YAŞAR UNIVERSITY GRADUATE SCHOOL OF NATURAL AND APPLIED SCIENCES

MASTER THESIS

DESIGN AND COMPUTATIONAL OPTIMIZATION OF AN INTEGRATED SHADING DEVICE INTO A BUILDING

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ABSTRACT

DESIGN AND COMPUTATIONAL OPTIMIZATION OF AN INTEGRATED SHADING DEVICE INTO A BUILDING

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 Most of the countries in the world are outfacing the reduction of energy consumption, even though electrical appliances began to be used extensively in buildings. Architectural aspects should be reappraised to decrease this energy consumption. Overheating issue is one of these aspects, and it is originated by large glazed facades. To overcome this overheating problem, shading elements should be necessarily integrated at an early-design stage in the design process. Especially in summer time, shading elements are significantly necessary to protect the window from solar radiation. Therefore, shading devices should be considered as an integral part of the façade design during the conceptual phase of the building design. This thesis aims to develop a shading device in a building by using parametric modelling techniques in combination with Intelligent Decision Support tools, optimization methods, and simulation techniques. The study is about how to design more efficient shading element for summer and winter time in general. The objectives considered in this study are daylight and total energy consumption which includes heating and cooling energy consumptions. An application of the method is presented, focusing on the design of a shading device integrated to the one of the buildings at Yaşar University in Izmir, Turkey.

Keywords: Shading device, daylight, energy, optimization, simulation, Intelligent Decision Support tools.

ÖZET

BİR BİNAYA ENTEGRE EDİLEBİLEN GÖLGELEME ELEMANININ TASARIMI VE BİLİŞİMSEL OPTİMİZASYONU

Ayça KIRIMTAT

Yüksek Lisans Tezi, Mimarlık Bölümü Tez Danışmanı: Prof. Dr. İ. Sevil Sarıyıldız İkinci Danışmanı: Doç. Dr. Başak Kundakçı KOYUNBABA, Üçüncü Danışmanı: Öğr. Gör. Ioannis Chatzikonstantinou Eylül 2016, 105 sayfa

Dünyadaki birçok ülke elektrikli aletlerin yaygın bir şekilde kullanılmaya başlanmasına rağmen enerji tüketimini azaltmak için çaba harcamaktadır. Bu enerji tüketimini azaltmak için mimaride bakış açıları yeniden değerlendirilmelidir. Aşırı ısıtma konusu bu bakış açılarından biridir ve büyük cam cepheler buna neden olmaktadır. Aşırı ısıtma konusu ile baş etmek için gölgeleme elemanları tasarım sürecinin ilk aşamalarında entegre edilmelidir. Özellikle yazın, gölgeleme elemanları pencereyi solar radyasyondan korumak için önemli ölçüde gereklidir. Bu yüzden gölgeleme elemanları bir bina tasarımının konsept aşaması boyunca cephe tasarımına entegre düşünülmelidir. Bu tez parametrik modelleme teknikleri ile birlikte Akıllı Karar Verme Desteği araçları, optimizasyon methodları, ve simulasyon teknikleri yardımıyla binaya uygun bir gölgeleme elemanı geliştirmeyi amaçlamaktadır. Bu çalışma hem yaz hem de kış mevsimi için nasıl daha verimli bir gölgeleme elemanı tasarlanması gerektiği hakkındadır. Bu çalışmada düşünülen amaçlar doğal ışık ve ısıtma ve soğutma enerjisi tüketimini kapsayan total enerji tüketimidir. Sunulan methodun uygulaması İzmir, Türkiye'de yer alan Yaşar Üniversitesi'ndeki bir binaya entegre edilebilen bir gölgeleme elemanı tasarımına odaklanmaktadır

Anahtar sözcükler: Gölgeleme elemanı, doğal ışık, enerji, optimizasyon, simulasyon, Akıllı Karar Verme Destek araçları.

To my father Architect Kenan KIRIMTAT…

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> Ayça KIRIMTAT İzmir, 2016

TEXT OF OATH

I declare and honestly confirm that my study, titled "Design and Computational Optimization of an Integrated Shading Device into a Building" and presented as a Master's Thesis, has been written without applying to any assistance inconsistent with scientific ethics and traditions, that all sources from which I have benefited are listed in the bibliography, and that I have benefited from these sources by means of making references.

Ayça KIRIMTAT

Izmir, 2016

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CHAPTER 1

INTRODUCTION

1.1 Motivation

There is an important necessity for the reduction of the effects of global warming all around the world. This problem brings the need for reduction of green gas emissions in its wake. Therefore, European Union has an aim of reduce the greengas emissions at least 20% by 2050 (EU, EPDB recast, 2010). While buildings all around the world becomes extensive, precautions should be taken for both existing and new buildings to provide energy efficiency. One of the most important precautions is using shading devices in buildings. They are quite necessary since they prevent overheating during cooling period.

 Furthermore, European policies underline the importance of energy efficiency to accentuate energy guarantee and climate change according to Carvalho (2013). Around 40% of the total final energy use and 36% of the total $CO₂$ emissions of the EU Member States are disposed of by buildings in the European Union (Efficiency E.). If we look from the viewpoint of energy conservation in buildings, shading devices are really necessary for highly glazed facades. Architects and engineers provide facades with large, glazed portions in buildings for daylight and external view. A risk of creating high heating and cooling loads in these buildings should not be forgotten (Wall and Poirazis, 2008; Hien Et Al., 2005). Overhangs (Lee and Tavil, 2005), external roller shades (Tzempelikos and Athienitis, 2007), venetian blinds (HS and Binder, 2008) and internal shading (Florides and Kalogirou, 2000) are some of the shading device types to provide energy efficiency in buildings. As mentioned following parts, different researchers have studied these shading devices in various cases. Moreover, these studies underline the significance of the use of these shading device types in buildings.

 In Platzer's research (2001), there are two reasons to use shading device in a building: first one is to prevent direct sunlight and solar radiation into the building in summer time and second one is to allow solar gains in winter time. In winter and summer time, natural light is necessary for interior spaces. Moreover, there are other architectural aspects that should be taken into consideration such as the building type, building form, orientation, and the latitude at the same time mounting shading devices

into glazed facades in any building. The shading device type affects the level of desirable natural light, thermal comfort and visual comfort (Kim, 2010).

 Littlefair Et Al. (2010) states that shading devices provide energy efficiency. It was estimated in the Building Research Establishment case study that the integration of air conditioning in a 1960's open plan office would cause an increase of 55 kWh/ m^2 /year and £15/ m^2 /year. It is also indicated in this study that the use of shading devices and night ventilation could provide comfort with zero cooling energy consumption. These precautions have also high cost, but it is much less than cooling equipment installation. Moreover, even cooling equipment is used in a building, in less than 5 years the gain can happen (Littlefair Et Al., 2010). It is indicated in the Dubois' (2001) study that large energy savings (around 12 kWh/ m^2 /year) might be achieved by integrating seasonal awning on an office window on the south facade. Nevertheless, when fixed awning were used rather than seasonal one, the energy saving would be less than 12 kWh/ m^2 /year. For this reason, the shading devices must be removed in winter time.

 Kim Et Al. (2012) expresses that green facades protect buildings from solar radiation by increasing thermal comfort for interior spaces. Only a required amount of solar radiation is transmitted into buildings. Leaves absorb most of the solar radiation to make photosynthesis and evapo-transpiration as well as decrease overheating in a building (Panagopoulos, 2008). Moreover, according to Sunakorn and Yimprayoon (2011) when the more leaves cover the facade, the building shows better thermal performance and energy-efficiency in hot climatic regions.

1.2 Problem Definition

 Architects define architectural design as a complex task to solve. Especially, designing something new has higher expectations when scale and the complexity of geometries get bigger. Because of these reasons, it is very difficult to discover possible design solutions among all alternatives in the early stage of design process (Ekici, 2014). Shading device designs for buildings can be one of the best example of complex design problems. According to previous statements they also have a significant influence on the energy efficiency in buildings.

 The design of shading devices with an objective of optimizing the energy efficiency is generally a complex high dimensional multi-optimization problem. According to Khoroshiltseva Et. Al. (2016), this problem contains leashed variables and they should be optimized regarding to conflictive objectives. These objectives might be to reduce the overheating time of the building, to provide high level of visual comfort, and to provide minimum level of energy consumption for heating, cooling and lighting.

 In this research, a study was carried out with the renovation of a classroom located in one of the buildings in Yaşar University, İzmir, Turkey by designing a new shading device with optimization methods, simulation techniques in order to reduce total energy consumption which includes heating and cooling and increase daylight availability in interior space. Furthermore, the particular sunny climatic conditions of our case study lead to very high level of overheating problems. This results in high level of discomfort for occupants in interior space and high level of energy consumption. For these reasons, the focus point is finding optimal design solutions for a fixed shading device like external shading devices integrated to the classroom in a building. This multi-objective optimization problem is addressed by proposing a stochastic approach consisting of multi objective combined methodology based on NSGA-II and Pareto front.

1.3 Aim of the Research

The aim of this research is both to develop a computational design model for shading devices in buildings both for winter and summer time according to statements mentioned in the previous part and to integrate multi-performance criteria to this study. Thus, it is expected that this model will be useful in order to support design decision process while discovering suitable shading device design alternatives.

Furthermore, different simulation tools used in the studies about shading devices around the world so far were reviewed, collected and turned into a publication (Kirimtat Et. Al., 2016). As a result of this, we aimed to propose a shading device design integrated to a building by using optimization methods and simulation techniques. Specific types of shading devices, which are horizontal louvers, vertical louvers and overhang, were compared according to their daylight and energy performances. The most effective position was selected and used as a template for the proposed design.

1.4 Method of the Research

 The method of this research is based on developing multi-performance based computational design model for a shading device integrated to a building for both winter and summer time by comparing them potential shading device types. In this context, the method of this thesis consists of simulation modeling for shading devices, developing multi-performance based computational shading device design model and results of the model. The method of this research described as follows (Figure 1.1):

Figure 1.1. Method of the Research as Schematic Illustration

 Simulation Modeling for Shading Devices: This section consists of shading device types for buildings and simulation tools used for assessing energy and daylight performances. Various shading device types have been studied and defined in order to develop and evaluate the generative shading device model. Furthermore, the importance of simulation modeling for shading devices approach during the conceptual phase of the building design was underlined.

 Developing Multi-Performance Based Computational Shading Device Design Model: In this section, generative shading device model has been created according to decision variables and constraints. Then, performance criterias which are energy and daylight were set as objective functions. In addition to these, working procedure of

NSGA-II was explained in the context of computational optimization. Regarding to two objectives which are total energy consumption and useful daylight illuminance, multi-objective optimization was occurred.

 Results of the Model: This is the final section that includes the results of NSGA-II algorithm for shading device design model. Many design solutions have been created according to two objectives.

1.4 Context of the Research

 This research framework is based on simulation modeling on shading device types integrated to buildings. The aim is to investigate proper shading device design for selected case study building. Importance of shading devices for energy efficiency in buildings and reasons for using shading elements in buildings are surveyed. The use of shading devices was examined in this direction, from past to present. Fixed and movable shading device types were mainly studied. Overhangs, horizontal louvers, vertical louvers, and egg-crates as fixed types and venetian blinds, vertical blinds, roller shades, and deciduous plants as movable types were classified and explained.

 The shading device model for selected case study building, which is developed during this research, depends on *"performance driven conceptual design"*. Sarıyıldız (2012) defined this method as a process which is a loop as *"form generation"*, *"performance evaluation"* and *"optimization"* steps. It can be seen in Figure 1.2.

Figure 1.2. Process of Performance Driven Conceptual Design (Sarıyıldız, 2012)

 Form generation has been developed in order to generate different geometry types for shading device designs in buildings. Thus, it is used to search many design solutions among different alternatives. This step focuses on new shading device design on the contrary to other shading device types which are horizontal louvers, vertical louvers and overhang.

 Multi performance evaluations step includes two different performance criterias, which have been applied in the computational shading device model in this study. These criterias are defined namely as *"useful daylight illuminance"* and *"total energy consumption: heating and cooling".*

In optimization step, an algorithm namely NSGA-II (Deb Et. Al., 2002) is implemented in order to optimize performance values calculated for alternatives as the final step of the model. Optimization is carried out by the help of this algorithm and it gives the opportunity of searching different alternatives.

 According shading device model developed in this research, it is possible to decrease and/or increase defined performance criterias. Moreover, one single computational model can not be effective for generating many solutions. For this reason, this study focuses on specific shading device model by comparing them with traditional examples in order to create many solutions by using multi-performace based computational design method.

CHAPTER 2

LITERATURE REVIEW

 Most of studies about simulation modeling on shading device types have been gaining a value year by year while different simulation softwares are being developed. In Datta's study (2001) interesting studies were done by using TRNYS simulation tool. He investigated the thermal performance of a building by developing a shading model for windows. External fixed horizontal louvers are the shading device type used in the study that has various slat lengths and tilts. The four different Italian cities; Milano, Roma, Napoli, and Palermo were case study areas. Finding the proper shading device for each city was the aim of this study according to thermal performance of the building.

 Tzempelikos and Athienitis (2007) obtained accurate hourly horizontal irradiance values for 1 year by using the same simulation tool which uses solar radiation engine. According to simulation, results of thermal and daylighting analysis were presented for offices. The first objective was to evaluate the effect of façade properties, glazing area and how shading affects the thermal and daylighting performance of offices in the early design stage. Making estimations about the glazing area and shading properties in that stage was the second objective. To conclude, for any building that has an important effect on its energy performance, detailed analysis should be conducted during the early design stage to make significant decisions.

 According to Hien and Istiadji (2003) effects of 6 different shading device types integrated to a residential building in Singapore was investigated by using various simulation tools namely LIGHTSCAPE for natural light and PHOENICS Computational CFD package for natural ventilation. The first phase was analysis for field measurement of velocity, temperature and illuminance, and the second one was the simulation of a residential flat with external shading device for 4 different time periods. Then, results of field measurements and simulations have been compared. It was concluded from that study that the do not increase daylighting and natural ventilation are not increased significantly by vertical shading devices when they are used in mentioned positions.

 Lee and Tavil (2005) used DOE-2 simulation program to estimate the probable energy savings when electrochromic (EC) windows were mounted. Energy efficiency and visual comfort were analyzed by testing the EC windows. Moreover, overhangs were mounted with the EC windows to prevent direct sun inside. Authors computed total primary annual energy use, peak electric demand, average annual daylight illuminance, and average annual daylight glare index for private offices in Houston and Chicago. To conclude, in hot and cold climates like Houston's and Chicago's, when the window area is large, EC windows reduce the average annual daylight glare index and reveal significant annual energy use savings. Unfortunately, low angle direct sun cannot be prevented by the overhang, so that there should be extra interior shading device besides the EC windows.

 Hammad and Hijleh (2010) assessed the energy consumption of external dynamic louvers' integration to office building's facade in Abu Dhabi-UAE by analyzing with Integrated Environmental Solutions-Virtual Environment (IES-VE) software. This simulation tool is suitable for all purpose and user friendly. On the south façade horizontal louvers were used, and on the east and west facades vertical louvers were used. The effect of external dynamic louvers on the energy consumption was analyzed as the aim of this study. The authors both predicted and evaluated the energy performance of the building when the horizontal louvers were integrated on the south; the vertical louvers were integrated on the east and west facades by using IES-VE software.

 Mandalaki et. al. (2012) investigated the relationship between how much energy should be used for heating, cooling and illuminating a space, and the energy that the PV integrated shading device can generate for this space by using Ecotect, Radiance. A single office room was analyzed for two different Mediterranean cities which are Athens and Chania, Crete in Greece. The simulation software was used to evaluate the thermal behavior of the chosen shading device types. On the other hand, both simulation software and a test box were used to assess the daylight. As a focus of this study, the space quality of office interiors can be increased by shading devices at the same time consuming less energy.

 Aldawoud (2013) used DesignBuilder software to model a typical office building located in Phoenix, Arizona, U.S. The effects of external solar shading devices and the electrochromic glazing system on the energy performance of buildings were evaluated.

It was revealed in the study that the effects of external shading devices and electrochromic glazing are changing when external and internal load conditions are also varying. Moreover, on condition that all windows are mounted on east, south, or west façade, there is highly critical reduction in yearly peak cooling loads thanks to the electrochromic glazing at the same time solar heat gains are being controlled in hot summer days. On the other hand, recession in cooling loads can be provided by overhangs and vertical fins.

 According to Yao (2014) a study was conducted in a six-story high residential building in Ningbo city, China. Author modeled a south-facing room in this building by using EnergyPlus software. It was shown in the study that energy performance, indoor thermal and visual comfort were crucially affected by movable solar shading devices. Moreover, it was revealed from this study that theres is a major need for movable shading devices in hot summer and cold winter zones of China. In the study of Atzeri et. al. (2014) an open-space office located in Rome was simulated with various configurations in EnergyPlus 8. Authors compared the performances of indoor and outdoor shading devices with regards to overall primary energy use, thermal and visual comfort. As a conclusion of simulation results, at the same time the cooling needs was decreasing, the heating needs was slightly increasing for external shading devices. On the other hand, for internal shading devices, while a significant rise in cooling needs was seen, reduction in heating loads could not be carried out.

 It is derived from above studies that experimental or/and simulative studies have been done to analyze the performance of any shading device type integrated to the facades of buildings located in different climatic regions. Different performances are shown by several shading device types for inhabitants' demands in various building types. The thermal performance of a building is increased by a shading device; at the same time the natural light amount is decreased in that building. The priorities of the occupants can control the performance of a shading device. All comfort requests like visual, thermal and etc. cannot be satisfied at the same time.

 Aldawoud (2013) states that building's intended use and local climatic conditions affect any shading device design. It is significant to find a suitable strategy for shading and it can dramatically reduce building running costs, increase energy efficiency of the building, and reduce environmental effects. Choosing the right shading device and preventing inappropriate implementations depend on accurate and detailed

information. Because of that, performance analysis of the building is necessary. Different digital tools have been developing for making these analyses. Some of the inputs in these digital tools are the detailed weather data and local climatic characteristics of the building's location. Accordingly, developing a scheme to find the most appropriate shading device for a building based upon passive design issues is necessary.

2.1 Categorization of Shading Devices

 Bellia Et Al. (2014), categorized shading devices simply as in Table 1. According to their study shading devices in buildings can be separated into two parts which are fixed shading devices and movable shading devices. Each of them has their own categories in itself. Fixed shading devices can be categorized as external and internal types as they can be integrated both exterior and interior part of buildings. On the other hand, movable shading devices are separated into three parts. Also, they can be integrated both exterior and interior parts of the building, they can be intermediate which is a part of window itself.

2.1.1 Fixed Shading Devices

 In buildings, fixed shading devices can be integrated with façade of the building or inside the windows. Controlling solar radiation and saving energy can be provided by the use of external fixed shading devices in buildings. However, these shading devices do not allow the daylight entity most of the time; they also can create artificial light inadequacy and prevent the solar radiation inside in heating period (Mandalaki Et Al., 2012). To sum up, the use suitable type of shading device in the correct place and time period is quite important with regard to daylight availability, thermal and visual

comfort. To give an example, generally in Mediterranean climates, the use of external fixed shading devices is necessary for south facing facades. Thermal loads are reduced by fixed shading devices in summer period and also intense summer daylight is manupulated. Besides, while visual comfort is increased, glare is decreased by fixed shading devices (Fontoynont; Mehrotra, 2005; Yoo and Manz, 2011). Because of these, fixed shading devices should be used on the south facades, if there are openings, especially in Mediterranean climates.

 Dubois and Tzempelikos and Athienitis (2007) say that fixed shading devices sometimes break the balance between the decrease of cooling loads in summer time and the unfavorable increase of heating loads in winter time. The examples of fixed shading devices from İzmir, Turkey applied on the exterior facade of an office building and a hotel are seen in Figure 2.1 and Figure 2.2 respectively.

Figure 2.1. Fixed Shading Devices Mounted on the Exterior Part of the Is Bankasi Building in Konak, Izmir, Turkey (Kirimtat Et. Al., 2016)

Figure 2.2. Fixed Shading Devices Mounted on the Exterior Part of the Key Hotel Building in Konak, Izmir, Turkey (Kirimtat Et. Al., 2016)

2.1.1.1 Overhang

 An overhang is a horizontal surface that provides shade over a window. They are integrated to window exteriors horizontally. Shape, type, depth, and height of overhangs differ when the sun condition is changed. In order to reduce glare and solar heat gain during summer time and allow sunlight during winter time, it is quite important to shade a window in temperate climates. They can be achieved by a fixed overhang to shade in cooling period and allow solar radiation in heating period, because the sun rises in the sky during the cooling period (SHADING DEVICES). Figure 2.3 shows an overhang sample in a house.

Figure 2.3. Overhang Sample in a House in Alaçatı, Izmir, Turkey (Kirimtat Et. Al., 2016)

2.1.1.2 Horizontal Louvers

 On an exterior part of a window or glazed opening horizontal louvers can be integrated. These louvers are long enough and they can protect a building from high summer sun, and allow low winter sun to inside of this building during winter time.

The distance between louvers, the latitude the building is located in, and the climate type seen in that region affect the proper width of louvers. Moreover, cost is affected by the size of louvers. Fixed louvers can prohibit a small portion of desirable solar radiation in winter time. For this reason, the top face of the louvers should have a light color. Through this, the intercepted sunlight can be reflected deeply into a building (Architecture 2030). The horizontal louvers mounted on the facade of an office building are demonstrated in Figure 2.4, 2.5, 2.6.

Figure 2.4. Horizontal Louvers Mounted on the Exterior Part of the Univera Office Building in Karşıyaka, Izmir, Turkey (Kirimtat Et. Al., 2016)

Figure 2.5. Horizontal Louvers Mounted on the Exterior Part of the Faculty of Education Aristotle University of Thessaloniki in Greece (Kirimtat Et. Al., 2016)

Figure 2.6. Exterior Louvers Mounted on the Exterior Part of the Swiss Hotel Grand Efes Building in Konak, Izmir, Turkey (Kirimtat Et. Al., 2016)

2.1.1.3 Vertical Louvers

 Effective shading can be provided by vertical louvers mainly on east and west facades. Moreover, in order to increase the insulation value of the glass in winter time, vertical louvers can act as a windbreak. Louvers' angle can also be designed angular in terms of sun's position and they can be movable. Total shading occurs when vertical fins, which are perpendicular to the wall, create vertical shadow angle. When fins have inclined angle, an asymmetrical shading mask is created. Besides, in order to isolate or to allow daylight and solar radiation, vertical fins' position can be arranged (SHADING DEVICES). The vertical louvers in a residential building are seen in Figure 2.7, 2.8, 2.9, 2.10.

Figure 2.7. Vertical Louvers Mounted on the Exterior Part of a House in Alaçatı, Izmir, Turkey (Kirimtat Et. Al., 2016)

Figure 2.8. Vertical Louvers Mounted on the Exterior Part of Language School Aristotle University of Thessaloniki in Greece (Kirimtat Et. Al., 2016)

Figure 2.9. Vertical Louvers Mounted on the Exterior Part of the Observatory of Aristotle University of Thessaloniki in Greece (Kirimtat Et. Al., 2016)

Figure 2.10. Vertical Louvers Mounted on the Exterior Part of the Museum of Byzantine Culture, Thessaloniki in Greece (Kirimtat Et. Al., 2016)

2.1.1.4 Egg-Crate

 Since they have vertical and horizontal shading elements as seen in Figure 2.11. egg-crates prevent solar radiation from different directions. Horizontal elements can control ground glare. Movable egg-crates allow controllable shading mask characteristics with horizontal elements while asymmetrical shading masks are created by inclined vertical fins. On the other hand, by the help of fixed type egg-crates seasonal variations do not occur in critical shading angles. Besides, fixed type eggcrates cannot provide solar gain in winter. The egg-crate can create proper shading in regions with hot climate, because shading components have a high shading efficiency. However, they do not allow daylight, view and solar gains in winter since they are rather massive structures (SHADING DEVICES).

Figure 2.11. Egg-crate Shading Device Integrated on the Facade of Izmir Chamber of Commerce Building in Konak, Izmir, Turkey (Kirimtat Et. Al., 2016)

2.1.2 Movable Shading Devices

 Kim Et. Al. (2007) states that movable shading devices have more advantages than fixed shading devices. Because they can permit winter sun and block direct

summer sun by being controlled. At the present time, structurally and economically, glass curtain wall systems are generally implemented on the exterior façade of high rise buildings. For this reason, large amount of direct sunlight and solar radiation are occurred fatefully. Moreover, it creates undesirable working environment inside. In order to prevent and control undesirable daylight and solar radiation, movable shading devices such as venetian blinds, vertical blinds, and roller shades are used (Park Et Al., 2007; Mettanant and Chaiwiwatworakul, 2014).

2.1.2.1 Venetian Blinds

 In order to control the daylight penetrated through the glass to the interior, venetian blinds are generally used especially in commercial buildings. Venetian blinds are quite effective for privacy, visual and thermal comfort. They consist of separate, equally-spaced horizontal louvers. Since venetian blinds have a discrete nature their optical and thermal properties are complex. The parameters which affect behavior of venetian blinds are the louver characteristics, tilt angle and angle of incidence for solar radiation. Moreover, the rotation angle, shape, size, configuration and color of slats affect the transmittance, absorptance and reflectance of the venetian blind integrated window. Standard dimensions of venetian blinds are 2.5 cm width, also they are spaced at 2.2 cm vertically and placed 2.5 cm away from the surface of the glass in commercial construction. (Tzempelikos, 2008; Galasiu Et Al., 2004; Clark Et Al., 2013). Figure 2.12 is an example of venetian blinds mounted in a library building.

Figure 2.12. Venetian Blinds Mounted on the Interior Facade of the TU-Delft Main Library Building in Delft, Netherlands (Kirimtat Et. Al., 2016)

2.1.2.2 Vertical Blinds

 As Mettanant and Chaiwiwatworakul (2014) said the vertical blinds are used extensively especially in commercial and residential buildings as internal shading devices. In vertical blinds, seen in Figure 2.13, in order to prevent the direct sunlight inside, the blind slats are controlled manually or automatically. Vertical blinds can achieve daylight and solar radiation control, energy efficiency and beautiful view by being mounted on large windows or patio doors. Moreover, they have quick and easy installation. Different types of fabric, wood, plastic or metal can be used for the slats of the vertical blinds. Besides, vertical blinds have a wide range of texture, color and design. Thus, vertical blinds satisfy the occupant and save energy at a higher level.

Figure 2.13. Vertical Blinds Mounted on the Interior Part of an Office Building in Konak, Izmir, Turkey (Kirimtat Et. Al., 2016)

2.1.2.3 Roller Shades

 In order to manage daylight and glare control, roller shades can be used manually and automatically. Roller shades are extensively integrated to office and residential buildings. Space lighting, heating and cooling are affected by roller shade usages. The automated versions of roller shades affect energy savings in a positive way according to latest literature. The roller shades have two positions: open or closed completely. These two positions cause some problems like inefficient daylight, visual

discomfort and overheating (Tzempelikos and Shen, 2013). Figure 2.14 demonstrates the application sample in a flat.

Figure 2.14. Roller Shades Mounted on the Interior Part of a Flat in Çigli, Izmir, Turkey (Kirimtat Et. Al., 2016)

2.1.2.4 Deciduous Plants

 In order to shade the building in summer, deciduous plants are used extensively. They are quite sensitive to seasonal climatic changes as they behave like dynamic solar shading devices. For instance, when they grow enough in summer time, they prevent the solar radiation, direct sunlight, and undesirable glare inside the building. In winter time, they allow the solar radiation inside the building as the leaves shed. This situation provides decreasing in the heating loads. Since the use of deciduous plants for moderating the microclimate of buildings is less than other shading elements in recent years, it is not possible to find many scientific research about climbing plants (Ip Et Al., 2010).

Figure 2.15. Deciduous Tree Used for Shading the House, Bornova, Izmir, Turkey (Kirimtat Et. Al., 2016)

Figure 2.16. Deciduous Tree Used for Shading the House, Bornova, Izmir, Turkey (Kirimtat Et. Al., 2016)

2.2 Discussion on Previous Studies about Simulation Modeling on Shading Devices in Buildings from 1996 to 2015

 It is shown that in order to estimate the energy performance of buildings in the last few decades, simulation modeling is quite necessary. According to the complicated relationship between design characteristics, climate, and occupants, mechanical and electrical systems in a building, making simulations is one of the powerful method to cope with these issues. During the design process, simulation tools can manage the feedback loops between the design decisions and the evaluation of their environmental impacts (Caldas and Norford, 2002). The real life performance of buildings in a costeffective way can be estimated by using computer simulations. A more controlled

platform is also provided by simulation techniques which means that the user can interfere and iterate each data (Cho, 1995; Architecture, 2001; Ochoa and Capeluto, 2008; Kim Et Al., 2009). Based on these issues, in order to find proper shading device types or design optimal shading devices as building components, it is possible to use the simulation modeling. In literature, there are a lot of studies done about shading devices integrated to buildings so far. Since 1996 researchers have started to aware of using shading devices in their scientific studies. According to year, author(s), studied location, simulation type, used simulation tool, shading device type, glazing type, climate type, building type and study type, there are some important studies which are in Appendix A. We have taken into account several parameters in different studies. So that, the variation of the total number of studies can be seen for each comparison in the figures.

Figure 2.17. Simulation Tools Used in the Studies (Kirimtat Et. Al., 2016)

Figure 2.18. Distribution of Shading Device Types into Studies (Kirimtat Et. Al., 2016)

 Researchers have used different simulation tools like Radiance, DOE-2, Daysim, ENER-LUX, MIDAS, COMFORT, TRNSYS, ADELINE, IENUS, ESP-r, WIS, LIGHTSCAPE, Phoenix, TAS, Simulink, IDRBlock, Energy Plus, Ecotect, AutodeskVIZ, VE for Revit, Matlab, EES, IES–VE, iD-build, WINDOW, DIVA for Rhino, DesignBuilder, Sun-Shade, Radlink in Adeline, Fluent, Fener, Evalglare and Bsim for performance analysis in the studies in literature. According to Figure 2.17, because of the reason that EnergyPlus is the oldest simulation software among others, it has a frequency of 15 usage rate in the scientific researches which means it is the most widely-used simulation tool. Radiance, daylight simulation program, has the frequency of 11 and DOE-2, building energy analysis program, has the frequency 7. According to Table1, Ecotect, DesignBuilder and DIVA for Rhino are the least used programs. Because, they are new and have started to be used recently.

 According to Figure 2.18, different types of shading devices have been studied in literature so far. The most commonly studied shading devices among other types are venetian blinds, mainly used in office buildings. External fixed louvers chase after, roller shades, and overhangs. No shading devices is used in some studies. External mobile screen, window shutter, dynamic solar shading, external shutter, and switchable liquid shading types are other categories. Egg crates and deciduous plants are the least studied shading device types.

2.3 Conclusion

In this chapter, studies about simulation modeling on shading devices in buildings were deeply reviewed. It can be summarized from those studies that most of the studies about simulation modeling on shading devices in buildings are getting important year by year. Researchers have used various simulation tools to analyze performance of shading devices in buldings both for cooling and heating periods. Since performance analysis of the building is necessary, different digital tools have been developing for making these analyses. To conclude, developing a scheme to find the most appropriate shading device for a building by using simulation tools is necessary.

 Secondly, shading device types in buildings were clearly defined. They were categorized in a table as fixed shading device types and movable shading device types. Moreover, examples from all over the world were shown according to their categories. Fixed shading devices can be categorized as external and internal types which means

they can be integrated both exterior and interior part of buildings. On the other hand, movable shading devices are separated into three parts. Also, they can be integrated both exterior and interior parts of the building, they can be intermediate which is a part of window itself.

 Lastly, a discussion on previous studies about simulation modeling on shading devices in buildings from 1996 to 2015 was conducted. Graphs were created according to simulation tools and shading device types used in the studies. It was shown that in order to predict the energy performance of buildings in the last few decades, simulation tools are quite important. Moreover, what kind of shading devices used in the studies between the year 1996-2015 was shown in the graph. It was concluded that the most commonly studied shading devices among other types are venetian blinds, mainly used in office buildings.

 As a result of all of these, in order to provide energy efficiency in both existing and the new buildings, precautions should be taken in the early stage of a design process. Using shading devices is one of these precautions, because protecting the building from overheating in cooling period is very important. Furthermore, estimation of the performance of any shading device can be made by using simulation tools. As can be seen in the paper (Kirimtat Et. Al., 2016), some researchers have been using these simulation tools in recent years. These simulation tools are very effective to solve the complex relationships between climate, occupancy requirements, mechanical and electrical systems energy-efficiency issues and design characteristics.

CHAPTER 3

METHODOLOGY

 In this part, how four different generative models are developed and tested with simulation based computational optimization is explained. Shading devices in buildings and simulation modeling for shading devices are deeply reviewed as a literature survey in the previous chapters. According to this literature survey, it is stated that performance criteria has a significant role in shading device designs in buildings. In accordance with these, it is important to state that shading devices are one of the most complex architectural designs since they contain many design variables in order to reach many design goals in the early stage of design process.

 Furthermore, in order to evaluate the performance of shading devices and their impact on building's overall energy performance during its lifecycle, different simulation tools such as EnergyPlus, Radiance etc. have widely been used since early years. Many engineers and architects have been using these simulation programs in scientific researches. They are used for analyzing, designating and evaluating the daylight value, energy performance, indoor thermal and visual comfort etc. Different simulation software both for evaluating the energy performance of buildings and for helping the designers predict the real life performance have been being developed. So, in this section, simulation models both for daylight and energy performances of shading device models are created for selected case study building.

 Complex design is defined as "optimization problem" by Bittermann (2009). He stated that it can be understood by establishing a model to assess the performance of design solutions. In order to reach satisfied design solutions with the highest performance score, computational optimization methods should be implemented in the model. Moreover, by referring Deb (2001), Bittermann (2009) defines the optimization as *"...a procedure of finding and comparing feasible solutions until no better solution can be found*".

 Simulation based optimization processes of four different shading device models have two conflicted objectives. Objectives can be mentioned as maximization of useful daylight illuminance and minimization of total energy consumption which includes heating and cooling energy consumptions. At this point, the optimization problem of

the model can be stated as multi-objective optimization problem. There is a well-known concept which is called Pareto-optimality and it generates non-dominated solutions. According to Chatzikonstantinou (2011) non-dominated solution is defined as *"…evolves a population based on multiple fitness values until it reaches a set of solutions, each of which are good at some particular aspect, expressed by and objective function*". Moreover, Bittermann (2009) explains the main logic of this solution as "*A solution is non-dominated if there exists no other solution that performs better in every respect*". In accordance with these statements Pareto-optimal method in the evolutionary algorithm has an important role in order to discover design solutions for complex shading device problem.

 In this study, the main aim of the shading device problem is to investigate optimal design options which should have more advantages rather than disadvantages according to two objectives which are useful daylight illuminance and total energy consumption that includes heating and cooling energy consumptions. Results of Non-Dominated Sorting Genetic Algorithm II (NSGA-II) were extensively applied. This algorithm depends on evolutionary search methodology.

3.1 Non-Dominated Sorting Genetic Algorithm II (NSGA-II)

 NSGA-II namely Non-Dominated Sorting Genetic Algorithm II is a Multi-Objective Evolutionary Algorithm and it was developed by Deb Et Al. (2002) at Kanpur Genetic Algorithms Laboratory. This algorithm can handle constraints for multi-objective problems and also it is an elitist algorithm. Regarding to this, expression of the main loop of NSGA-II is as follows:

- First of all, a parent population is randomly created.
- According to nondomination, the population is sorted.

• Nomination of each solution is done as a fitness that resembles to its nondomination level

• A "Crowding Distance" value, which is a measure of how unique this particular solution stands within the solution space, is assigned for each population member.

• In order to create an offspring population, the usual binary tournament selection, recombination, and mutation operators are used.

• After that current population is compared with previously found best non-dominated solutions, elitism is introduced, but the process changes after the initial generation.

The t th generation of NSGA-II is described by Deb Et Al. as follows (Figure 3.1):

 When we put them in an order, initially, parent and offspring population which belong to a combined population are formed. After that, based on nondomination, combined population, which can be defined as a mating pool, is sorted. In the event of that combined population contains all previous and current population members, elitism is provided.

 Solutions in the best non-dominated set (F1) should weight more than any other solution in the combined population. If the size of offspring population is not bigger than best non-dominated set, then for the new population, which is used for selection, crossover, and mutation to create a new population, best non-dominated sets are chosen.

 Selection step initializes by making "Tournament Selection" with individuals which are going through a pairwise comparison. In this process, there are three step comparisons. Before two random individuals are first compared regarding their violation of a constraint, if one is set, they are picked from the population. Suppose that both of them have a violation on the constraint, the one with less violation is selected. When the other one is selected, it means just one has a violation. If none of them has a violation, then the following step is carried out the ranking step. It means comparison between ranking values of each of them is done. This time, the one with best ranking is selected. Suppose that the ranking values of both of them are the same, then the final step comes into play. This time, it is comparison between crowding distance values. The one with the largest value is selected (Chatzikonstantinou, 2011).

 Ekici and Kutucu (2014) by referring Chatzikonstantinou (2011) explained main loop of NSGA-II and as it is shown in Figure 3.2 the relations among function evaluation, pareto ranking, crowding distance, population reduction, tournament selection, crossover and mutation steps can be seen.

Figure 3.2. NSGA-II Loop (Ekici and Kutucu, 2014; Chatzikonstantinou, 2011)

 Lotus-NSGA-II version was developed in C-sharp programming language by using Rhinoceros and Grasshopper Environment. In the Lotus, solutions of the optimization process are shown in 2D graph. When chosen solution is clicked, then it is possible to see the results in Grasshopper environment right away. Moreover, it is possible to see objective, variable and crowding distance values of each solution in the chart and this chart is consolidated to Lotus.

3.2 Useful Daylight Illuminance (UDI) and Radiance

 According to Nabil and Mardalijevic (2005), UDI is a daylighting metric. The percentage of hours is taken into account by UDI annually, and illuminance conditions are reasonable. Here [300-2000] lux is a certain domain which reasonable illuminance values fall within, since that the values outside of this range are considered not

convenient and not sufficient for proper illumination. UDI establish this domain in order to provide adequate illumination without significant glare. In shading device models in this research, the calculation of UDI values is made by using illuminance values which come from the Radiance simulation software. In the model, DIVA uses Radiance's infrastructure as an interface. DIVA is a plugin which was developed for Grasshopper Algorithmic Modeling Platform. It is also used for the Rhinoceros CAD program. The calculation of UDI is as below:

$$
UDI (Pti) = \frac{1}{n} \sum_{j=1}^{n} H (L(Pti, j)) X100
$$
 (1)

 L (a, b) means the simulation result. This result comes from the simulation for sampling point i and time (within a year) j. $H(x)$ is given as an illuminance value which is a function. When the input value is within the specified limits, it outputs one, otherwise it outputs zero.

$$
H(x) = \begin{cases} 1, & \text{if } 300 \le x \le 2000 \\ 0, & \text{otherwise} \end{cases}
$$
 (2)

 The Building Technologies Program, which is in the Environmental Energy Technologies Division of Lawrence Berkeley National Laboratory in Berkeley, California, developed the Radiance. Lighting simulations can be made and images for lighting design can be presented. In order to estimate accurate illumination levels and design spaces via artificial and natural lighting technologies, architects and engineers use Radiance. The software presents interfaces for modeling and translating space geometry, luminaire data and material characteristics to make simulations (RADSITE). DIVA-for-Rhino Grasshopper uses Radiance's platform as an interface. According to Ward, Radiance is a physically-based rendering system which fulfills the demands of lighting design and architecture. In order to solve the rendering equation under most conditions, the simulation uses a light-backwards ray-tracing method with extensions. By combining any level in any environment such as complicated and curved geometries, it makes specular, diffuse and directional diffuse reflection and transmission. Moreover, in order to succeed the best balance between speed and accuracy in its local and global illumination methods, the simulation uses deterministic and stochastic ray-tracing methods.

3.3 Total Energy Consumption and EnergyPlus

 In our model, total energy consumption includes heating and cooling energy consumptions. Ladybug+Honeybee plugin was used as an interface for calculation of the total energy consumption. This plugin uses EnergyPlus'infrastructure as an interface. It was again developed for Grasshopper Algorithmic Modeling Platform and part of the Rhinoceros CAD program.

 Ladybug+Honeybee for Rhino Grasshopper uses EnergyPlus's platform as an interface. EnergyPlus is new and simulates energy. It builds on the power of both BLAST and DOE-2. Moreover, EnergyPlus includes a new code which was written in Fortran 90. EnergyPlus has many simulation capabilities. For instance, it makes heat balance and load calculations, system and plant calculations in same time step. Besides, it is user-configurable. It is a modular structure for other users to add new simulation modules (Crawley Et Al., 2000).

 EnergyPlus is self-directive simulation program, whose graphical interface is not user-friendly. Inputs and outputs are created in the text files format. Energy analyses and thermal load simulations are made in this program. Heating and cooling loads are also calculated in order to carry thermal comfort setpoints. There are some key capabilities in this software for instance; it can build into infinite solutions incontinently, daylight can be manipulated, it can create thermal comfort models, it can integrate heat and mass transfer, and it can calculate different window layouts (EnergyPlus Energy Simulation Software).

3.4 Conclusion

 In the light of the previous chapters, daylight simulation model was formed by using DIVA. Then, energy simulation model was created by the help of Ladybug+Honeybee. DIVA uses Radiance's platform as an interface, while Ladybug+Honeybee uses EnergyPlus' infrastructure as an interface as it can be seen in Figure 3.3. At the same time simulation is processing, optimization also occurs by using NSGA-II.

 UDI was used as a daylight metric in this research. Illuminance values between [300-2000] lux which are reasonable were taken into account for the case study

building. For UDI calculation, DIVA component which is integrated to Grasshopper Environment was used. DIVA made this calculation by getting values from Radiance.

 Total energy consumption which includes heating and cooling energy consumptions was calculated by the help of Ladybug+Honeybee component which is also integrated to Grashopper Environment. Calculation was made hourly for one whole year. Since EnergyPlus does not have graphical interface, energy values were taken by using Ladybug+Honeybee component.

Figure 3.3. DIVA and Ladybug+Honeybee Simulation Process

CHAPTER 4

CASE STUDIES

 In this study, four different scenarios are created in a case study building located in Yaşar University, Izmir. In this selected building, four different shading models which are horizontal louvers, vertical louvers, overhang and proposed shading device are integrated on the south façade of a classroom. This part of the case study building was created in Rhino platform. It consists of classrooms, offices and corridors. The test room's characteristics can be seen in Table 2.

Room Description	Basecase
Orientation	South
Shape	Rectangular (7.50 m X 10.34 m)
Floor Height	2.50 m height
Volume	193.875 $m3$
External Wall Area	7.5 m^2
Shading Device	Venetian Blinds
Ceiling Area	$78 \,\mathrm{m}^2$
Floor Area	78 m^2
Windows Area	11.25 m^2
Window Wall Ratio	60%
Glazing Type	Double Pane Clear

Table 2. Description of Test Room

Figure 4.1. Case Study Building: Yaşar University New Building, İzmir, Turkey

Figure 4.2. Test Room Plan: Yaşar University New Building, İzmir, Turkey

4.1 Problem Definition

 In this research, the aim is to apply Evolutionary Computation to design of proposed shading device by using parametric modeling techniques in combination with Intelligent Decision Support tools and simulation techniques. The aim is to find optimal widths and rotation angles for each louver integrated on the exterior of the south façade of the test room. For the other case studies which are horizontal louvers, vertical louvers and overhang, problem is to find optimal width, density and rotation angle. Therefore, it is being tried to minimize total energy consumption which includes heating and cooling energy consumptions and maximize useful daylight illuminance by integrating proposed shading device into a building in a multi-objective manner.

4.2 Objective Functions

 In the problem for each case study, there are two objectives which are total energy consumption which includes heating and cooling energy consumptions and useful daylight illuminance. They are conflictive and they are defined separately in the problem. It is being tried to maximize *UDI (Useful Daylight Illuminance)* and minimize *TEC (Total Energy Consumption).*

4.3 Generative Models

 The generative models have been created in the Grasshopper Environment (Grasshopper, Algorithmic Modeling for Rhino). Complete Grasshopper models of case study 1: horizontal louvers, case study 2: vertical louvers, case study 3: overhang and case study 4: proposed shading device problems exist in Figure 4.3, Figure 4.4, Figure 4.5, Figure 4.6. Moreover, DIVA and Ladybug+Honeybee plugins were used to run daylight and energy simulations.

Figure 4.3 Complete Grasshopper Model of Case Study 1: Horizontal Louvers

Figure 4.4. Complete Grasshopper Model of Case Study 2: Vertical Louvers

Figure 4.5. Complete Grasshopper Model of Case Study 3: Overhang

Figure 4.6. Complete Grasshopper Model of Case Study 4: Proposed Shading Device

4.4 Case Study 1: Horizontal Louvers

4.4.1 Decision Variables

In the first case study, the decision variables are available in d_h , w_h , r_h (Figure 4.7). Density of horizontal louvers in z direction is represented by d_h . According to two objectives which are useful daylight illuminance and total energy consumption, d_h changes in between 1 and 10. For instance, if the value is 10, horizontal louvers close up in z direction. The width of horizontal louvers is represented by w_h . It varies in between 0.1m and 0.3m as a real number. The rotation angle of horizontal louvers around the x axis is represented by r_h , and it varies in between -90° and 90° as an integer number.

Table 3. Decision Variables of Horizontal Louvers

4.4.2 Parametric Definition of Horizontal Louvers

 Before creating the parametric model, first, a part of the reference building was created in Rhino platform. This part consists of classrooms, offices and corridors. The dimensions of main classroom are 7.50m width and 10.34m length. The height of the classroom is 2.50m. Glazing ratio is 60%. It means that the window has 1.50m height and 1.00m sill height. Secondly, the parametric definiton of the horizontal louvers was created according to width, rotation angle and density which are also decision variables. In Figure 4.8 the detailed parametric definition of horizontal louvers which was created in the Grasshopper Environment can be seen.

Figure 4.8. Detailed Parametric Definition of Horizontal Louvers

Figure 4.9. Horizontal Louvers Integrated to the South Façade of the Main Classroom (Perspective View)

4.5 Case Study 2: Vertical Louvers

4.5.1 Decision Variables

 In the second case study, the decision variables are d_v , w_v , r_v (Figure 4.10). Density of vertical louvers in y direction is represented by d_v . According to two objectives which are useful daylight illuminance and total energy consumption, d_v changes in between 1 and 42. The width of vertical louvers is represented by w_v . It varies in between 0.1m and 0.3m as a real number. The rotation angle of vertical louvers around the z axis is represented by r_v , and it is varying between -90° and 90° as an integer number.

Table 4. Decision Variables of Vertical Louvers

4.5.2 Parametric Definition of Vertical Louvers

 Before creating the parametric model, first, a part of the reference building was created in Rhino platform. Secondly, the parametric definiton of the vertical louvers was created according to width, rotation angle and density which are also decision variables. In Figure 4.11 the detailed parametric definition of vertical louvers which was created in the Grasshopper environment can be seen.

Figure 4.11. Detailed Parametric Definition of Vertical Louvers

Figure 4.12. Vertical Louvers Integrated to the South Façade of the Main Classroom (Perspective View)

4.6 Case Study 3: Overhang

4.6.1 Decision Variables

In the third case study, the decision variables are w_0 , r_0 (Figure 4.13).

Table 5. Decision Variables of Overhang

The width of overhang is represented by w_0 . It varies in between 0.1m and 0.3m as a real number. The rotation angle of overhang around the x axis is represented by r_o , and varies in between -90 $^{\circ}$ and 90 $^{\circ}$ as an integer number.

Figure 4.13. Decision Variables of Overhang

4.6.2 Parametric Definition of Overhang

 Before creating the parametric model, first, a part of the reference building was created in Rhino platform. Secondly, the parametric definiton of the overhang was created according to width and rotation angle which are also decision variables. In Figure 4.14 the detailed parametric definition of overhang which was created in the Grasshopper environment can be seen.

Figure 4.14. Detailed Parametric Definition of Overhang

Figure 4.15. Overhang Integrated to the South Façade of the Main Classroom (Perspective View)

4.7 Case Study 4: Proposed Shading Device

4.7.1 Decision Variables

In this case study, the decision variables are w_{L1} , w_{L2} , ... w_{L40} in Figure 4.16 and ra_{L1} , ra_{L2} , ra_{L3} , ra_{L4} , ra_{L5} , ra_{L6} , ra_{L7} , ra_{L8} , ra_{L9} , ra_{L10} in Figure 4.17. There are four widths for each louver by W_{L1} , ... W_{L40} . According to two objectives which are useful daylight illuminance and total energy consumption, W_{L1} , ... W_{L40} change in between 0.1 m and 0.3 m as a real number. The rotation angle for each louver around the x axis is represented by ra_{L1} , ... ra_{L10} , and it vary in between -90° and 90° as an integer number.

Table 6. Decision Variables of Proposed Shading Device

Figure 4.16. Decision Variables of Proposed Shading Device (Top View)

Figure 4.17. Decision Variables of Proposed Shading Device (Perspective)

4.7.2 Constraints

In this problem, we have 9 **"constraints"** which are all about relationship between louvers. It means, there should not be any intersection for each 2 louvers in order to have a logical order on the façade. For instance, first louver and the second louver should not be intersected from any solid region while the algorithm is trying to find optimal rotation angle. So, constraints are as follows:

$$
N(A_1 \cap A_{i+1}) = 0 \tag{3}
$$

$$
A_1, A_2, \dots A_{10} \tag{4}
$$

$$
i = 1, \ldots, 9 \tag{5}
$$

4.7.3 Parametric Definition of Proposed Shading Device

 Before creating the parametric model, first, a part of the reference building was created in Rhino platform. Secondly, the parametric definiton of proposed shading device was created according to widths and rotation angles which are also decision variables. In Figure 4.21 the detailed parametric definition of proposed shading device which was created in the Grasshopper environment can be seen.

Figure 4.18. Proposed Shading Device Integrated to the South Façade of the Main Classroom (Perspective)

Figure 4.19. Proposed Shading Device Integrated to the South Façade of the Main Classroom (South Elevation)

Figure 4.20. Proposed Shading Device Integrated to the South Façade of the Main Classroom (West Elevation)

Figure 4.21. Detailed Parametric Definition of Proposed Shading Device

4.8 Conclusion

 This chapter clearly defined four different scenarios which belong to four different shading device types integrated to the case study building. Generated models were shown and explained according to decision variables which are related to important parameters of mentioned shading devices. Mentioned shading devices are horizontal louves, vertical louvers, overhang and proposed shading device. While for the horizontal louvers and vertical louvers decision variables are width of louvers, rotation angle and density of louvers, for the overhang type density of louvers were not mentioned as a decision variable. For the proposed shading device type, there are totally 50 **"decision variables"** which are related to **"widths for each louver"** and **"rotation angle for each louver"**. In case study 4, there is an aspect different than other case studies. It is constraints. Constraints are included in this problem, since each louver has various rotation angle. In order to prevent any intersection between each two louvers, constraints were defined in the parametric generative model. All the models were developed in the Grasshopper Environment by implementing parametric modeling and simulation techniques and optimization method.

CHAPTER 5

RESULTS AND DISCUSSION

 In this research NSGA-II was used to get optimization results for each scenario. After tests were held in the computer environment, scatterplots for each scenario were created according to optimization results. For each case study, population size of 100 and generation number of 50 were taken. Since they took too much time to run the simulation based optimization, only 50 generations were run. Four different problems were formulated as a two-objective by taking into account maximizing useful daylight illuminance and minimizing total energy consumption. Performance of NSGA-II is shown by graphs for each case study.

5.1 Results of Case Study 1: Horizontal Louvers

 In Case Study 1, horizontal louvers were tested when they were integrated to south façade of the test room. The graph of UDI and total energy consumption were created according to simulation based optimization for horizontal louvers. The scatterplot of total energy consumption and UDI can be seen in Figure 5.1.

Figure 5.1. Scatterplot of NSGA-II in 50 Generations for Horizontal Louvers

Figure 5.2. Solution 1 in NSGA-II for Horizontal Louvers (Perspective)

Figure 5.3. UDI in Solution 1 in NSGA-II for Horizontal Louvers (Plan)

Figure 5.4. Solution 2 in NSGA-II for Horizontal Louvers (Perspective)

Figure 5.5. UDI in Solution 2 in NSGA-II for Horizontal Louvers (Plan)

Figure 5.6. Solution 3 in NSGA-II for Horizontal Louvers (Perspective)

Figure 5.7. UDI in Solution 3 in NSGA-II for Horizontal Louvers (Plan)

Solutions in Case Study 1	Depth of Horizontal Louvers	Rotation Angle	Density of Horizontal Louvers	Yearly Total Energy Consumption	UDI
Solution 1	0.298 meter	-5°	10	5382.29 kWh/year	%77
Solution 2	0.298 meter	35°	9	5323.46 kWh/year	%33
Solution 3	0.300 meter	88°	10	5173.10 kWh/year	%0

Table 7. Solutions in Case Study 1

 Solution 1 in Figure 5.1 demonstrates a solution which has an energy consumption of 5382.29 kWh/year and %77 UDI interior space of the classroom. It means more daylight comes into interios space according to graph. While this is happening much more energy use is seen in heating and cooling energy consumptions. In this solution density of horizontal louvers in Z direction is 10. It means there are totally 10 louvers integrated to the façade. Also, depth of each louver is 0.298 meter. Moreover, rotation angle of each louver is -5° since it changes in between -90° and 90° which is reasonable. Secondly, solution 2 in Figure 5.1 represents a solution that consumes 5323.46 kWh/year total energy while it allows %33 UDI interior space of the classroom. That means horizontal louvers close up more and they consume less total energy than solution 1 while it allows less daylight comes into interior space of the classroom. In this solution density of horizontal louvers in Z direction is 9 which means there are 9 horizontal louvers integrated to the façade. Besides, depth of each louver is again 0.298 meter and rotation angle of each louver is 35 degrees. Thirdly, in solution 3 in Figure 5.1 there is a total energy use of 5173.10 kWh/year and %0 UDI interior space of the classroom. That solution shows that since horizontal louvers closes up almost totally, no daylight comes into interior space. Moreover, in this solution density of horizontal louvers in Z direction is 10. The depth of each louver is 0.300 meter while rotation angle of each louver is 88°.

 From the viewpoint of UDI and total energy consumption objectives, we can clearly observe that decision variables (dimensions) of horizontal louvers have an impact on the performance criterias which UDI and total energy consumption. However, based on our observation, it seems that the decision variable, which is rotation angle of each louver is much more important for UDI and total energy consumption objectives since there is a big change especially in UDI when rotation angle of each louver changes. Furthermore, from the point of NSGA-II UDI values in Pareto Front are observed in between %0 and %90 which means there are some solutions that do not allow any daylight comes into interior space of the chosen classroom.

5.2 Results of Case Study 2: Vertical Louvers

 In Case Study 2, vertical louvers were tested when each louver was integrated to south façade of the test room. The graph of UDI and total energy consumption was created according to simulation based optimization for vertical louvers. The scatterplot of total energy consumption and UDI can be seen in Figure 5.8.

Figure 5.8. Scatterplot of NSGA-II in 50 Generations for Vertical Louvers

Figure 5.9. Solution 1 in NSGA-II for Vertical Louvers (Perspective)

Figure 5.10. UDI in Solution 1 in NSGA-II for Vertical Louvers (Plan)

Figure 5.11. Solution 2 in NSGA-II for Vertical Louvers (Perspective)

Figure 5.12. UDI in Solution 2 in NSGA-II for Vertical Louvers (Plan)

Figure 5.13. Solution 3 in NSGA-II for Vertical Louvers (Perspective)

Figure 5.14. UDI in Solution 3 in NSGA-II for Vertical Louvers (Plan)

Solutions in Case Study 2	Depth of Vertical Louvers	Rotation Angle	Density of Vertical Louvers	Yearly Total Energy Consumption	UDI
Solution 1	0.160 meter	-76°	17	51422.53 kWh/year	%68
Solution 2	0.231 meter	-72°	20	51420.83 kWh/year	%67
Solution 3	0.299 meter	-45°	20	51420.69 kWh/year	%62

Table 8. Solutions in Case Study 2

As it is also seen in Table 8 solution 1 in Figure 5.8 represents a solution which has an energy use of 51422.53 kWh/year and %68 UDI interior space of the classroom. While total energy consumption, which includes heating and cooling energy consumptions, is very high according to graph, UDI value is also high. In this solution density of horizontal louvers in X direction is 17. It means there are totally 17 louvers integrated to the façade. Also, depth of each louver is 0.160 meter. Moreover, rotation angle of each louver is -76° since it is changing between -90° and 90° which is reasonable. Secondly, in solution 2 in Figure 5.8 there is a total energy use of 51420.83 kWh/year and %67 UDI interior space of the classroom. That means vertical louvers close up more but they consume almost same total energy as solution 1 while it allows almost same daylight comes into interior space of the classroom. In this solution density of horizontal louvers in X direction is 20 which means there are 20 vertical louvers integrated to the façade. Besides, depth of each louver is again 0.231 meter and rotation angle of each louver is -72°. Thirdly, solution 3 in Figure 5.8 represents a solution that consumes 5173.10 kWh/year while it allows %62 UDI interior space of the classroom. That solution shows that since vertical louvers close up more than solution 2, less daylight which is %62 UDI comes into interior space of the classroom. Moreover, in this solution density of vertical louvers in X direction is again 20. The depth of each louver is 0.299 meter while rotation angle of each louver is -45°.

 According to UDI and total energy consumption objectives, it can be clearly observed that dimensions of vertical louvers have an impact on the performance criterias which UDI and total energy consumption. However, regrading to our observation, it seems that the decision variables, which are rotation angle and depth of each louver are much more important for UDI and total energy consumption objectives since there is not a small change especially in UDI when rotation angle and depth of each louver change. However, from the point of NSGA-II total energy consumption values in the graph are observed in between 51420.69 kWh/year and 51422.53 kWh/year which means there is not a big change in range of total energy consumption values according to graph.

5.3 Results of Case Study 3: Overhang

 In Case Study 3, overhang was tested when it was integrated to south façade of the test room. The graph of UDI and total energy consumption was created according to simulation based optimization for overhang. The scatterplot of total energy consumption and UDI can be seen in Figure 5.15.

Figure 5.15. Scatterplot of NSGA-II in 50 Generations for Overhang

Figure 5.16. Solution 1 in NSGA-II for Overhang (Perspective)

Figure 5.17. UDI in Solution 1 in NSGA-II for Overhang (Plan)

Figure 5.18. Solution 2 in NSGA-II for Overhang (Perspective)

Figure 5.20. Solution 3 in NSGA-II for Overhang (Perspective)

Table 9. Solutions in Case Study 3

 In case study 3, solution 1 in Figure 5.15 shows a solution which has an energy use of 6842.71 kWh/year and %68 UDI interior space of the classroom. While much more energy use is seen in heating and cooling energy consumptions according to graph, more daylight comes into interior space of the classroom. In this solution, the depth of overhang is 0.199 meter. Moreover, rotation angle of overhang is -19° since it is changing between -90° and 90° which is reasonable. Secondly, solution 2 in Figure 5.15 demonstrates a solution that consumes 6666.02 kWh/year total energy while it allows %67 UDI interior space of the classroom. That means overhang consumes less total energy than solution 1 while it allows almost same daylight comes into interior space of the classroom. The depth of overhang is 0.298 meter and rotation angle of overhang is -18°. Thirdly, in solution 3 in Figure 5.15 there is a total energy use of 6574.11 kWh/year and %62 UDI interior space of the classroom. That solution shows that while less energy is consumed rather than solution 1 and 2, less daylight comes into interior space of the classroom. The depth of overhang is 0.300 meter while rotation angle of overhang is -12°.

 According to UDI and total energy consumption objectives, we can clearly observe that decision variables (dimensions) of overhang have an impact on the performance criterias which UDI and total energy consumption. However, based on our observation, it seems that the decision variable, which is depth of overhang is much more important for UDI and total energy consumption objectives since there is change in UDI and total energy consumption when depth of overhang changes. Furthermore, from the point of NSGA-II UDI values in Pareto Front are observed in between %62 and %67 which means there are not any solutions that do not allow any daylight comes into interior space of the chosen classroom. Moreover, solutions show that there is not a big change in UDI values.

5.4 Results of Case Study 4: Proposed Shading Device

 In Case Study 4, proposed shading device was tested when each louver was integrated to south façade of the test room. The Pareto front graph of UDI and total energy consumption was created according to simulation based optimization for proposed shading device. The scatterplot of total energy consumption and UDI can be seen in Figure 5.22.

Figure 5.22. Pareto Front Rank of NSGA-II for Proposed Shading Device

Figure 5.23. Solution 1 in NSGA-II for Proposed Shading Device (Perspective)

Figure 5.24. Solution 1 in NSGA-II for Proposed Shading Device (South Elevation)

Figure 5.25. UDI in Solution 1 in NSGA-II for Proposed Shading Device (Plan)

Figure 5.26. Solution 2 in NSGA-II for Proposed Shading Device (Perspective)

Figure 5.27. Solution 2 in NSGA-II for Proposed Shading Device (South Elevation)

Figure 5.28. UDI in Solution 2 in NSGA-II for Proposed Shading Device (Plan)

Figure 5.29. Solution 3 in NSGA-II for Proposed Shading Device (Perspective)

Figure 5.30. Solution 3 in NSGA-II for Proposed Shading Device (South Elevation)

Figure 5.31. UDI in Solution 3 in NSGA-II for Proposed Shading Device (Plan)

Table 10. Solutions in Case Study 4

 Solution 1 in Figure 5.22 represents a solution which has an energy use of 5248.62 kWh/year and %74 UDI interior space of the classroom. It means more daylight comes into interios space according to graph. While this is happening much more energy use is seen in heating and cooling energy consumptions. Secondly, solution 2 in Figure 5.22 demonstrates a solution that consumes 5191.40 kWh/year total energy while it allows %72 UDI interior space of the classroom. That means louvers close up more and they consume less total energy than solution 1 while it allows less daylight comes into interior space of the classroom. Thirdly, in solution 3 in Figure 5.22 there is a total energy use of 5164.99 kWh/year and %64 UDI interior space of the classroom. That solution shows that since louvers close up more than solution 2, and less daylight comes into interior space when it is compared to solution 1 and 2.

 From the viewpoint of UDI and total energy consumption objectives, it can be clearly observed that decision variables (dimensions) of proposed louvers have an impact on the performance criterias which UDI and total energy consumption. However, based on our observation, it seems that the decision variable, which is rotation angle of each louver is much more important for UDI and total energy consumption objectives since there is a big change especially in UDI when rotation angle of each louver changes. Furthermore, from the point of NSGA-II, UDI values in Pareto Front are observed in between %65 and %75 which means there is no solution that does not allow any daylight comes into interior space of the chosen classroom as it is in case study 1. Average of UDI and total energy consumption values of all solutions is more than the average of UDI and total energy consumption values of all solutions in case study 1, 2 and 3.

5.5 Conclusion

In this chapter, results of four different scenarios which belong to horizontal louvers, vertical louvers, overhang and proposed shading device have been clearly discussed. According to graphs of four different case studies, average of UDI and total energy consumption values is highest in case study 4 which is proposed shading device. Thus, proposed shading device shows more energy efficient solutions when it is integrated to the south façade of the reference room which is in case study building. Moreover, since results of case study 1 which is horizontal louvers represented more energy efficient solutions than case study 2 and 3, which are vertical louvers and overhang, the design of case study 4: proposed shading device was considered as horizontal section. As a result of this, the solutions in case study 4: proposed shading device consumed less energy than others and captured more UDI inside of the reference room which is in case study building.

CHAPTER 6

CONCLUSION

 This thesis provides an overview of the research developments concerning the use of shading devices integrated to buildings. Moreover, it presents a new shading design concept by comparing with traditional shading device types. First of all, in order to underline the importance of simulation modeling for different types of shading devices in buildings, a wide variety of existing studies done between the years 1996 and 2015 have been investigated. According to this deep investigation, it is found that many simulation tools have been developed since 1990s and they have been used in different scientific researches. Secondly, the turning point of this thesis is to find or to design proper shading element which has an optimal form in order to increase energy efficiency of the building, reduce running costs and reduce environmental effects. So, simulation modeling is easiest and fastest way to achieve these tasks. And also, using evolutionary algorithms to deal with complex tasks is one method that can be used to reach optimality.

6.1 Research Findings

1) In order to formulate the whole problem in a parametric computational environment, both energy and daylight model has been developed in Grasshopper's useful platform and this will lead researchers in the field of architectural design.

2) The most important parameters of both traditional shading devices and proposed shading device are width, rotation angle and density.

3) In order to find proper shading device design, Computational Intelligence Methods were used as one of the strongest way to cope with this kind of architectural problem.

4) Since the integration of the vertical louvers to the case study building consumed much more energy than the integration of horizontal louvers, the proposed shading device design resulted with horizontal section.

5) As a result of simulation based optimization of four different scenarios, total energy consumption range is lowest in case study 4: proposed shading device and UDI range is highest again in case study 4: proposed shading device.

8.2 Future Projections

1) In order to deal with more complex shading design problems, development in the shading strategies, materials used and comfort parameters inside the buildings should profoundly be taken into account.

2) The data collection for certain locations affected by the micro-climatic conditions should precisely be done for shading device design.

3) Regarding to energy consumption of buildings, integration of movable shading devices to buildings should be a priority for future designs.

4) Control mechanism of adaptive shading device types should be necessarily studied as a new future study.

5) The adaptive shading device will be developed regarding to Computational Intelligence Methods in Grasshopper Environment.

6) The adaptive shading device design will be tested with computational analysis tools.

7) In order to decrease both the cooling loads and the polluting effect of the building, the use of proper shading device type in hot, hot and dry, hot and humid or very hot climatic regions should be necessarily taken into account.

8) Other algorithms such as Differential Evolution, Harmony Search etc. can be used in this kind of shading device problem in the future.

9) As a continuation of this thesis, this project will turn into Scientific Research Project which is funded by Yasar University. It will include both design and production processes. Optimal design will be produced as a result of this project.

 As a result, it can be concluded that together with the rise of popularity of net zero energy building issue, the usage of simulation tools will become widespread especially for the early stages of the shading device design process. Moreover, integration of Computational Intelligence Method tools is also quite necessary to solve this kind of complex architectural problems.

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APPENDIX

YEAR AUTHOR(S) PAPER

STUDIED

SIMULATION

SIMULATION

SHADING

GLAZING

CLIMATE

BUILDING

STUDY TYPE

CLIMATE

STUDY TYPE

CURRICULUM VITEA

Ayça Kırımtat is an architect. She was born on 21st of October 1990 in İzmir, Turkey. After she completed high school in Atakent Anatolian High School, she got into Yaşar University with a scholarship in 2008. Then, she carried this success to the third year and she went to Alghero, Sardegna, Italy as an Erasmus student. She learned to look from different perspectives to architecture and her aim was always to generate creative designs. At the end 2013, she graduated from Yaşar

University. After her graduation, she became a research assistant in the same university and continued her works on computational design and shading devices in buildings. At the same time, she was doing his Master of Science in Architecture. She began to publish journals and join conferences related to her field of interest.

List of Publications:

International Journal Papers

A.Kirimtat, B. K. Koyunbaba I. Constantinou, I. S. Sariyildiz, "Review of simulation modeling for shading devices in buildings", Renewable and Sustainable Energy Reviews, Vol.53, pp.23-49, 2016 (Impact Factor: 5.901).

A.Kirimtat, C. Ugurlu, B.Ekici, I. Chatzikonstantinou, S. Sariyildiz, and M.F. Tasgetiren, "A Multi-Objective Constrained Real Parameter Optimization with Differential Evolution for a Floating Underwater Hotel Room Design", Applied Soft Computing (Under Revision) (Impact Factor: 2.810).

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A.Kirimtat, B.K. Koyunbaba, I. Chatzikonstantinou, S. Sariyildiz, and P.N. Suganthan, "Multi Objective Optimization for Shading Devices in Buildings by Using Evolutionary Algorithms" 2016 IEEE World Congress on Computational Intelligence, Vancouver, Canada.

C.Cubukcuoglu, A.Kirimtat, M.F. Tasgetiren, P.N. Suganthan, and Q.K. Pan, ": Multi-Objective Harmony Search Algorithm for Layout Design in Theatre Hall Acoustics" 2016 IEEE World Congress on Computational Intelligence, Vancouver, Canada.

A.Kirimtat, E.Paykoc, "Walkability Assessment of Mavişehir- Alaybey Coast Region in İzmir, Turkey: Building, Green Area and Path Analyses", in International Congress: Energy and Environment Engineering and Management, Paris, France, 2015.

A. Kirimtat, I. Constantinou, I. S. Sariyildiz, and A. Tartar, "Designing Self-Sufficient Floating Neighborhoods Using Computational Decision Support", in IEEE Congress on Evolutionary Computation, Sendai, Japan, 2015.

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E.Paykoc, A.Kirimtat "Walkability Assessment of Karşıyaka, İzmir Neighborhood: The Pedestrian Flow Analysis Through Coastline ", in EURAU2014 Composite Cities, İstanbul, Turkey, 2014.

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