (s_{0} \oplus s_{2})(s_{1} \oplus s_{2}) \dots (s_{L-3} \oplus s_{L-1})$$
(3.7)

$$P_1[2i] = P_{odd}[2i] \oplus P_{even}[2i] = s_{4i} \oplus s_{4i+1}$$
(3.8)

$$P_1'[2i] = P_{odd}'[2i] \oplus P_{even}'[2i] = s_{4i} \oplus s_{4i+2}$$
(3.9)

$$P_1[2i+1] = P_{odd}[2i+1] \oplus P_{even}[2i+1] = s_{4i+1} \oplus s_{4i+3}$$
(3.10)

but these leads to

$$s_{4i+1} = P_1[2i] \oplus s_{4i} \tag{3.11}$$

$$s_{4i+2} = P_1'[2i] \oplus s_{4i} \tag{3.12}$$

$$s_{4i+3} = P_1[2i+1] \oplus s_{4i+1} = P_1[2i+1] \oplus P_1[2i] \oplus s_{4i}$$
(3.13)

which means that the bit search space for S can be shrunk to L/4 since s_{4i+1}, s_{4i+2} and s_{4i+3} can be written as a sum of s_{4i} for i = 0, 1, ..., L/4 - 1.

In fact the choice of L shrinks the bit search space of S even further, in particular, if L is a power of two, S can be compromised with a single bit search. We give this result as a corollary for the following theorem.

Theorem 3.3. Let $L = k2^m$ for some positive integer m and odd k, then the bit search space for S can be shrunk to $L/2^m$.

Proof. Since L/u is even for 2^i for i = 0, 1, ..., m - 1, assume that the adversary sends the nonces $1, 2^1, 2^2, ..., 2^{m-1}$ without completing a session successfully, and gets the following respective responses from the tag:

÷

$$P_1^0 = (s_0 \oplus s_1)(s_2 \oplus s_3) \dots (s_{L-2} \oplus s_{L-1})$$
(3.14)

$$P_1^1 = (s_0 \oplus s_2)(s_1 \oplus s_3) \dots (s_{L-3} \oplus s_{L-1})$$
(3.15)

$$P_1^2 = (s_0 \oplus s_4)(s_1 \oplus s_5) \dots (s_{L-5} \oplus s_{L-1})$$
(3.16)

$$P_1^m = (s_0 \oplus s_{2^m})(s_2 \oplus s_{2^m+1})\dots(s_{L-2^{m-1}-1} \oplus s_{L-1})$$
(3.18)

Notice that for $i = 0, 1, 2, ..., 2^m - 1$, any s_i could be written as a combination of the bits of $P_1^0, P_1^1, ..., P_1^m$ and s_0 . Since $L = k2^m$, a similar analysis could be done for each adjacent disjoint subset of S having 2^m bits. In other words, any s_i in S could be written as a combination of the bits of $P_1^0, P_1^1, ..., P_1^m$ and $s_0, s_{2^m}, s_{2\cdot 2^m}, ..., s_{(k-1)2^m}$. Since there are ksuch base elements and P_1^i for i = 0, 1, 2, ..., m are known, it suffices to search the bit space $k = L/2^m$ instead of searching L.

Corollary 3.4. Let $L = 2^m$ for some positive integers m then the bit search space for S in SBAP shrinks to a single bit search.

Proof. The length of S is $L = 2^m$ implies k = 1, hence, the bit search space is single bit search by Theorem 3.3. In other words, S can be written as a linear combination of s_0 . \Box

We give the following toy example in order to present the power of the described attack.

Example 3.5. Assume that secret ID S is a 8 bit key where $S = s_0 s_1 \dots s_7$. Let the adversary send three nonces one, two and four without completing a session succesfully, then the tag responses with P_1^0, P_1^1 and P_1^2 messages calculated as follows:

$$P_1^0 = (s_0 \oplus s_1)(s_2 \oplus s_3)(s_4 \oplus s_5)(s_6 \oplus s_7) \tag{3.19}$$

$$P_1^1 = (s_0 \oplus s_2)(s_1 \oplus s_3)(s_4 \oplus s_6)(s_5 \oplus s_7)$$
(3.20)

$$P_1^2 = (s_0 \oplus s_4)(s_1 \oplus s_5)(s_2 \oplus s_6)(s_3 \oplus s_7)$$
(3.21)

Lets say that $P_1^0 = 1010$, $P_1^1 = 0110$ and $P_1^2 = 0011$ are recorded responses by the adversary. Using these values, the following linear equations can be written using the bits of the secret key S.

$$s_0 = s_0 \tag{3.22}$$

$$s_1 = P_1^0[0] \oplus s_0 = s_0 \tag{3.23}$$

$$s_2 = P_1^1[0] \oplus s_0 = s_0 \tag{3.24}$$

$$s_3 = P_1^0[1] \oplus s_2 = P_1^0[1] \oplus P_1^1[0] \oplus s_0 = \bar{s}_0 \tag{3.25}$$

$$s_4 = P_1^2[0] \oplus s_0 = \bar{s}_0 \tag{3.26}$$

$$s_5 = P_1^2[1] \oplus s_1 = P_1^2[1] \oplus P_1^0[0] \oplus s_0 = \bar{s}_0 \tag{3.27}$$

$$s_6 = P_1^1[2] \oplus s_4 = P_1^1[2] \oplus P_1^2[0] \oplus s_0 = \bar{s}_0 \tag{3.28}$$

$$s_7 = P_1^0[3] \oplus s_6 = P_1^0[3] \oplus P_1^1[2] \oplus P_1^2[0] \oplus s_0 = s_0$$
(3.29)

Note that using a spacing parameter which is close to the range R is subject to even simpler key recovery attack. In fact, this is the case where a padding is necessary to either P_{odd} or P_{even} to generate a legitimate P_1 . Although the authors did not mention the padding scheme explicitly, any padding which does not involve random bits would face SBAP to simpler attacks.

3.2.2. Location Privacy and Untraceability

Observe that the first method of SBAP protocol does not use any update mechanisms. If the adversary always queries the tag with the same nonce, the output will always be the same therefore making the tag traceable. This method is also applicable to the second method of SBAP when P_n is equal to zero.

When P_n is not equal to zero, the second method sends the same P_n value until next successful session. If the adversary queries the tag without completing a session, the tag will always respond with the same value which makes the tag an easy target for tracking.

3.2.3. Desynchronization

Desynchronization attack is only applicable to the second method of SBAP protocol since the first method does not update the keys. At the end of the second method if the tag updates its key, it sends OK response to the reader. Upon receiving this reply, the database also updates its key. If the adversary intercepts this message from reaching to the reader, the tag will update its key whereas the key in the database will not be updated. This will render the tag useless for further interactions.

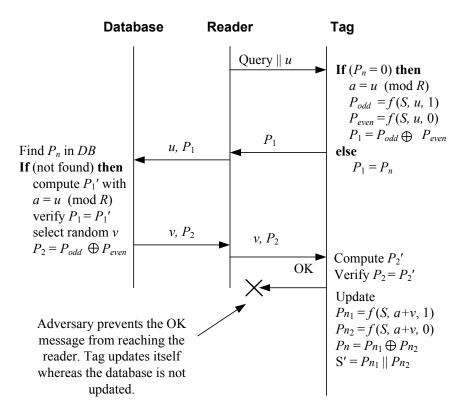


Figure 3.4. Desynchronization attack scenario for SBAP.

3.2.4. Replay Attack

In the enhanced method of SBAP protocol when P_n is not equal to zero, the tag does not use the nonce generated by the server. Adversary can obtain access, by simply forwarding one of the tag's P_n message to the reader, and send OK when he receives P_2 message from the reader.

This attack will also make the legitimate tag to be desynchronized with the server. It is obvious that the protocol lacks the mutual authentication property.

4. **RESULTS**

In the RFID protocol analysis, following parameters should be considered:

- Number of exchanged messages
- Number of protocol steps
- Number of gates
- Storage size (EEPROM or FLASH memory)
- Functions used in the protocol

According to the functions used in the protocol, RFID protocols can be classified into four categories [19]:

- *Full-fledged*: These are the strongest protocols [36,37] since they can use cryptographic one-way functions and even public key algorithms in their implementation. But, as a result they require larger memory size than other types of protocols.
- *Simple*: Random number generators and one-way hash functions are available for this kind of protocols [38–40].
- *Lightweight*: They include random number generators like simple protocols but instead of one-way hash functions they use simpler functions like CRC [41–44].
- *Ultralightweight*: This kind of protocols are implemented on RFID tags with small computational size and therefore they can only support bitwise operations to encrypt the exchanged messages.

In this context, protocols that are analyzed in this thesis are ultralightweight protocols, and therefore they have limited number of gates less than 1K. Because of limited number gates, extensive cryptographic functions can not be implemented on ultralightweight RFID tags. As seen on Table 4.1, all of the existing cryptographic functions are over 1K.

Parameters of the analyzed protocols are given in Table 4.2 where $L_1 = 96 \ bits$, $L_2 = 128 \ bits$ and L_3 is not defined in the protocol description.

Table 4.1. Number of gates for cryptographic functions.

Function	Number of gates		
Amphion [45]	25K		
Fast SHA-256 Helion [46]	23K		
Fast SHA-1 Helion [46]	20K		
MD5 Helion [46]	16K		
Feldhofer [6]	3595		
JungFL [47]	3089		
Universal Hash Yksel [48]	1.7 K		

Table 4.2. Comparison of protocol parameters.

Protocol	Number of	Number of	Used	Storage
	exc. messages	auth. steps	functions	size
LMAP	4	4	$\oplus, +, \lor$	$6L_1$ bits
$M^{2}AP$	5	4	$\oplus,+,\vee,\wedge$	$6L_1$ bits
EMAP	5	4	\oplus, \lor, \land	$6L_1$ bits
SASI	4	4	$\oplus, \lor, \land, +, Rot$	$7L_1$ bits
Gossamer	4	4	$\oplus, +, Rot, Mixbits$	$7L_1$ bits
Lee	4	4	\oplus, Rot, \lor, \land	$5L_2$ bits
SLMAP*	4	5	$\oplus, +, -$	$(5L_1+1)$ bits
LMAP++	3	4	$\oplus, +$	$(4L_1+1)$ bits
David-Prasad	5	4	\oplus, NOT, \land	$5L_1$ bits
SBAP	2	4	$\oplus, Spacing$	$2L_3$ bits

SBAP is the simplest protocol among other protocols in terms of used functions and storage size. All of the proposed protocols use simple operations like XOR to hide sensitive information but none of them are fully secure, and worse all protocols except LMAP++ and SLMAP* are proved to be insecure in terms of key-secrecy which makes them vulnerable to other kind of attacks. Ultralightweight functions used in the protocols suffer mainly from the following weaknesses:

• XOR: All of the protocols use XOR operation in their implementation, but it lacks the avalanche effect to hide all secret data and gives an advantage to define bits by simple operations. The most obvious secure way to hide data with XOR operation is to use a random value. For example, if A is the data to hide then it must be XOR-ed with a random value B that is unknown to the adversary.

A = Data B = random number $M = A \oplus B$ Output is totally random to the adversary

Figure 4.1. Most secure way to use XOR operation.

But if the same data A or random number B is used within other messages, the adversary can try to calculate approximate functions as in the passive tango analysis of David-Prasad protocol or use any weakness in the other messages to reveal information. That is why, same data must be handled carefully in other messages.

Although this is the most secure way to hide data, many protocols use the random values in the update sessions to update their hidden values. For example, if a protocol sends:

$$M = (A \oplus B) \tag{4.1}$$

to tag or reader. Adversary captures this message and modifies any bit of the message as:

$$M' = M \oplus [I]_j = A \oplus B \oplus [I]_j \quad (0 \le j \le 95) \tag{4.2}$$

When the legitimate party receives the modified message, it extracts a wrong random number $B \oplus [I]_j$. If this wrong random number is used in update session, legitimate party may be useless for forthcoming sessions. That's why consistency of the random number must be assured with other messages if the random number is used in the update session or in the computation of any other crucial step.

• OR & AND operation: The truth table of simple bitwise operations are given in Table 4.3.

A	В	$A \wedge B$	$A \oplus B$	$A \lor B$
0	0	0	0	0
0	1	0	1	1
1	0	0	1	1
1	1	1	0	1

Table 4.3. Truth table of AND, XOR and OR operation.

The following statements can be derived from Table 4.3:

- (i) The output of AND operation is equal to the complement of XOR operation for the 0.75 of the time.
- (ii) The output of OR operation is equal to XOR operation for the 0.75 of the time.
- (iii) When A and B are equal to zero, the output of all three operations are zero.
- (iv) The output of AND operation is equal to the OR operation for the 0.5 of the time.
- (v) The output of AND operation is equal to the complement of OR operation for the 0.5 of the time.

These relations give adversary an opportunity to replace operators with a probability and if the probability is not negligible then the security of the protocol can be breached. For example, as depicted in Figure 4.2, if the adversary eavesdrops two messages in a session as:

$$M_1 = A \wedge B \tag{4.3}$$

$$M_2 = (A \oplus B) \oplus C \tag{4.4}$$

where A and B represents any data and C is the data to hide. Adversary writes M_1 as the complement of XOR operation, $M_1 = \overline{A \oplus B}$ with a probability of 0.75. Then adversary computes:

$$M_1 \oplus M_2 = \overline{(A \oplus B)} \oplus (A \oplus B) \oplus C \tag{4.5}$$

```
A = Data 1 B = Data 2 C = Hidden Data

M_{1} = (A \land B)
M_{2} = (A \oplus B) \oplus C
Adversary writes M_{1} = (A \land B)
as M1 = (A \oplus B)
M = (\overline{A \oplus B}) \oplus (A \oplus B) \oplus C
For any bit position where M is equal to one,

adversary writes:

M = \overline{C}
```

Figure 4.2. Attack by replacing AND operation with XOR operation.

If the j-th bit of $M_1 \oplus M_2$ is equal to one, j-th bit of hidden data C is the complement of $M_1 \oplus M_2$:

$$M_1 \oplus M_2 = \overline{C} \tag{4.6}$$

This method is used in the David-Prasad protocol to breach the security of the system. Therefore, precautions must be taken to avoid for any other bitwise operation replacement attacks.

• Addition: Addition operation is actually XOR operation with carry bits. Assume that;

$$A = 10100110 \tag{4.7}$$

$$B = 01110101 \tag{4.8}$$

Then

$$A \oplus B = 11010011$$
 (4.9)

$$A + B = 100011011 \tag{4.10}$$

One must notice the overflow bit in the addition operation and this bit is omitted. In

the addition operation, carry bit array is captured as:

$$Carry = 11100100$$
 (4.11)

Later, adversary pads the carry bit array with a zero since the carry bit array is calculated for the second bit where first bit represents the LSB. Finally, the adversary computes $A \oplus B$ and the carry bit array as:

$$Carry \oplus (A \oplus B) = 11100100 \oplus 11010011 = 100011011$$
 (4.12)

which is equal to A + B. This example is depicted in Figure 4.3.

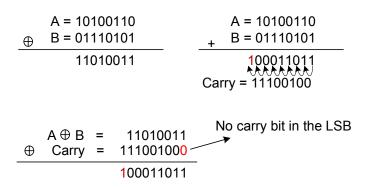


Figure 4.3. Relation between addition and XOR operation.

When XOR operation is used with addition, for the LSB, addition can be replaced with XOR operation. This case can be used as an analysis tool by the adversary to perform various attacks. Traceability attack of SASI and LMAP++ protocol uses this weakness.

Furthermore, after revealing the LSB, adversary can handle the carry bit to reveal other bits as it is done in the M²AP protocol. Therefore, addition operation must be handled carefully within the protocols.

• Rotation functions: Rotation function is firstly used in the SASI protocol. When rotation functions are used in the protocols, it is much harder to do the cryptanalysis. Nevertheless, when the output of rotation functions are predictable (same as input, equal to one or zero, ...), the algorithms are simplified to bitwise operations which is much easier to analyze. For example, if a protocol hides the sensitive information by

calculating the message:

$$M = Rot(A, B) \oplus C + D \tag{4.13}$$

where Rot(x, y) is a rotation function. If there is a case that Rot(A, B) = 0, the message can be simplified as:

$$M = C + D \tag{4.14}$$

which is much more easier to analyze. The attacks against the key secrecy property of SASI and Gossamer protocols use this weakness. Note that MIXBITS function of the Gossamer protocol is also a rotation function and it suffers from the case that its output is equal to zero if n1, $n2 \mod 96 = 0$ condition is satisfied.

• Spacing function: Spacing function is brand new in the ultralightweight protocol designs. It is actually some kind of remapping function that changes the position of bits in data. But it suffers from the case that if the spacing parameter is too big, then the data must be padded with a row of ones or zeros. This weakness reveals the bits of hidden data and the key secrecy fails to hold.

In the analyses of previous literature, the most outstanding attack is the Passive Tango defined for the cryptanalysis of David-Prasad protocol. In this attack, the adversary tries to create approximate functions to reveal the secret data and by eavesdropping the consecutive sessions he/she computes the secret information.

Although it is not mentioned in the analyses, all of the protocols are k - th traceable that until next succesful authentication they always reply with the same PID or IDS.

5. CONCLUSION

In this thesis work, the security analyses of previous ultralightweight RFID protocols are summarized and a new ultralightweight RFID protocol is examined in terms of security and privacy.

The severity of attacks for previous protocols show that these protocols are insecure. Two main reasons for these weaknesses are:

- Simple bitwise operations are not sufficient to provide secure RFID authentication against powerfull adversarial models since the resulting messages are strongly biased. Designers must handle these operators carefully within the public messages.
- Rotation functions are necessary for RFID protocol designs. Nevertheless, it is also important to select right type of rotation function and rotation functions, alone, are not sufficient to provide security in ultralightweight protocols.

A new type of attack is defined for SBAP protocol to reveal secret ID of the tag. Fulldisclosure attack for SBAP protocol is based on the property of XOR operation. Although the protocol uses a spacing algorithm, it still gives adversary an opportunity to define the bits of secret key in terms of other bits. As the adversary eavesdrops consecutive sessions, the number of unknown bits decreases dramatically. Also if the spacing parameter is chosen close to the range, because of the padding the secret key is revealed much more easier. Location privacy is not achieved since the tag always responses with the same reply to the same nonce. Also replay attack is applicable to the enhanced SBAP, since the tag does not use the nonce generated by the reader.

Analyses show that there is still a need for a secure ultralightweight protocol. Some candidate protocols are proposed recently by various researchers [49, 50]. But it is later analyzed that [49] also suffers from full-disclosure and desynchronization attacks in [51]. To the best of our knowledge [50] has not received any attack yet.

Nevertheless, the security of candidate ultralightweight protocols must be proved with elaborated crypt-analysis to avoid vulnerabilities that are defined in this work and other literature.

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