

**GEDIZ UNIVERSITY ★ GRADUATE SCHOOL OF SCIENCE ENGINEERING AND  
TECHNOLOGY**

***IN VITRO* ASSESSMENT OF ANTIBODY CONJUGATED GOLD NANORODS  
FOR BIOMEDICAL APPLICATIONS**

**M.Sc. THESIS**

**Gülşah MALGIR**

**Institute of Science**

**Nanotechnology Graduate Programme**

**Thesis Advisor: Assistant Prof. Dr. Hadi M. ZAREIE**

**DECEMBER, 2013**

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**GEDİZ ÜNİVERSİTESİ ★ FEN BİLİMLERİ ENSTİTÜSÜ**

**BİYOMEDİKAL UYGULAMALAR İÇİN ANTİKOR BAĞLI GOLD  
NANORODLARIN *IN VITRO* DEĞERLENDİRİLMESİ**

**YÜKSEK LİSANS TEZİ**

**Gülşah MALGIR  
(60071104)**

**Fen Bilimleri Enstitüsü**

**Nanoteknoloji Yüksek Lisans Programı**

**Tez Danışmanı: Yrd. Doç. Dr. Hadi M. ZAREIE**

**ARALIK, 2013**



**Gülşah Malgır**, a **M.Sc.** student of GU **Institute of Science** student ID 60071104, successfully defended the **thesis** entitled “*IN VITRO* ASSESSMENT OF ANTIBODY CONJUGATED GOLD NANORODS FOR BIOMEDICAL APPLICATIONS” which she prepared after fulfilling the requirements specified in the associated legislations, before the jury whose signatures are below.

**Thesis Advisor : Assistant Prof. Dr. Hadi M. ZAREIE** .....  
Izmir Institute of Technology

**Jury Members : Assistant Prof. Dr. Hadi M. ZAREIE** .....  
Izmir Institute of Technology

**Prof. Dr. M. Emin Şengün ÖZSÖZ** .....  
Gediz University

**Assoc. Prof. Dr. Yusuf BARAN** .....  
Izmir Institute of Technology

*To my parents and brother,*

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Gülşah MALGIR  
Bioengineer

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## ABBREVIATIONS

<b>CTAB</b>	: Cethyltrimethyl Ammonium Bromide
<b>DLS</b>	: Dynamic Light Sacttering
<b>DMSO</b>	: Dimethyl Sulfoxide
<b>EDC</b>	: 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide)
hydrochloride	
<b>GNR</b>	: Gold Nanorod
<b>MHDA</b>	: Mercaptohexadecanoic acid
<b>MM</b>	: Multiple Myeloma
<b>MTT</b>	: 1-(4,5-dimethylthiazol-2-yl)-3,5-diphenylformazan
<b>NHS</b>	: N-hydroxysulfosuccinimide
<b>PAGE</b>	: Polyacrylamide gel electrophoresis
<b>PBS</b>	: Phosphate Buffer Saline
<b>PEG</b>	: Polyethylene glycol
<b>SDS</b>	: Sodium dodecyl sulfate
<b>UP</b>	: Ultra Pure
<b>TEMED</b>	: Tetramethylethylenediamine

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# ***IN VITRO* ASSESSMENT OF ANTIBODY CONJUGATED GOLD NANORODS FOR BIOMEDICAL APPLICATIONS**

## **ABSTRACT**

The strong absorption and scattering light of gold nanorods in near infrared region (650-900 nm) have gained a great deal of interest in biomedicine. The optical properties of gold nanorods with controllable aspect ratios, enable researchers to utilize them for photothermal cancer therapy selectively. In other words, they are capable of converting light to heat and in this manner, selectively targeted gold nanorods open a new window into the cancer treatment by taking advantages over current therapy techniques that are detrimental to healthy cells while treating cancer. For these reasons, the main focus of this research is the use of actively targeted gold nanorods for photothermally destruction of Multiple Myeloma, one of the most common cancer types worldwide.

First, gold nanorods were fabricated via seed mediated method and functionalized with polyethylene glycol (PEG) (MW 1000) which is FDA approved molecule, prior to cellular assays. The characterization of original and PEG coated gold nanorods was performed via AFM, SEM, ultraviolet visible spectroscopy and dynamic light scattering (DLS) measurements.

Anti-CD138 (anti-Syndecan-1) monoclonal antibody which has affinity to CD138 receptors over expressed on the cytoplasmic membrane of multiple myeloma cells, was used to conjugate to gold nanorods for active targeting. Nanorods were examined in the cell culture with two different multiple myeloma cell lines (CD138 positive U266 cell line and CD138 negative ARH-77 cell line) to observe the impression of gold nanorods in specifically targeted therapy.

The cytotoxic effects of original and PEG modified gold nanorods on both cell lines were tested via MTT assay. Further, the apoptotic effects of originally prepared gold nanorods were tested via Annexin V assay. The number of PEG coated and anti-CD138 conjugated gold nanorods internalized by U266 and ARH-77 cell line was measured by ICP-MS.

Finally, both cell lines exposed to PEG coated gold nanorods and anti-CD138 conjugated gold nanorods were irradiated with 633 nm red laser for selective photothermal therapy. The results found in this preliminary research will shed light on the cure of Multiple Myeloma *in vivo* and clinic based therapy as a novel approach.

**Keywords : Gold nanorods; Multiple myeloma; Photothermal Therapy; Seed mediated method**

## BİYOMEDİCAL UYGULAMALARDA ANTİKOR BAĞLI GOLD

### NANORODLARIN *IN VITRO* DEĞERLENDİRİLMESİ

#### ÖZET

Gold nanorodların NIR bölgede (650-900 nm) ışığı güçlü bir şekilde içlerine hapsedmeleri ve saçmaları bu eşsiz nanoparçacıkların son zamanlarda biyomedikal uygulamalarda kullanımı açısından önem arz etmektedir. Eşsiz optik özellikleri ve istenilen boyutlarda üretilmesi nedeniyle, gold nanorodlar, kanserin spesifik olarak fototermal tedavisinde, araştırmacılara olanak sağlamaktadır. Bir başka deyişle, gold nanorodlar ışığı ısıya dönüştürme potansiyelindedirler ve bu bağlamda spesifik olarak hedeflenerek, günümüzde uygulanan ve kanserli hücreleri tedavi ederken çevredeki sağlıklı hücrelere de zarar veren tedavi yöntemlerine nazaran kanser tedavisinde oldukça avantajlı hale gelmektedir.

Bu araştırmanın temel amacı; dünya genelinde oldukça yaygın bir kanser türü olan plazma hücre kanserinin fototermal tedavisi için aktif hedeflenmiş gold nanorodların kullanılmasıdır. Bunun için öncelikle gold nanorodlar, çekirdek aracılıklı metot ile sentezlenmiş ve hücre kültürü çalışmalarından önce yüzeyleri biyoyumlu ve FDA (Food Drug Association) onaylı bir polimer olan bifonksiyonel PEG ile modifiye edilmiştir. Sentezlenmiş ve PEG ile modifiye edilmiş gold nanorodların karakterizasyonu ise SEM, AFM, DLS ve UV spektroskopisi ile yapılmıştır.

Plazma kanser hücrelerinin sitoplazmik membranında aşırı üretilen CD138 reseptörlerine karşı afinitesi olan anti-CD138 monoklonal antikor, aktif hedefleme için gold nanorodlara bağlanmıştır. Seçici hedeflemedeki etkinliğini gözlemek amacıyla iki farklı plazma kanseri hücre hattı (CD138 üreten U266 hücre hattı ve CD138 üretmeyen ARH-77 hücre hattı) kullanılarak gold nanorodların hücre kültüründe inkübasyonu yapılmıştır. Sentezlenmiş olan ve yüzeyi PEG ile modifiye edilen gold nanorodların her iki hücre hattı üzerindeki sitotoksik etkileri MTT analizi ile test edilmiştir. Aynı zamanda çeşitli konsantrasyonlarda sentezlenmiş olan orjinal gold nanorodların her iki hücre hattı üzerindeki apoptotik etkisini görmek için ise Annexin V analizi yapılmıştır.

PEG modifiye ve anti-CD138 monoklonal antikorlu bağlı gold nanorodların hücresel alımı da ICP-MS cihazı kullanılarak analiz edilmiştir. Son olarak, PEG modifiye ve anti-CD138 antikorlu bağlı gold nanorodlara maruz bırakılan her iki hücre hattı, seçici fototermal terapi için 633 nm dalga boyunda kırmızı lazer ile radyasyona maruz bırakılmıştır.

Bu ön çalışmada bulunan sonuçlar yeni bir yaklaşım olarak, plazma hücre kanserinin *in vivo* çalışmalarına ve klinik tedavisine ışık tutacaktır.

**Anahtar kelimeler: Gold nanorod; Plazma hücre kanseri; Çekirdek aracılıklı metot; Fototermal terapi.**

## 1. INTRODUCTION

### 1.1 Literature Review

In recent years, there has been a remarkable increase in the use of metal nanoparticles for biomedical applications. The nanoparticles with plasmon resonance property can be modified with biological molecules to provide both diagnostic and photothermal treatment advantages. For instance; iron oxide nanocrystals have been used for magnetic resonance imaging (Harisinghani, Barentsz et al. 2003, McCarthy, Kelly et al. 2007). Furthermore, magnetic nanoparticles conjugated to small interfering RNA (siRNA) are substantial materials for siRNA delivery (Woodle and Lu 2005, Medarova, Pham et al. 2007).

Since nanoparticles have mesoscopic size range of 10-100 nm diameter and have significantly larger surface areas with respect to larger size particles, they are advantageous in diagnosis and treatment of diseases in early phase.

Among metal particles, gold is the most preferable metal because of its non-toxic nature and unique size–shape dependent properties (C, Kumar et al. 2012). Gold nanoparticles can be produced by applying different preparation techniques to obtain various kinds of shapes including spheres (Turkevich, Stevenson et al. 1951, Frens 1972), nanorods (Jana, Gearheart et al. 2001).

Gold nanorods, which show strong absorption and scattering of light in the near-infrared region depending on their surface plasmon oscillations have been investigated as potential therapeutic agents (Jana, Gearheart et al. 2001). The more strong absorption of the light, the higher the thermal energy is. In other words, the strong absorption of light in the near infrared region enables nanorods to emit more heat. This emitted heat can be used effectively for drug release at a targeted site without damaging surrounding tissues (Kim, Ghosh et al. 2009). Several studies have proved that longitudinal surface plasmon resonance is prone to change due to the aspect ratio which is the ratio of length to width. With the increase in the aspect ratio, red shift occurs in the longitudinal absorption band of gold nanorods (Link and El-Sayed 1999, Lee and El-Sayed 2006) When gold nanorods are compared with gold nanospheres, longitudinal surface plasmons are affected from local dielectric field more than those of spherical nanoparticles.

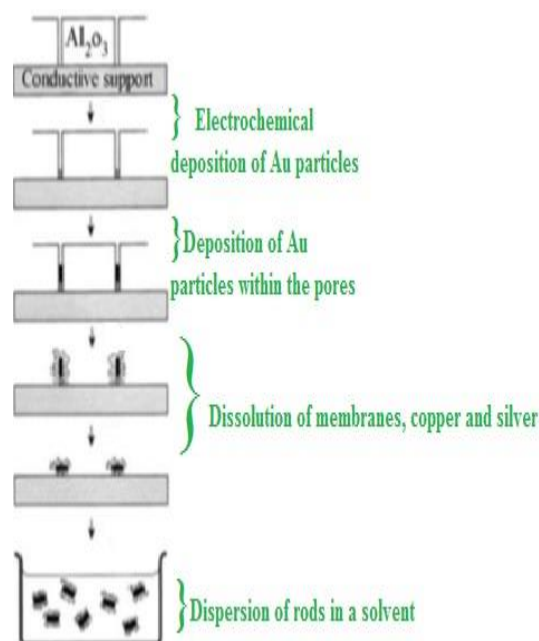


Gold nanorods exhibit two plasmon resonances, one is transverse peak due to the transverse electronic oscillation and the other is longitudinal peak due to the longitudinal oscillation of conduction band electrons (Papavassiliou 1979) (Link and El-Sayed 2000). This property enables the photothermal ablation of cancer cells using gold nanorods: If laser is applied to the cancer tissue containing gold nanorods, the nanorods absorb the light and convert it to heat energy killing the surrounding cancer cells through photothermal effect. Theoretical calculations through temperature distribution in photothermal active therapy have shown the significance of pulsed laser source for effective heating (Prasad, Mikhailovsky et al. 2005, Koblinski, Cahill et al. 2006). The interaction of gold nanorods with femtosecond laser results in photothermal impacts such as (1) an increase in the electron temperature, through electron-electron scattering, within several hundred fs; (2) an increase in the lattice temperature, distribution of heat from the gold nanorods to the surrounding media to reach thermal equilibrium within 100 ps to several ns, relevant to the particle size, thermal properties of the surroundings, and laser intensity (Huang, Neretina et al. 2009, Hartland 2011).

## **1.2 Synthesis Of Gold Nanorods**

Several synthesis methods have been developed in order to synthesize gold nanorods at high yields.

The first method for the production of gold nanorods was template method, introduced first by Martin and his colleagues (Foss Jr, Hornyak et al. 1994, Martin 1996). This method depends on the collection of golds within the porous membrane which is mostly made of polycarbonate or alumina. Briefly, gold nanoparticles are deposited on porous membranes and after deposition of golds, porous membranes, Cu or Ag are dissolved in a polymeric stabilizer such as poly (vinylpyrrolidone). As it is shown in Figure 1.1, in order to enable electrodeposition, it is necessary to use a conductive template such as Cu or Ag metals. Finally, gold nanorods are dispersed in water or organic solvents by using sonication or agitation (Perez-Juste, Pastoriza-Santos et al. 2005).

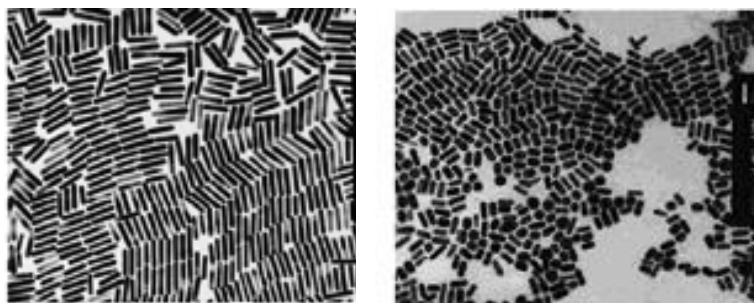


**Figure 1.1 :** Schematic representation of growth of gold nanorods with template method (van der Zande, Böhmer et al. 2000).

It is possible to form nanorods with different size and lengths via template method (see Figure 1.1) Size and diameter of synthesized gold nanorods can be tuned by adjusting the diameter of the pores of the membrane (van der Zande, Böhmer et al. 2000). But, in this technique one challenging issue is that the preparation of rod clusters are very difficult in terms of production yield.

The second synthesis approach is electrochemical approach which was first reported by Wang and his co-workers, is based on the use of two-electrode type electrochemical cell in which the gold source is used as a supporter for the reaction, while platinum plate is used as cathode. In this technique,  $\text{C}_{16}\text{TAB}$  with its hydrophobic regions and tetradodecylammonium bromide ( $\text{TC}_{12}\text{AB}$ ) as a rod inducing surfactant are used as a mixed surfactant system. Small amounts of reagents are added to the mixed solution. Acetone is used for cylindrical reshaping of nanorods whereas cyclohexane is used for the increase in the elongation of rod like micelles (Toernblom, Henriksson et al. 1994).

Gold nanorods generated by using electrochemical method is shown in Figure 1.2.

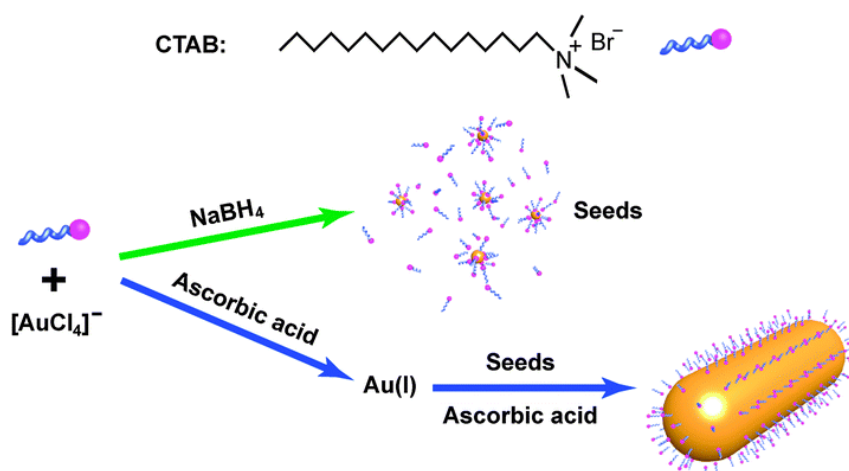


**Figure 1.2 :** TEM images of gold nanorods with different aspect ratios synthesized by electrochemical method (Chang, Shih et al. 1999).

It was proved that silver plate behind platinum cathode was substantial for obtaining different aspect ratios of gold nanorods (Aspect ratio: length/width). The redox reaction occurred from gold anode to silver plate led to the formation of silver ions. It was also illustrated by Wang and co-workers that concentration and formation rate of silver ions within the electrolytic solution were effective on the length of gold nanorods (Perez-Juste, Pastoriza-Santos et al. 2005).

Electrochemical process needs sonication stage. It was therefore limited to determine the exact effect of silver on gold nanorod synthesis. Also, control of the electric field makes this process complex and difficult (Kim, Song et al. 2002).

The most commonly used approach for the formation of gold nanorods at high yields is the seed-mediated method also known as wet chemical technique (Figure 1.3). This method has been reported by several authors with modifications on some steps.



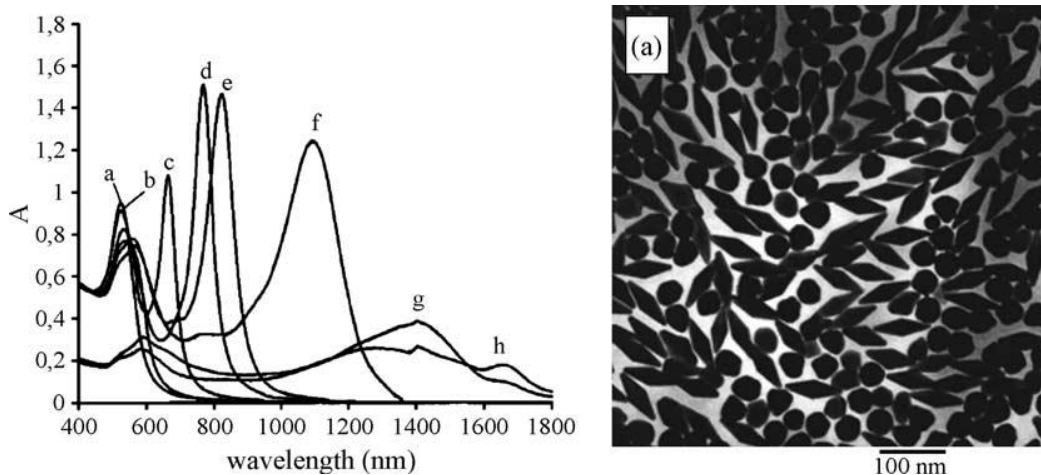
**Figure 1.3 :** Schematic illustration of the synthesis of gold nanorods in the existence of chemicals shown above (Chen, Shao et al. 2013).

This technique allows to produce nanorods at low cost. Further, it is possible to prepare nanoparticles in terms of well-controlled composition, shape and size (Perez-Juste, Pastoriza-Santos et al. 2005). Although wet chemistry technique has some advantages over other existing methods, scientists who study on nanoparticles, cast doubt on the accurate growth mechanism (Sau and Murphy 2004). Anyway, it has received a great deal of attention in nanotechnology field.

Wet chemistry technique was first demonstrated by Murphy et al. (Jana, Gearheart et al. 2001). In their studies, Murphy and co-workers modified electrochemical technique by using gold as chloroauric acid instead of gold electrode. CTAB was also used as a stabilizing agent with the addition of acetone and cyclohexane chemicals for reshaping of gold nanorods. Ascorbic acid is used as a weak reducing agent which only reduces  $\text{Au}^{+3}$  to  $\text{Au}^{+1}$ . Complete reduction of metallic gold can be provided by using seed particles which are 3 nm in diameter. Seed particles are formed in the presence of sodium borohydrate as strong reducing agent added to CTAB-chloroauric acid mixture (Perez-Juste, Pastoriza-Santos et al. 2005).

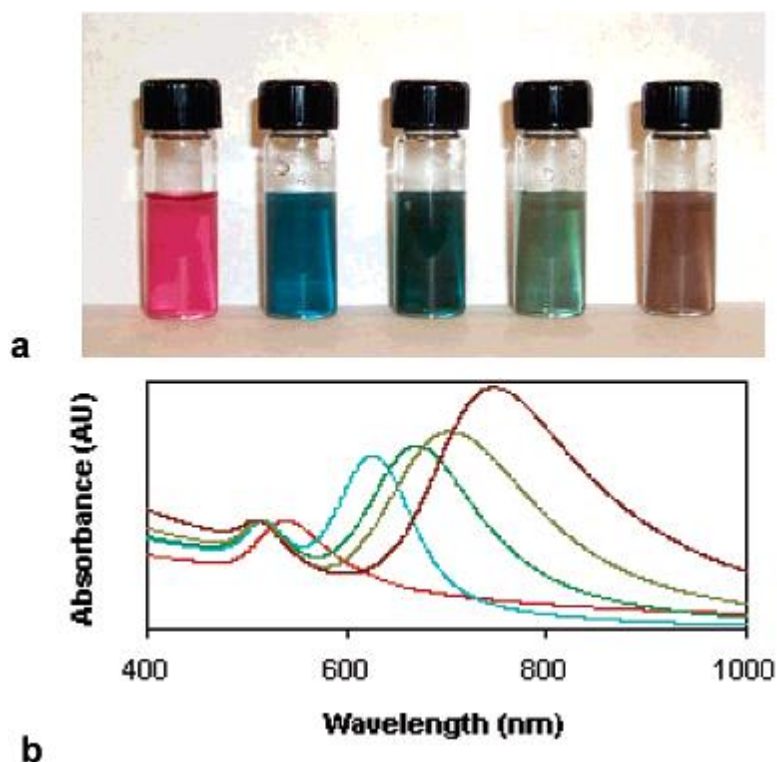
External factors such as temperature and pH are effective parameters which should be controlled during growth process. In one study, it was shown that at low pH conditions, ascorbic acid was not capable of reducing gold salt (Pal, De et al. 1998).

High temperature conditions also led to the formation of high aspect ratio of gold nanorods during growth process. Perez-Juste et al. showed that reduction of temperature and CTAB concentration gave rise to the synthesis of gold nanorods with short aspect ratio (Hubert, Testard et al. 2010). It was also found that changes in the concentrations of the reactants during growth progress yielded gold nanorods in various size and diameters (Vigderman, Khanal et al. 2012) Researchers have investigated the rod formations either in the absence or presence of silver nitrate. Murphy and co-workers experimented that the presence of small amount of silver nitrate triggered to the formation of spheroid and shorter nanorods (Figure 1.4) (Jana, Gearheart et al. 2001).



**Figure 1.4 :** UV spectrum of gold nanorods synthesized with increasing aspect ratios by decreasing seed amount added to the growth solution and TEM images of gold nanorods synthesized in the presence of small amount of silver nitrate (Jana, Gearheart et al. 2001).

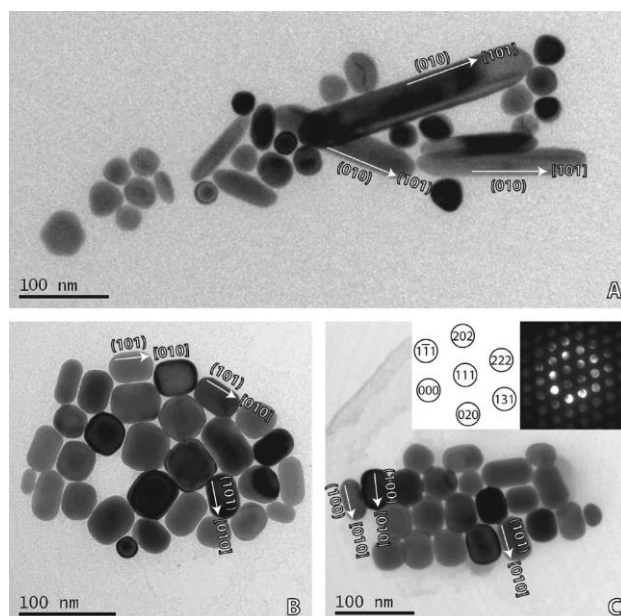
Photochemical approach for the synthesis of gold nanorods was first demonstrated in 2002 by Kim and his colleagues. These researchers found that it was possible to produce uniform gold nanorods in the presence of silver nitrate. This process has some similarities with electrochemical approach. The main difference is the use of UV-light to enable reduction reactions (Kim, Song et al. 2002). Briefly, silver nitrate in the growth solution was exposed to UV light at 254 nm for 30 h. Solution was centrifuged and redispersed in water. The colour of the solution was indicative for gold nanorods with different aspect ratios.



**Figure 1.5 :** (a) Image of photochemically prepared gold nanorods solution, and (b) corresponding UV-vis spectrum. The left solution was prepared with no silver ion addition. The other solutions were prepared with the addition of 15.8, 31.5, 23.7, 31.5  $\mu$ L of silver nitrate solution, respectively. The middle solution was prepared with longer irradiation time (54 h) compared to that for all other solutions (30 h), and the transformation into shorter rods can be seen (Kim, Song et al. 2002).

UV-spectral data obtained by Kim et al. showed that in the absence of silver ions, there was only transverse peak which proved that there were several spherical nanoparticles with a very small amount of gold nanorods (Figure 1.5) (Kim, Song et al. 2002).

Placido et al. also demonstrated that silver nitrate added at various amounts during growth process was an important reactant for obtaining shorter or longer gold nanorods (Figure 1.5) (Placido, Comparelli et al. 2009).



**Figure 1.6 :** Bright-field TEM images of small groups of AuNRs:(A) NRs prepared in the absence and (B and C) in the presence of Ag ions (Placido, Comparelli et al. 2009).

In photochemical method, formation of nanospheres and nanorods occur in the same reaction medium regarding to seedless growth. In this method, cyclohexane is used as a reagent which promotes the formation of micellar shape. Acetone is required as a reductant which reduces  $\text{Au}^{+3}$  to Au. Niidome, Nishioka et al. showed that in the absence of acetone, UV-light was limited to produce rod shaped gold nanoparticles (Niidome, Nishioka et al. 2003).

In order to synthesize gold nanorods at high yield, there are several methods other than the ones mentioned above. For example; El- Sayed and his co-workers used a similar method to the photochemical, electrochemical and seed mediated methods with some modification. In this method, silver ion was used to show that it is necessary for controlling the shape of gold nanorods. In the existence of silver ions, one dimensional gold nanorod formation (Figure 1.6) occurs in terms of providing crystal face stabilization. Silver ion was the most indicative component to form adjustable gold nanorods with their size and shape (Esumi, Hara et al. 1998, Leontidis, Kleitou et al. 2002).

The other methods performed by several researchers are the combination of the existing methods. For instance, Yamada and co-workers illustrated that if

photochemical and electrochemical methods were performed together, it gave rise to increase in the rate of nanorod growth (Niidome, Nishioka et al. 2003).

In another method applied by Wei et al., it was shown that gold nanorods could be easily grown on glass surface and gold salt concentration was the important reactant to form gold nanostructures (Wei, Mieszawska et al. 2004).

### 1.3 Surface Modification Of Gold Nanorods

Cetyltrimethylammonium bromide (CTAB) is used as a shape controlling agent during synthesis process (Alkilany, Nagaria et al. 2009). CTAB is substantial for the stabilization of gold nanorods. It makes them stable in the aqueous dispersant such as water or any other buffers. Because CTAB is positively charged, gold nanorods stabilized with CTAB do not tend to aggregate in solution due to electrical repulsion.

Although the presence of CTAB provides stability, it is a highly toxic reagent and deteriorates the membrane integrity of living cells. To reduce the toxicity of CTAB surfactant, excess CTAB can be removed by centrifugation (Nikoobakht and El-Sayed 2003). However this process does not eliminate the toxicity problem as there are still CTAB molecules left on the surface of gold nanorods. The important point is to reduce the toxicity by removing the CTAB, while providing the stabilization of gold nanorods without CTAB.

Researchers have shown that in *in vitro* conditions, gold nanorods with CTAB surfactant, damaged cells whereas CTAB passivated gold nanorods were non-toxic (Connor, Mwamuka et al. 2005). The main approach for this should be the use of biocompatible counterparts which do not only enable particle stability (Gu, Cheng et al. 2009) but also enables detoxification of gold nanorods (Boca and Astilean 2010).

For *in vitro* and *in vivo* studies, ligand exchange procedure by using biocompatible reagents such as phosphatidylcholine, poly(styrene sulfonate) and thiolated poly(ethylene glycol) which considerably lessen the toxicity of gold nanorods with CTAB molecules, is an alternative approach (Takahashi, Niidome et al. 2006, Takahashi, Niidome et al. 2008).

The surface chemistry of gold nanorods should be taken into account for the utilization in biomedical field. Functionalization of gold nanorods' surface is carried out for the following reasons: Gold nanoparticles such as nanorods are prone to



interact with serum proteins in biological medium non-specifically (Strickland and Batt 2009). This results in the formation of larger aggregates of gold nanorods, which is undesirable for most biological applications. Surface modification of gold nanorods with poly (ethylene glycol) (PEG) may reduce the non-specific protein binding and thus enhance colloidal stability in biological medium (Boca and Astilean 2010). Moreover, immune system cells such as macrophages can recognise and eliminate gold nanorods which do not have a suitable surface chemistry. This prevents these nanoparticles to circulate in blood stream long enough to reach target tissues or cells. Modification of gold nanorods with non-immunogenic polymer, PEG, prevents the recognition of gold nanorods by immune system cells and thus prolongs their blood circulation half-life (Roberts, Bentley et al. 2012).

There are several ligands or molecules that have been used for reducing the toxicity of gold nanorods for *in vitro* and *in vivo* use. The most widely techniques used for the functionalization of gold nanorods are PEGylation, layer by layer technique and silica coating (see Figure 1.7). Among these, the most common used polymer is PEG. Proteolytic enzymes in reticuloendothelial systems recognize and destroy molecules come from outside of the cell. In this regard, PEG overcoating inhibits the recognition and degradation by proteolytic enzymes and reticuloendothelial systems (Roberts, Bentley et al. 2012).

Thiol terminated PEG makes nanoparticles stable not only in phosphate buffer saline (PBS) but also under high or low pH conditions (Pelicano, Martin et al. 2006) and also in the neutral conditions. It enhances the circulation time of nanoparticles in blood by reducing the recognition by reticuloendothelial system cells (Wang, Wang et al. 2009).

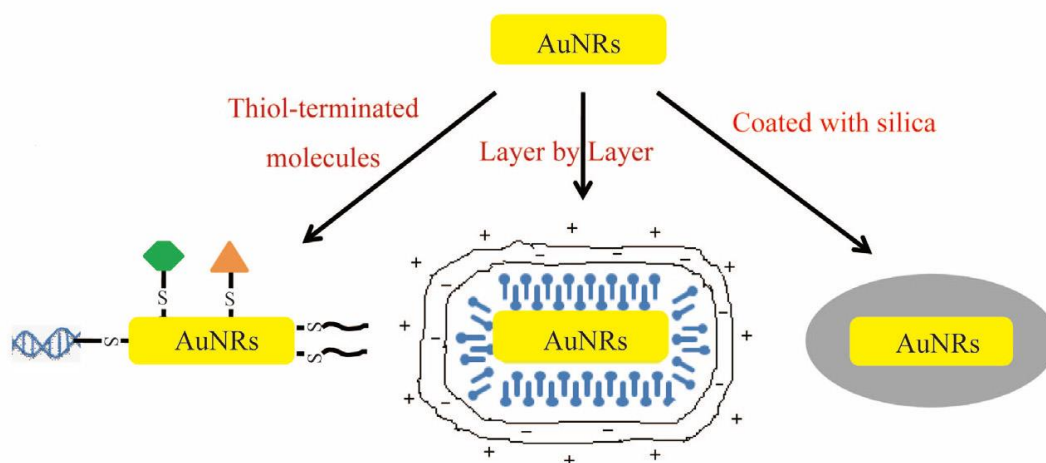
Liopo et al. modified the gold nanorod surface with thiolated PEG molecule and performed cellular toxicity analysis using MTT and LDH (lactate dehydrogenase) assays on SKBR3 and MDCK cell lines exposed to CTAB coated GNRs or PEGylated GNRs. It was obviously determined that PEG-GNRs conjugates did not show any toxicity on both cell lines (Liopo, Conjusteau et al. 2012).

In a study performed by Grabinski et al., the influence of PEG coated gold nanorods and mercaptohexadecanoic acid (MHDA)-coated gold nanorods on the viability of human keratinocyte cell line (HaCaT) were evaluated. The results showed that these two functionalized gold nanorods were not highly toxic to cells and also they

influenced the expression of genes corresponding to stress response and toxicity (Grabinski, Schaeublin et al. 2011).

Another technique for the surface functionalization of the gold nanorods is layer by layer technique using polyelectrolytes. Initially, gold nanorods are overcoated with anionic polyelectrolytes. Then, cationic polyelectrolytes are attached to this layer (KyuáLee, YoungáShin et al. 2009, Alkilany, Thompson et al. 2012). The main goal for the use of anionic and cationic polyelectrolytes in combination is to make nanorods dispersable in biological media and reduce the cellular recognition. Polystyrenesulfonate is the most widely used anionic polymer as it avoids CTAB by using filtration or centrifugation. Hence, PSS-coated gold nanorods are available for the absorbion of cationic polyelectrolyte. Qui et al. used poly(diallyldimethylammonium chloride) (PDDAC) to attach to the PSS-gold nanorod layer. They found that PDDAC-PSS-gold nanorod showed strong internalization and less toxicity on human breast adenocarcinoma cell line (MCF-7) (Qiu, Liu et al. 2010).

There is a new coating tool for functionalization process is mesoporous silica which has supouted recently. Mesoporous silica which is capable of diminishing CTAB toxicity and providing gold nanorods dispersion easily, is also a promising tool. Despite this, there are limited applications related to its use (Zhang, Wang et al. 2013).



**Figure 1.7 :** Schematic representation of three common strategy for modification of gold nanorods surface (Zhang, Wang et al. 2013).

Beside the methods mentioned above, there are also alternative coating methods including amphiphilic block copolymer or phospholipid coating. Yet, these methods have some limitations on the functionalization. In a desired coating agent, some specifications including good water solubility, physical and chemical efficiency through modification are needed (Basiruddin, Saha et al. 2010).

In addition to the stabilization of gold nanorods and prevention of toxicity, functionalization is mandatory for selective targeting and drug delivery. In a study by Black Kirkpatrick et al., gold nanorods were examined using two genetically engineered cells derived from human colon carcinoma, HCT-116. One of these cells expressed delta opioid receptor, the other did not. In this research, deltorphin, an exogenous opioid heptapeptide which has a high affinity for delta opioid receptor expressed on human colon carcinoma cells, was conjugated to the overcoated gold nanorods through the distal end of PEG-SH molecule. The authors clearly showed that in a mixed population of cells, by using gold nanorods it was possible to make selective imaging and selective elimination of receptor expressing cancerous cells without damaging adjacent cells that do not express receptor (Black, Kirkpatrick et al. 2008).

Reuveni et al. investigated the efficiency of passive and active targeted gold nanoparticle for CT imaging of cancer both in *in vitro* and *in vivo*. Head and neck squamous cell carcinoma was chosen as a model system. Two gold nanoparticle groups were used. Carboxylate-PEG-thiol functionalized gold nanoparticle was covalently attached to the anti-EGFR antibody for active targeting whereas other PEG coated gold nanoparticle (GNP) was covalently attached to the anti-rabbit IgG for passive targeting. In *in vitro* study, A431 cells with highly express EGFR were exposed to these GNPs. In *in vivo* study, researchers injected actively and passively targeted gold nanoparticles to the mice bearing from squamous cell carcinoma head and neck tumors derived from A431 cells.

Interestingly, 3-6 hours later anti-EGFR coated gold nanoparticles demonstrated contrast enhancement in CT imaging five times greater than anti-rabbit coated gold nanoparticles (Reuveni, Motiei et al. 2011). This research suggests that gold nanoparticles could be useful as molecular probes.

In a study by Rostro- Kohanloo et al, conjugation efficiency of targeted gold nanorods was tested. Human breast cancer cell line SKBR-3 and normal epithelial mammary cell line MCF10A, KU7 bladder cell line were examined as *in vitro* model

systems. Carboxy-PEG-thiol was chosen which attached to gold nanorods from its thiol ends while carboxyl groups were for antibody bonding covalently. Gold nanorods were covalently conjugated to the anti-Her2 and anti-rabbit IgG as control group. Anti-Her2 conjugated and anti-rabbit IgG conjugated gold nanorods were incubated with Her2 overexpressing cell line SKBR-3 and normal epithelial cell line MCF10 respectively. Dark field imaging showed that actively targeted nanorods bind to the cancer cell line strongly.

On the other hand, this team also examined KU7 bladder cell line *in vitro*. KU7 bladder cells were exposed to C225 antibody coated gold nanorods and anti-rabbit IgG conjugated gold nanorods. Confocal reflectance spectroscopy results illustrated that actively targeted gold nanorods with C225 antibody had bind to KU7 cells where as anti-rabbit IgG conjugated gold nanorods did not (Rostro-Kohanloo, Bickford et al. 2009).

Ramos and Rege used cationic poly (amine ether) for the functionalization and stabilization of gold nanorods. After the surface modification, gold nanorods were positively charged, which enabled negatively charged plasmid DNA to be complexed with the gold nanorod surface easily due to the electrostatic interactions (Ramos and Rege 2012).

#### **1.4 Biomedical Applications Of Gold Nanorods**

The unique properties of gold nanorods such as controllable size and shape, ease of surface functionalization, capability of absorbing and scattering light in the near infrared region have made them potential candidates as contrast agents and therapeutic systems for diagnosis and treatment of diseases, respectively.

Plasmonic excitations of nanoparticles take advantages over other imaging methods using organic dyes. For instance, gold nanoparticles can absorb light five orders of magnitude higher than the best light absorbing organic dyes (Jain, Lee et al. 2006). This property increases the popularity of gold nanoparticles be useful as contrast agents in bioimaging systems (Wang, Xie et al. 2004) such as dark field imaging. Huang et al. demonstrated the possible use of anti-EGFR conjugated gold nanorods for the optical detection of oral epithelial cell lines (HOC 313 clone 8 and HSC 3).

Scattering red light from gold nanorods in dark field resulted in the visualisation of malignant and non-malignant cells distinguishably (El-Sayed, Huang et al. 2005). Gold nanorods are potentially useful in surface enhanced raman scattering (SERS) for biological species. Biological molecules have weak Raman signals. When laser is applied to biological molecules, enhanced Raman signals could be observed. In order to avoid detrimental results in biological molecules by using UV or visible lasers, SERS is a promising approach for understanding the molecular structure without making damage (Fleischmann, Hendra et al. 1974, Albrecht and Creighton 1977). Gold nanorods with their properties of scattering light stronger provide researchers to apply them as molecular probes. This is also advantageous to recognise small molecules targeted with gold nanorods even at low concentrations (Strickland and Batt 2009).

Gold nanorods have been attractive vehicles in gene delivery. Gene therapy is basically known as therapeutic approach which enables genetic materials to penetrate into organism. It brings researchers to change damaged or overexpressed genes with new ones (Patel, Giljohann et al. 2010). To enhance the targeting efficiency of new genes, there are various mechanisms and approaches available. Recently, the use of nano based therapy has gained much attention for gene delivery. Engineered nanoparticles could provide to bring out gene pathways and functionality while reducing unselective targeting regarding to biological effects (Fox, Drury et al. 1998, Brown, Dickson et al. 2010). For instance, gold nanoparticles have been used for delivering of small oligonucleotids and small interfering RNA into cells (Kim, Schulz et al. 2012) to repair gene in effectiveness. Kim et al. explored that human peripheral blood mononuclear cells (PBMCs) which are restrained cell line were exposed to gold nanoparticles.

Gold nanoparticles modified with oligonucleotides accelerated the transcription potential towards immune system. In other words, this conjugate stimulated the signaling receptors which gave rise to activate the genes in signaling pathway and immune regulation (Kim, Schulz et al. 2012). Interestingly, AuNRs have been demonstrated to be able to pass blood brain barrier (BBB) and silence the expression of DARPP-32 by delivering specific short interfering RNAs (siRNAs) into the neuron cells (Zhang, Meng et al. 2011).

In therapeutic applications, gold nanorods have been used for thermal ablation of tumors in animals (Choi, Kim et al. 2011) Gold nanorods are capable of converting

light to heat energy, this property makes them strong candidates in targeted delivery systems respectively. Heat is an effective approach for the destruction of tumorous cells and providing macromolecules such as drugs to penetrate into cells efficiently. More heat is necessary to eliminate tumor tissue but it is unfortunately detrimental to cells located near tumor tissue. In other words, tumorous cells are more sensitive to hyperthermic effects than normal cells because of their higher level metabolic activities. For this reason, it is preferable to kill abnormal cells via localized hyperthermia (Kapp, Hahn et al. 2000, Wust, Hildebrandt et al. 2002). It should be taken into consideration that current techniques consist of microwave radiation, ultrasound waves, radiofrequency pulses adversely influence surrounding tissues while providing heat energy on abnormal tissues, (Huff, Tong et al. 2007). To figure out this problem, the use of nanotherapy, particularly gold nanorods as photothermal reagents have a great deal of attention in contemporary research area (Kreibig and Vollmer 1995). This particular application of gold nanorods has been described in detail in sections 1.5 and 1.6.

Gold nanorods are not only promising tools for cancer therapy but also are appealing tools for other therapeutic applications. It has been possible to selectively target and destroy pathogenic bacteria by using nano-based approach. Norman et al. found that gold nanorods attached to the anti- PA3 polyclonal antibody which is specific to gram-negative bacterium *Pseudomonas aeruginosa*, significantly reduced the cell viability in the presence of near infrared radiation (Norman, Stone et al. 2008).

### **1.5 Cancer Therapy Via Gold Nanorods**

Cancer is one the major causes of death all over the world. Current cure techniques are insufficient to treat cancer. Current trial methods are limited to the patients which are suffering from cancer. In addition, cancer drugs commonly used, have several side effects and complications on patients. Existing cure techniques are slow, time consuming and expensive. Particularly, cancer treatment techniques such as chemotherapy and immunotherapy which are being used up to now, require intense labour, budget and time for both diagnosis and therapy. These techniques may be also detrimental to cancer patients, as these approaches do not only kill cancerous cells, but also damage surrounding normal, healthy cells at the same time.

Furthermore, drug resistance occurs with conventional chemotherapy and immunotherapy methods due to the presence of drug resistance proteins inside the cells which minimizes the drug efficiency (Kiziltepe, Ashley et al. 2012). To tackle all these problems of conventional methods, nanotechnology based therapies have been investigated.

The establishment of gold nanorod library with versatile number of aspect ratios in the near infrared region makes gold nanorods more attractive than their counterparts for cancer therapy and diagnosis strategies. As an example, Huang et al. investigated how gold nanorods could be used as diagnostic and imaging tool on malignant and nonmalignant cells. By conjugation of gold nanorods with anti-EGFR monoclonal antibody which selectively recognizes the EGFR expressing malignant cells, two malignant oral epithelial cells ( HOC 313 clone 8 and HSC 3) and nonmalignant epithelial cells (HaCaT) were clearly distinguished from each other (Huang, El-Sayed et al. 2006).

Kuo et al. used gold nanorods for the delivery of paclitaxel to selectively treat breast cancer (Kuo, Hovhannisyan et al. 2010). In this study, Paclitaxel was attached to gold nanorods modified with a polyelectrolyte in order to illustrate how gold nanorods are effective for the release of paclitaxel in tumorous cells. When gold nanorods were irradiated with laser in the near infrared region, gold nanorods extracted heat and paclitaxel near gold nanorod absorbed more heat. In other words, more kinetic energy occurred for the release of this anticancer drug for the treatment of MCF-7 breast cancer cell line (Kuo, Hovhannisyan et al. 2010).

Dickerson et al. reported that PEGylated gold nanorods were effective in photothermal therapy. They illustrated the efficiency of gold nanorods both *in vitro* and *in vivo*. Subcutaneous squamous cell carcinoma xenografts were grown in nude mice and modified gold nanorods were delivered into the mice via direct or intravenous injection in order to see the effect of injection site. The data showed that under the near infrared laser irradiation, gold nanorods could suppress the tumor growth photothermally in an injection site-independent manner (Dickerson, Dreaden et al. 2008).

## 1.6 Active and Passive Targeting via Gold Nanorods

Effective targeting systems have been examined in nanomedicine. There are two kinds of targeting strategies, i.e. active and passive targeting, being used in this field. In passive targeting, nanoparticles can accumulate in the tumor site through enhanced permeability and retention effect of tumor. But this has obstacles by means of achievability of effective targeting. Therefore, it is essential to modify the nanoparticle surface with specific targeting agents such as monoclonal antibodies to enhance selective tumor targeting (Matsumura and Maeda 1986, Jain 1999, José Alonso 2004). This is well-known as an active targeting strategy. For active targeting, modification of nanoparticle surface with targeting agents including aptamers (Eliaz and Szoka 2001), carbohydrates (Li, Lai et al. 2010) and peptides (Singh, Grossniklaus et al. 2009) has been studied. On the other hand, antibodies and ligands which are specific to the markers located and over-expressed on the tumor cells have remarkably received attention from researchers. In this manner, active targeting is under intense work for gene and drug delivery and photothermal therapy systems for cancer treatment.

In a study reported by Xio et al., PEG-gold nanorods conjugated to Doxorubicin were functionalized with cRGD targeting peptide. According to the cellular uptake and cytotoxicity assay results, cRGD- gold nanorods enhanced the cellular uptake and had more cytotoxicity over cancer cells expressing  $\alpha v \beta_3$  integrin when compared to gold nanorods-doxorubicin without targeting agent, cRGD. In other words, active targeting system was more effective than passive targeting system (Xiao, Hong et al. 2012).

Jing and his colleagues generated folate conjugated gold nanorods to target folate receptor bearing hepatocellular carcinoma cells. They illustrated that folate- gold nanorods conjugated to the cell membrane and entered into cytoplasm easily. They also showed that photothermal therapy approach with the use of folate conjugated gold nanorods could inhibit the proliferation of hepatocellular carcinoma cells, HepG2 (Jin, Yang et al. 2012)



## 1.7 Multiple Myeloma

Multiple myeloma is a hematological plasma cell cancer type which causes remarkably high deaths worldwide (Picot, Cooper et al. 2011).

It occurs when B-lymphocytes also known as plasma cells differentiate into post germinal phase of malignancy. This malignancy is the result of plasma cells accumulated within bone marrow. It has been a big challenge to overcome drug resistance in multiple myeloma cells. Since multiple myeloma cells accumulate in the bone marrow microenvironment, their sensitivity to chemotherapy reagents diminish in terms of cell-adhesion mediated drug resistance (CAM-DR) (Damiano, Cress et al. 1999, Sanz-rod rquez and Teixid  2001). The main point to achieve efficient targeting in multiple myeloma is usually to hinder the adhesion of these plasma cells to the bone marrow microenvironment (Kiziltepe, Ashley et al. 2012)

There are a number of current strategies used for the treatment of this important disease. The conventional method used nowadays is applying chemotherapy agents such as vincristin, dexametasone and melphalan. However, it is known that this therapy leads to increase in the malignancy reknown as therapy related myelodesplastic syndromes (Ishii, Hsiao et al. 2006). There is a substantial requirement for new strategies to treat multiple myeloma. Nanotechnology based therapy may be an alternative approach to cure this disease. Badr et al. illustrated that it was possible to cure multiple myeloma using silica nanoparticles. In this study, Badr and his co-workers used different multiple myeloma cell lines, U266 and RPMI 8226. It was demonstrated that combination of silica nanoparticle with snake venom enhanced the effectiveness of snake venom in cancer cell lines with increasing the induction of apoptosis in these cancerous cells (Badr, Al-Sadoon et al. 2012).

Kiziltepe et al. designed engineered nanoparticles functionalized with anti-very late antigen-4 (VLA-4) peptide- pH sensitive Doxorubicin conjugates to target multiple myeloma cells *in vitro*. The main goal in that study was not only to provide targeted Doxorubicin delivery into multiple myeloma (MM) cells, but also to hinder adhesion tendency of these cells towards bone marrow stroma cells by targeting very late antigen-4 (VLA-4) overexpressing MM cells. It was reported that nanoparticle binded VLA-4 initiated receptor mediated cellular uptake and efficient release of Dox because of pH sensitive bond hydrolysis in the acidic endocytic vesicles (Kiziltepe, Ashley et al. 2012).

In this thesis, gold nanorods were intended to be used as phototherapeutic agents for providing a new approach in the treatment of Multiple Myeloma via selective targeting. For selective targeting, anti-CD138 monoclonal antibody which has affinity to the CD138 antigen expressing Multiple Myeloma cells was conjugated to PEGylated gold nanorods. CD138 antigen positive and negative cell lines, U266 and ARH-77, were used to demonstrate the efficiency of antibody conjugated gold nanorods for photothermal destruction of cancer cells selectively. To the best of the author's knowledge, this is the first study utilizing gold nanorods actively targeting Multiple Myeloma cell lines using anti-CD138 monoclonal antibody. Briefly, gold nanorods in a desired size range were synthesized using seed mediated method. To make gold nanorods stable and non-toxic, their surface was functionalized with PEG. Heterofunctional PEG mixture was used for the modification of the surface of gold nanorods via thiol linkage. MTT assay was carried out to show the toxic effects of CTAB or PEG coated gold nanorods on U266 and ARH-77 cell lines respectively. Meanwhile, characterization techniques, described in experimental section were also performed before and after functionalization of gold nanorods. Before conjugation of anti-CD138 antibody to gold nanorods, lysozyme was used as a model protein and nanodrop measurements and SDS-PAGE results were recorded after conjugation to the gold nanorods in order to determine the concentration of protein attached to gold nanorods. Cellular uptake of PEG coated and anti-CD138 conjugated PEG coated gold nanorods were carried out by using inductively coupled plasma mass spectroscopy (ICP-MS). Photothermal ablation of PEG coated and anti-CD138 conjugated gold nanorods on U266 and ARH-77 cell line was tested by using 633 nm red laser.

## 2. EXPERIMENTAL SECTION

### 2.1 Materials

Cethyltrimethylammonium bromide (CTAB, CAS number: 57-09-0, C<sub>19</sub>H<sub>42</sub>BrN, Sigma, Germany), L-Ascorbic acid (Lot No: 05878, Fluka Analytical, Germany), Chloroauric acid (HAuCl<sub>4</sub>, Lot No: ), Silver nitrate (AgNO<sub>3</sub>, Lot No: 10K023, Alfa Aesar, Germany), Sodium Borohydrate (NaBH<sub>4</sub>, Cat No: 48086, Sigma, Germany ), N-ethyl- N'- (3-dimethylaminopropyl) carbodiimide hydrochloride (EDAC, Cat no: 25952-53-8, Merck, Germany ), N-Hydroxysuccinimide (NHS, Cat No:6066-82-6, Merck, Germany), Polyethylene glycol (PEG-SH, MW 1000, Cat. No: 729108, Sigma, Germany), SH-PEG-COOH, MW 1000, Lot No:ZL25647, Creative PEGWORKS, USA), Disinfectant (Deconex, Reiniger, Germany) Anti-CD138 human monoclonal antibody azide free (Cat No:10-520, Abcore, USA), Lysozyme from chicken egg white (Cat No: 62971, Fluka, Germany), Ammonia Solution (NH<sub>4</sub>OH,% 32, Cat.No:B0714426-136, Merck, Germany), Hydrogen Peroxide (H<sub>2</sub>O<sub>2</sub>, % 30, Cat. No: K42254197-119, Merck, Germany), Acrylamide, 99,9% (Cat. No: 161-001, Bio-Rad, USA), Bis (N, N'-Methylene- bis Acrylamide (Cat. No: 161-0201, Bio-Rad, USA), Tris (Cat. No: 161-0716, Bio-Rad, USA), Glycine (Cat. No: 161-0718, Bio-Rad, USA), SDS (Sodium Dodecylsulfate, Cat. No: 161-0301, Bio-Rad, USA), Ammonium Persulfate (Cat. No: 161-0700, Bio-Rad, USA), TEMED (Cat. No: 161-0800, Bio-Rad, USA) Thermo-0671 protein ladder (Thermo Scientific) RPMI 1640 (+L-Glutamine, Lot. No: 1228417, Gibco, Utah, USA), Phosphate Buffer Saline (1X PBS, Cat. No: SH30256.01, Gibco, Utah, USA), Trypan Blue dye (Cat. No: 03-102-1, Biological Industries, Israel), MTT (Thiazol Blue Tetrazolium Bromide, Cat No: M5655, Sigma, Germany), Annexin V-FITC apoptosis kit (Cat. No:K101, Biovision, USA). All chemicals were used as received. Multiple myeloma cell lines ARH-77 and U266 were provided by Dr. Yusuf Baran at Izmir Institute of Technology.

## **2.2 Methods**

### **2.2.1 Fabrication of gold nanorods via seed mediated method**

Gold nanorods at various aspect ratios were synthesized using seed mediated technique according to a modified version of methods previously described by Nikoobaht et al. (Nikoobakht and El-Sayed 2003). and Green et al. (Green, Martyshkin et al. 2011).

#### **2.2.3.1 Synthesis of GNRs (absorption maximum at 700 nm)**

##### **Step 1 : Preparation of Seed Solution**

Prior to use all glassware was cleaned with disinfectant (5ml in 1 ml UP water) and rinsed twice with distilled water and dried under N<sub>2</sub> gas. All chemicals were freshly prepared and dissolved in 18 ohm ultrapure (UP) water. Au seeds which were 3-5 nm diameters, were prepared by the reduction of NaBH<sub>4</sub> in the presence of H<sub>2</sub>AuCl<sub>4</sub> using seed mediated method described by Nikoobaht et al. Briefly, H<sub>2</sub>AuCl<sub>4</sub>.H<sub>2</sub>O in UP water (250 µl, 0.01 M) was added into CTAB solution UP water (9,75 ml, 0,1 M) under magnetic stirring. ice-cold NaBH<sub>4</sub> solution in UP water (0.6 ml, 0,01 M) was added to the Au mixture. The color of the solution turned into brownish color within seconds. Afterwards, seed solution was kept at 30 °C for 15- 20 min in order to get rid of free Na ions.

##### **Step 2: Preparation of Growth Solution (G1)**

CTAB solution in UP water (9,75 ml, 0,1 M) and H<sub>2</sub>AuCl<sub>4</sub> solution in UP water (0,5 ml, 0,01 M) were mixed under magnetic stirring. AgNO<sub>3</sub> solution in UP water (50 µl, 0,01 M) was added to this mixture. After addition of ascorbic acid as a mild reducing agent, the color of the solution immediately turned into colorless. Finally, seed solution (12 µl) was added. The solution was stirred for 2 minutes. Approximately 10 minutes later, the color of the growth solution changed to grey. Gold nanorods were kept in dark for further use.

### 2.2.1.2 Synthesis of gold nanorods (absorption maximum at 740-780nm)

Seed mediated method previously reported by Green et al. (Green, Martyshkin et al. 2011) was used for the fabrication of gold nanorods at 740-780 nm.

#### Step 1: Preparation of Seed Solution

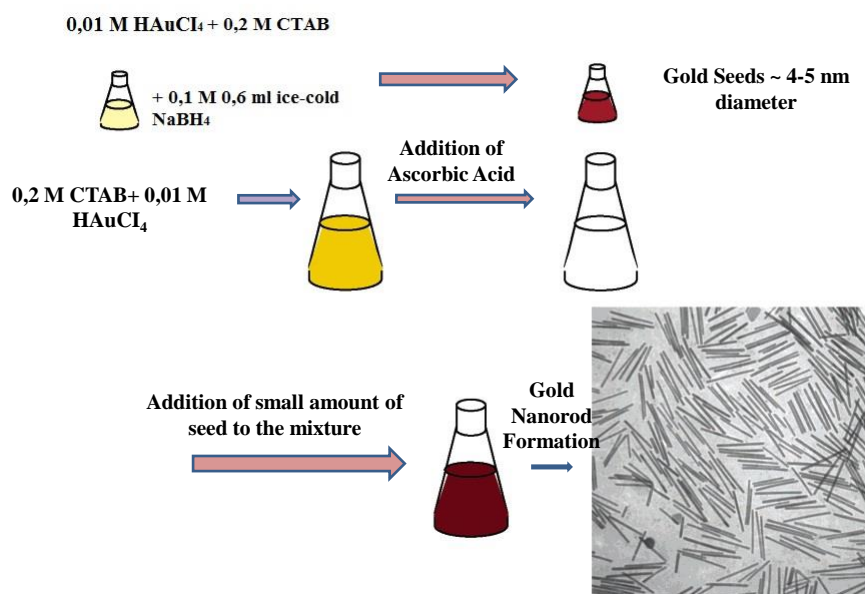
HAuCl<sub>4</sub> solution in UP water (250 µl, 10 mM) was added into CTAB solution in UP water (5 ml, 0,2M.). Ice-cold NaBH<sub>4</sub> solution in UP water (0,6 ml, 0,01 M) was put into this mixture immediately (See Figure 2.1). The color turned into brownish. Seed solution was incubated at room temperature for at least 2 hours before use for the reduction of free Na<sup>+</sup> ions.

#### Step 2: Preparation of Growth Solution

G2 and G3 growth solutions were prepared as shown in Table 2.1. Typically, a growth solution containing CTAB solution in UP water (0,2 M) and HAuCl<sub>4</sub> solution in UP water (0,01 M) were mixed with freshly prepared ascorbic acid solution in UP water (78,8 M) which was used as a mild reducing agent for the reduction of Au<sup>3+</sup> to Au<sup>+</sup>. The color of the solution turned from brow-yellow to colorless when ascorbic acid was added. After Au seeds were added (60 µl for G2, 80 µl for G3 growth solutions) to the growth solution, the color of the solution was turned into wine red within minutes. Growth solution was kept under dark for further use. Growth solution was concentrated via centrifugation to obtain a gold nanorod concentration of 1000 µg/ml. Rod formation was schematically illustrated (See Figure 2.1).

**Table 2.1 : Fabrication of gold nanorods using wet chemistry technique, seed mediated method (Green, Martyshkin et al. 2011).**

Chemicals	##G2	##G3
CTAB (0,2 M)	17 mL	20 mL
AgNO <sub>3</sub> (32mM)	80 µL	120 µL
HAuCl <sub>4</sub> (0,01 M)	1,7 mL	2 mL
Ascorbic Acid (78,8 mM)	280 µL	360 µL
Seed Solution	60 µL	80 µL



**Figure 2.1 :** Schematic illustration of the synthesis of gold nanorods (Rayavarapu 2010).

### 2.2.2 Surface modification of gold nanorods

Surface modification of gold nanorods was carried out using PEG-thiol and carboxy-PEG-thiol mixture. Prior to use, all glassware was cleaned with dezenfectan 5m in 1L UP water and rinsed twice with distilled water. To replace CTAB molecules on the surface of gold nanorods with a mixture of bi- and mono-functional PEG molecules, i.e. monomethoxy-PEG-thiol (PEG-SH) and carboxy-PEG-thiol (COOH-PEG-SH), gold nanorods (1 ml, 1000  $\mu\text{g}/\text{ml}$ ) were first centrifuged at 9000 rpm and washed twice to reduce the CTAB concentration in solution. Mixed PEG solutions of COOH-PEG-SH/ PEG-SH in UP water ) were prepared at varying PEG-SH: COOH-PEG-SH molar ratios of 1:1, 1:3 and 1:9. Gold nanorod pellets were dispersed in UP water at a concentration of 1000  $\mu\text{g}/\text{ml}$ . Mixed PEG solution (0,05 ml, 0.25 mg mixed PEG; 0,1 ml, 0.5 mg mixed PEG, 0,2 ml, 1 mg mixed PEG) was then added into gold nanorod solutions (1 ml, 1000  $\mu\text{g}$  as Au atom). The solution was magnetically stirred for 30 minutes and left in dark overnight. Next day, the mixture was transferred into dialysis membrane with 10000 Da MWCO (Spectra/Por) in

order to remove unreacted PEG molecules. The dialysis was carried out against UP water which was changed frequently for 3 days. After the dialysis, the solution was transferred into falcon tubes and dried via liophiliser. After drying, the gold nanorods were redispersed in UP water and then sonicated for one minute before further use.

### **2.2.3 Characterization of PEG coated or CTAB coated gold nanorods**

#### **2.2.3.1 Scanning electron microscopy (SEM)**

Before samples were prepared, silica wafers were exposed to the piranha solution. Piranha solution was prepared in ultra pure water containing H<sub>2</sub>O<sub>2</sub> and NaOH with volume ratios 5:1:1. Piranha solution was heated up to 65 °C and silica wafers were exposed to the solution until bubbles were not observed on the wafers. Next, wafers were washed with distilled water and technique EtOH and dried under N<sub>2</sub> gas. All samples ( 1000 µg/ ml, gold nanorod solutions at varying PEG concentrations in PBS) were diluted 5 times and 10 µl of each sample was dropped onto the silica wafer for SEM imaging. High resolution SEM (Zeiss Ultra Plus) with 5.00kV was used.

#### **2.2.3.2 Dynamic light scattering**

Dynamic light scattering (DLS) was used in order to determine the surface charge and hydrodynamic diameter of GNRs before and after modifications. A High Performance Malvern Zeta Sizer (Malvern Instruments Ltd. Southborough, MA, USA) was used at 25 °C and 60 second runs were performed for each sample. Measurements for each samples were done in triplicate and the average of these measurements were obtained with standard deviations for the evaluation of the results.

#### **2.2.3.3 UV-visible spectroscopy**

18x18 mm glass surfaces were cleaned with technique EtOH and dried under N<sub>2</sub> gas. Lenses and the light density of the light mcirsocope was adjusted. 10 µl of each sample in PBS (1000 µg/ ml as gold atoms) was dropped on to the glass surface and

the absorbance value of CTAB coated, PEG-coated and lysozyme coated GNRs were obtained by using Nanodev UV spectrophotometer (Nanodev, Turkey) in which 200 nm fiber optic was used as bridge between the spectra system and light microscope in 10X objective. Datas were obtained from Ocean Optics Spectra Suite Software programme.

## **2.2.4 Conjugation of gold nanorods with lysozyme as a model protein**

Before conjugation of anti-CD138 antibody to PEGylated gold nanorods, binding efficiency of PEG capped gold nanorods was tested with a model protein, lysozyme (MW 14000, Sigma, Germany). For the preparation of a stock protein solution, lysozyme was dissolved in PBS at a concentration of 5 mg/ml. To target all of the carboxylate groups of COOH-PEG-SH molecules on the GNRs surface, 44,8  $\mu$ l of lysozyme stock solution was diluted first with NaCO<sub>3</sub> buffer (55,2  $\mu$ l, 100 mM ) at pH 8,6. On the other hand, EDC solution in UP water (0,01 M as final concentration) and NHS solution in UP water (0,005 M as final concentration) were added into 100  $\mu$ l of PEG coated GNR solution in PBS (1000  $\mu$ g/ ml gold atoms) . After 5 minutes, the protein solution (100  $\mu$ l, 44,8 mg) was added into the GNR solution. Meanwhile, gold nanorods treated with EDC and NHS without lysozyme were prepared as control. The reaction was continued at +4°C overnight. Next day, Lysozyme conjugated GNR solution and GNR solution without lysozyme were centrifuged at 9000 rpm for 15 min and then washed with PBS twice in order to remove the unreacted agents and side-products. The final GNRs were dispersed in PBS.

### **2.2.4.1 Characterization of Lysozyme conjugated PEG-coated GNRs**

#### **2.2.4.1.1 Nanodrop measurement**

Protein concentration datas of prepared samples were analyzed by using Vilber Lourmat UV based imager. Standart curve was obtained by making serial dilutions from initial stock lysozyme solution. To determine the protein conjugation yields, the absorbance values of the supernatant of conjugation mixtures after reaction and each wash were determined at 280 nm. As a background reading, the absorbance



measurements were also performed with PEG-gold nanorod without lysozyme solution. Absorbance values of each sample were converted to the concentration values using standart curve. The amount of protein conjugated with PEG coated GNR was calculated theoretically using the concentration data.

#### **2.2.4.1.2. Polyacrylamide gel electrophoresis**

A 12% separating gel was first prepared. Briefly, acrylamide stock solution in distilled water (containing 0,081 M acrylamide and 0,001 M, N, N'-Methylene-bis Acrylamide in 10 ml distilled water) , distilled water (8,375 ml) , 1,5 M Tris-HCl (pH:8,8) in distilled water (54,45 g, 300 ml) , % 10 (w/v) sodium dodesyl sulfate in distilled water (3,4 mM, 250  $\mu$ l) as stabilizing buffer were mixed. Finally, ammonium persulfate (4,376 M in 125  $\mu$ l distilled water ) and tetramethylethylenediamine (TEMED) (12,5  $\mu$ l) which trigger polymerization were added to the mixture. The solution was poured between the glass plates in the gel cassette systems until the top of the short plate. gel was allowed to polymerize for at least 45 minutes. Meanwhile, 4% stacking gel was prepared as follows. First, monomer solution including acrylamide stock solution (0,01 M acrylamide and 0,13 mM, 1,3 ml) in distilled water, 6,1 ml distilled water, Tris-HCl (0,5 M, 2,5 ml) in distilled water (pH:6.8), % 10 sodium dodecyl sulfate (0,01 g, 100  $\mu$ l) in distilled water, ammonium persulfate (8,76 M, 50  $\mu$ l ) in ditilled water and 10  $\mu$ l of TEMED, was prepared. Stacking gel was poured to cover the surface of seperating gel and a 10 well comb was put into the gel and left overnight. All the samples (10  $\mu$ l) were diluted with loading buffer stock solution containing 3 ml distilled water, 0,5 M, ml Tris- HCl in 1ml distilled water, pH: 6,8 , 20 % (v/v) glycerol (1,6 ml), bromphenol blue (7,46 mM, 0,4 ml) in distilled water, 10 % SDS (0,35 M, 1,6 ml ) 5% (v/v)  $\beta$ - mercaptoethanol (0,4 ml) ( with a volume ratio of 1:1), and all samples were denatured in a water bath at 100°C for 10 minutes. Prestained marker (3 $\mu$ l) (Page ruler prestained marker, with size range from 10kDa to 70kDa, 0671, Thermo Scientific) was first loaded on the gel. Each sample (10  $\mu$ l) was loaded and the gel system was run at 100 V for 100 minutes. 5X electrode buffer containing Tris (125 mM , 45 g), Glycine (960 mM, 216 g), 0.5% SDS (15 g) in 3 L distilled water at pH 8.3 was prepared as stock solution. 300 ml, 5X stock solution was diluted with distilled water to 1,5 ml, 1X electrode buffer for one electrophoretic run.

At the end of running time, gel was taken up and put into a plastic plate containing 20 % (v/v) Trichloroacetic acid (60 ml pure TCA, 240 ml distilled water) for fixation. The gel was shaken at 50 rpm for 30 minutes. Next, gels were washed three times with UP water gently. 0,1 % G-250 coomassie blue solution (1 g, 1000 ml) in 10 % acetic acid, 50 % methanol and 40 % distilled water was added to cover the gel. The gel was shaken for 10 minutes for staining. Afterwards, destaining solution containing 50 % (v/v) methanol, 10 % (v/v) acetic acid and 40 % distilled water (100 ml) was added onto the gel and incubated for 30 minutes. The solution was replaced with fresh destaining solution to remove excess stain. Finally, the gel was analyzed using a Bio-Rad imaging software.

In order to visualize the protein bands more clearly, an additional staining protocol, using silver staining was performed. In this procedure, initially, 50 % ethanol solution (300 ml absolute ethanol diluted with 300 ml distilled water) was added to cover the gel and shaken for 20 minutes. This step was repeated three times. Next, gel was washed with pre-treatment solution containing sodium thiosulfate pentahydrate ( $4,03 \times 10^{-5}$  M, 8 ml) in distilled water for 1 minute. The gel was then rinsed with deionized water for 20 seconds three times. The silver nitrate solution containing silver nitrate (0,01 M, 400 ml) in distilled water and 37 % formaldehyde (300  $\mu$ l) was then added to cover the gel surface and the gel was shaken for 20 minutes. In order to remove excess stain from the gel, gel was washed twice with deionized water for 20 seconds. Finally, developing solution consists of potassium carbonate (0,16 M, 408,3 ml) pre-treatment solution (8 ml), 37 % formaldehyde (300  $\mu$ l) and distilled water (400 ml) to develop the background was added to the chamber and the final gel was shaken gently until the bands were visualized. Deionized water was then added to slow down the reaction. The gel was analyzed with a Bio-Rad imaging software.

### **2.2.5 Conjugation of anti-CD138 monoclonal antibody to gold nanorods**

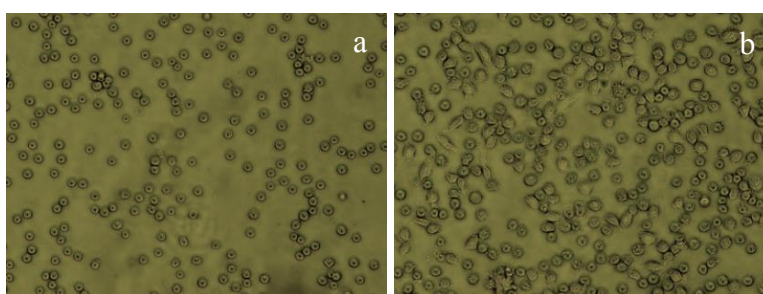
CD138 is a heparan sulfate bearing proteoglycan that is expressed on myeloma tumors. The high level expression of this antigen on myeloma tumors leads to be used in the antibody targeting approach for the treatment of myeloma tumors.

In this research, anti-CD138 monoclonal antibody with its high binding affinity to CD138 was used. Conjugation method was carried out for anti-CD138 attachment to the GNR. To target % 0,1 of COOH groups on PEG coated gold nanorods, anti-CD138 monoclonal antibody in PBS (100 µg, 48 µl) was diluted first in NaCO<sub>3</sub> (100 mM, 52 µl). EDC solution in UP water (0,01 M as final concentration) and NHS solution in UP water (0,005 M as final concentration) were added into 500 µl of PEG coated GNR solution in PBS (2000 µg/ ml gold atoms) to activate the carboxylic ends of PEG on the GNR surface. The mixture was allowed to sit for a while. The reaction continued at +4°C overnight. Next day, anti-CD138 conjugated GNR solution was centrifuged at 9000 rpm for 15 min and then washed with PBS twice in order to remove the unreacted agents and side-products. The final GNR pellets were dispersed in PBS as final concentration of GNR was 1000 µg /ml.

## 2.2.6 Cell viability assay via MTT

### Cell Culture

U266 and ARH-77 cells were routinely cultivated in RPMI 1640 containing % 10 FBS and % 1 penicilin/streptomycin at 37 °C under 5% CO<sub>2</sub> atmosphere. Cells were passaged in every two days when they reach 80% confluency. Cells at logarithmic growth phase were collected for all experiments.



**Figure 2.2 :** Morphology of cells used in the study under light microscope Olympus CKX 41 in 20x objective. a) U266, b) ARH-77.

U266 which is a suspended cell line and ARH-77 which is a loose adherent cell line were collected separately into Falcon tubes. Cells were centrifuged at 800 rpm for 5 minutes in order to remove the culture medium. After centrifugation step, the medium was gently removed with pipette and cells were resuspended in 20 ml of

fresh RPMI-1640 cell culture medium by making serial pipetting. Before cell cultivation, Trypan Blue assay indicating dead cells in blue color was performed in order to determine the number of viable cells. Cells were counted with Neuber lam under light microscope. The cell suspensions were transferred into 96 well plates to yield a concentration of 10,000 cells/ well /190  $\mu$ l.

Cells were exposed to different concentrations of gold nanorods (either CTAB capped or PEG-modified) (1  $\mu$ g/ml, 2,5  $\mu$ g /ml, 5  $\mu$ g/ ml, 10  $\mu$ g/ml) using a stock GNR solution at 1000  $\mu$ g/ ml concentration. Before exposing to cells, gold nanorod solution in PBS (pH 7.4) was filtered using a 0,45  $\mu$ m membrane filter. Final volume for each well was 200  $\mu$ l. Cells containing only RPMI-1640 and cells containing only 5 % (v/v) RPMI-1640 and PBS were used as control groups. U266 and ARH-77 cells with samples were incubated at 37 °C in 5% CO<sub>2</sub> atmosphere for 24, 48, or 72 h. After incubation time, MTT dye in PBS solution (5 mg, 1ml ) was added to each well (20  $\mu$ l per well) and the plates were further incubated for 3 h in a humidified incubator. Next, plates were centrifuged at 1800 rpm for 10 minutes to sediment the cells. The solution in each well was removed gently. Cells were treated with dimethylsulfoxide (DMSO) at a volume of 100  $\mu$ l for each well in order to dissolve formazan crystals which were reduced from tetrazolium salts by oxidoreductase enzymes intracellularly. After addition of DMSO, plates were shaken at 125 rpm for 5 minutes. The absorbance values of solutions were obtained using a plate reader (Varioscan) at 570 nm.

### **2.2.7 Apoptosis assay via Annexin V-FITC**

Annexin V binding method was used as described in Manufacturer's protocol. Cell staining was evaluated using Annexin V-fluorescein isothiocyanate (FITC) and simultaneously Annexin V with propidium iodide (PI) dye. Briefly, when U266 and ARH-77 cells reached 80-90% confluency in culture flask, they were collected in 50 ml falcon tubes for centrifugation. The cells were then centrifuged at 800 rpm for 5 min in order to remove the old medium. Cells were then resuspended in fresh RPMI 1640 (20 ml). Serial pipettings were done gently for resuspension. For each cell line, cell counting was performed using Trypan Blue assay. 500,000 cells/well/2 ml were plated in six-well plates. CTAB coated gold nanorods at various concentrations (2,5, 5, 10 and 25  $\mu$ g/ml) were applied to cells using CTAB-GNR stock solution of 1000

$\mu\text{g}/\text{ml}$ . The PBS content of each well used as control was 5% of the total well volume 200  $\mu\text{l}$ ). Cells with only medium were used as control. After every addition of GNR to cells, serial pipettings in the wells were performed. After 24 h, cells in each well were collected in 15 ml Falcon tubes. Wells were washed with 1 ml of PBS and the washing solutions were also added to cell suspensions in tubes. Cells were centrifuged at 800 rpm for 5 min and the supernatants were removed gently. Cells were then washed with 1 ml of PBS. Next, cells in PBS were centrifuged at 800 rpm for 5 min again. After this step, PBS was removed and the cells were resuspended in 200  $\mu\text{l}$  of annexin binding buffer which enables to detect phosphatidylserine exposure during apoptosis. Cells used as control group were resuspended in 800  $\mu\text{l}$  of annexin binding buffer and suspension was divided into four glass tubes as each tube contained 200  $\mu\text{l}$  solution.

After the addition of annexin V binding buffer to each glass tubes, 2  $\mu\text{l}$  of Annexin V-FITC (except unstained and PI including tubes) and 2  $\mu\text{l}$  of Annexin V-PI (except tubes only included unstained and FITC) were added to cells in glass tubes. Vortex was performed for homogenization for 1-2 seconds. Cells in the tubes were then incubated in the dark for 10-15 min in order to allow binding of protein to phosphatidylserine. Analysis of each tube was performed using flow cytometry.

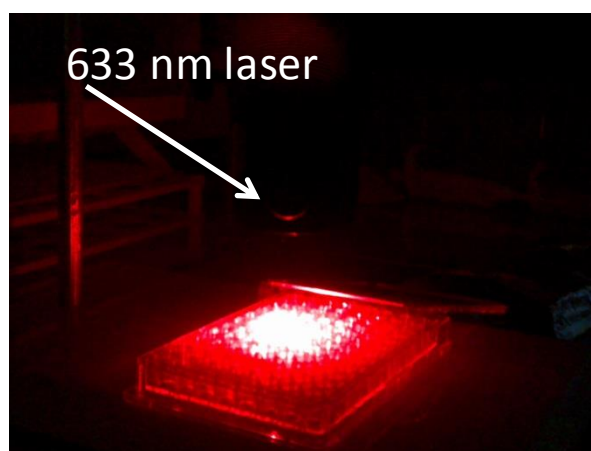
### **2.2.8 Cell uptake of gold nanorods**

ARH-77 and U266 cells at 80% confluency were collected in Falcon tubes and centrifuged at 800 rpm for 5 minutes. The supernatant was removed and pellets were suspended in RPMI 1640 medium. Cells were then plated in 24 well plates at a concentration of  $10^5$  cells/ well / 1ml. Cells were incubated with PEG modified gold nanorods at a final concentration of 10 or 25  $\mu\text{g}/\text{ml}$  for 1.5 or 3 h. Cells in medium without nanorods were cultivated as a negative control group. Each experiment was performed in triplicate. After the incubation time, cells were collected in Falcon tubes and centrifuged at 800 rpm for 5 minutes and washed with PBS three times.. Finally, pellets were dispersed in 500  $\mu\text{l}$  of concentrated nitric acid ( $\text{HNO}_3$ ) solution in order to obtain cell lysates. After incubation overnight, the cells were diluted with UP water as all Falcon tubes contained 2% nitric acid (volume %) and stored at +4

°C for further analysis using inductively coupled plasma mass spectroscopy (ICP-MS)

### 2.2.9 Photothermal therapy

To evaluate the efficiency of surface chemistry in laser ablation, U266 and ARH-77 cell lines were cultured in 96 well plates as each well included  $10^4$  cell. The final volume for each well was adjusted to 200  $\mu$ l. Cells containing only RPMI-1640 was used as control group.



**Figure 2.3 :** Illustration of laser ablation on cells.

Following 3 hours incubation of U266 cells and ARH-77 cells with PEG-GNR and anti-CD138-PEG GNRs at 25  $\mu$ g /ml of final gold atoms concentration for each well, both cell types were exposed to laser (20 mWatt, DRIEL Instruments, 79309, USA) operating at 633 nm which is in the near infrared region and does not effect the cell survival (Figure 2.3). After 10 min exposure to laser, cells were dyed with Trypan Blue with dilution ratio 1:1 (volume/volume). The number of living cells were counted under light microscope in 10x objective.

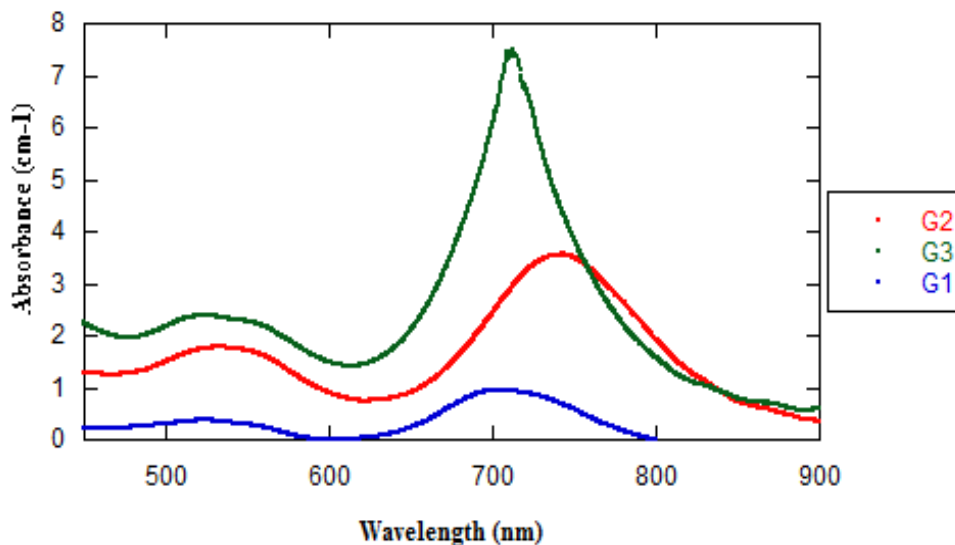
### 3. RESULTS and DISCUSSION

#### 3.1 Synthesis Of Gold Nanorods

To find the optimal reaction conditions in order to generate high yielded gold nanorods via seed mediated method, different protocols were performed. In this study, gold nanorods were synthesized using seed mediated method. First, seed solution was prepared which enabled to produce gold nanorods. After preparation of seed solution, seed solution was kept at room temperature for at least two hours in order to reduce  $\text{Na}^+$ . Afterwards, growth solution was prepared and with the addition of seed solution at enough volume, the color of the growth solution turned into purple- grey colour which was the proof that seeds converted to gold nanorods. With changing the volume and concentrations of reducing agents such as silver nitrate, ascorbic acid, gold nanorods were fabricated with different aspect ratios. It should be taken into consideration that changing the concentration of weak or strong reducing agents for the fabrications of gold nanorods gives rise to obtain high or low yielded gold nanorods. It was also confirmed in previous published datas that changing concentration of silver nitrate and ascorbic acid could lead to produce high or low yielded gold nanorods ( El Sayed et al.,2003).

Initially, gold nanorods fabricated by using method described previously by Nikoobaht et al. (Nikoobakht and El-Sayed 2003) were low yielded corresponding to UV-Visible spectrum ( Figure3.1). Gold nanorods were obtained with 0,5 or 1 optic density. Figure 3.1 shows the absorption spectras of low and high yielded gold nanorods prepared depending on the methods decribed in detail in the experimental section. Extinction spectra for G1 exhibits a longitudinal peak at approximately 700 nm whereas spectras for G2 and G3 show longitudinal peaks at approximately 720-760 nm respectively. By changing CTAB, ascorbic acid and silver nitrate concentrations, it was possible to generate high yielded gold nanorods corresponding to absorption spectra results. It was also visualized that low concentrated CTAB (0,1 M) added to  $\text{HAuCl}_4$  enabled yellow color solution while high concentrated CTAB (0,2 M) enabled orange color. This results indicate that  $\text{AuCl}_4^-$ - CTAB interaction increases with increasing CTAB concentration. In other words, it could be resulted in the production of high concentrated gold nanorods.

### Ultraviolet- Visible Spectroscopy of the Synthesized Gold Nanorods



**Figure 3.1** : Representation of Ultraviolet Visible Spectroscopy of Raw Gold Nanorods in water.

Figure 3.1 illustrates the longitudinal and transverse peaks of GNRs synthesized depending on different protocols described in experimental section. In general, the extinction spectra confirm that seed mediated approach enables the fabrication of gold nanorod by tuning the concentrations of constituents during growth process. The characteristic two peaks for gold nanorods observed in the extinction spectra indicate that it is possible to fabricate gold nanorods with tunable aspect ratios. As aspect ratio increases, the red shift occurs in the longitudinal plasmon resonance. Transverse peaks were approximately observed at 520 nm whereas longitudinal plasmon resonances were in the transparency window (Gormley, Greish et al. 2011). UV results with comparison of absorbance values for each sample indicate that G3 sample can be more preferable than others to obtain high yielded gold nanorod production.

In order to evaluate the surface chemistry zeta potentials of the as prepared gold nanorods, PEG coated gold nanorods were measured by using Malvern Zeta Sizer.



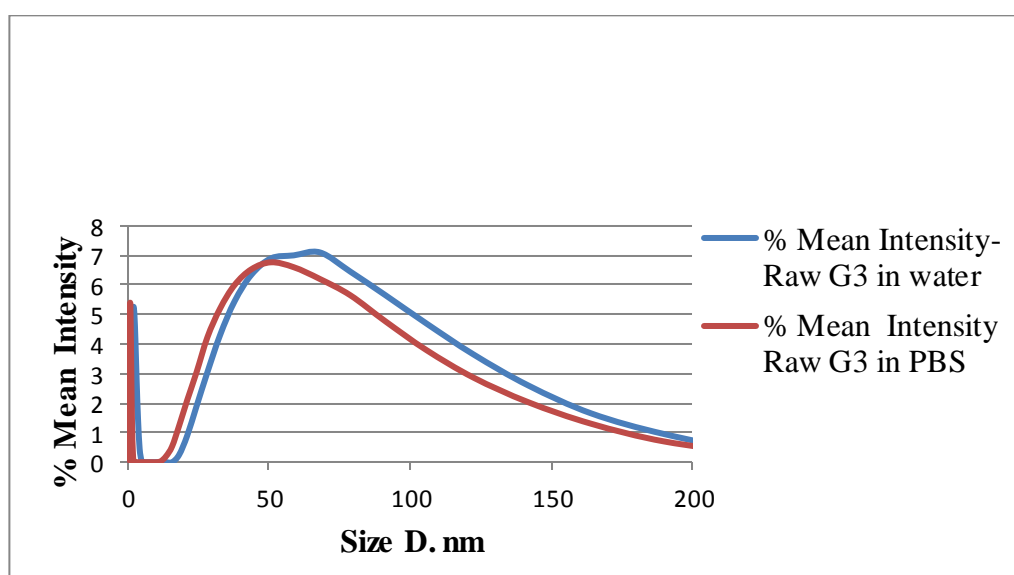
**Table 3.1 : Surface charge of CTAB coated GNRs dispersed in different dispartants.**

Dispartant	Zeta Potentials
Water	58,6 ± 4,9
PBS	31,9 ±2.2
RPMI 1640	-

Table 3.1 shows the surface charges of CTAB capped Gold nanorods in different dispartants. Generally, surface charges of CTAB-GNRs for both dispartants were highly positive due to the cationic surfactant, CTAB on the GNR surface (Alkilany, Thompson et al. 2012).

According to the results, zeta potential of CTAB-GNRs in PBS goes down to 31,9 ±2.2 mV. Because of the poor stability of CTAB coated gold nanorods in the high ionic strength culture medium, zeta potential of the CTAB coated gold nanorods could not be measured for RPMI 1640 (Huang, Barua et al. 2009)

Dynamic light scattering measurements were also performed for testing the stability of CTAB-GNRs in both water and PBS. As it is clearly seen in Figure 3.2, CTAB-GNRs are also stable in PBS.



**Figure 3.2 :** Hydrodinamic Diameters of CTAB-Gold Nanorods in different dispartants prepared with G3 method described in the experimental section.

### 3.2 Surface Modification Of Gold Nanorods

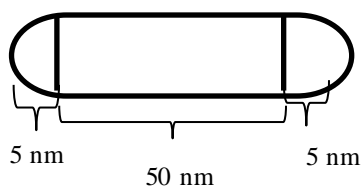
Gold nanorods have been useful agents for several studies from drug delivery to cancer therapy selectively. In order to enhance the potential use of gold nanorods for biomedical applications, removal of CTAB from the surface of gold nanorods is required.

The most common application for the replacement of CTAB is the use of thiol groups because of the strong binding potential of gold to sulfur residues.

In this research, to replace CTAB molecules on the surface of gold nanorods with a mixture of bi- and mono-functional PEG molecules, i.e. monomethoxy-PEG-thiol (PEG-SH) and carboxy-PEG-thiol (COOH-PEG-SH) were performed. The reason why PEG mixture used was that PEG-SH prevents non-specific binding and the recognition by reticuloendothelial system whereas carboxy-PEG-thiol enables antibody to bind through its carboxylic sides (Eck, Craig et al. 2008).

The concentration of gold nanorods prepared according to the G3 method, was measured as 72.01 ppm by using ICP-MS. For PEG coating and cellular assays, gold nanorod solution was concentrated up to 1000 ppm.

The concentration of PEG molecule in 1 ml gold nanorod solution required for coating the gold nanorod surface was theoretically calculated assuming one PEG chain coats  $0,07 \text{ nm}^2$  surface area (3.1),  $n$  refers to number of Au atoms per gold nanorod,  $N_{avogadro}$  is avogadro constant,  $h$  is the height of gold nanorod,  $r$  is diameter of a nanorod. The shape of gold nanorods was estimated as cylindrical with two hemispherical ends (see Figure 3.3). The diameter was recorded as 10 nm and height was recorded as 50 nm from SEM image.



**Figure 3.3 :** Schematic illustration of a nanorod.

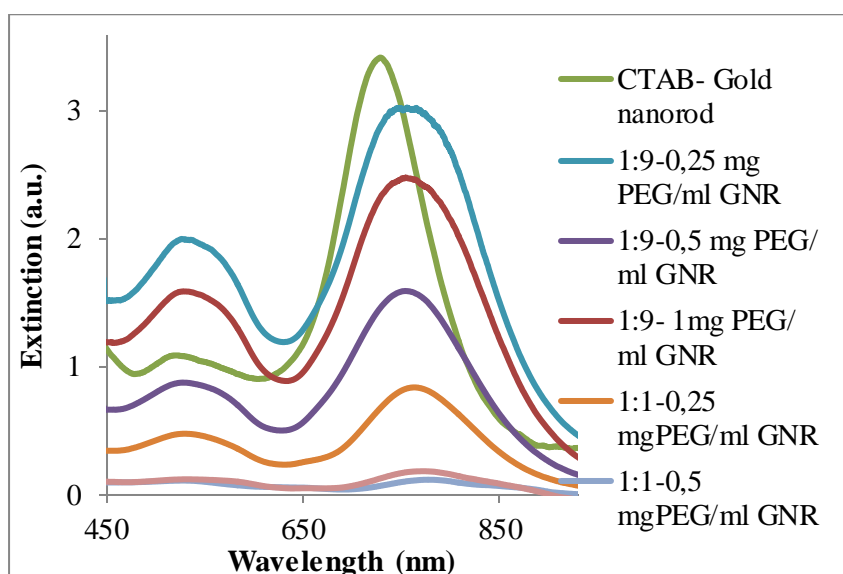
$$(4\pi + 2\pi rh)x \frac{0,07}{n \times N_{Avogadro}} \quad (3.1)$$

From equation 3.1., the theoretical PEG concentration was found as 0,324 mg in 1 ml gold nanorod solution. Before *in vitro* cell culture experiments, optimizations through the molar ratios of PEG mixtures were tested. Mixed PEG solutions of COOH-PEG-SH/ PEG-SH in UP water were prepared at varying PEG-SH: COOH-PEG-SH molar ratios of 1:1 and 1:9.

The as prepared gold nanorods were incubated with mixed PEG molecules (MW 1000) overnight and next day dialysis was performed in order to avoid excess PEG molecules as described in detail in experimental section.

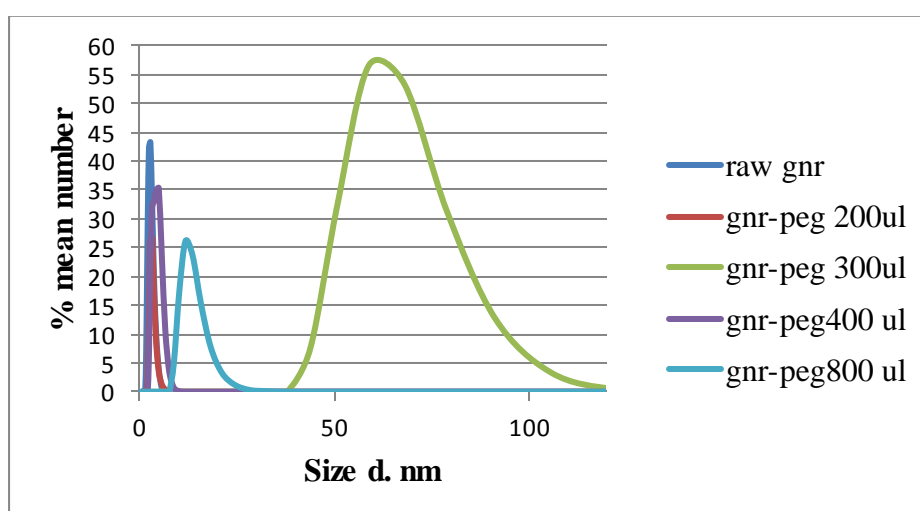
To compare the dose dependent effects of PEG molecules, gold nanorods were exposed to varying concentrations of PEG molecules after centrifugation process.

Figure 3.4 shows the extinction spectra of gold nanorods before and after PEGylation. After modifying the gold nanorods with PEG molecules at varying concentrations, longitudinal surface plasmon resonance slightly changed through red side. It is apparently seen that the modification did not remarkably change the optical properties of gold nanorods. Decrease in the extinction intensity after modification was visualized (Niidome, Yamagata et al. 2006).



**Figure 3.4 :** Extinction spectra of gold nanorods before and after surface functionalization.

In order to find the optimum concentration required for overcoating the surface of gold nanorods with sufficient PEG molecules, mixed PEG solutions of COOH-PEG-SH/ PEG-SH in UP water at 1:9 molar ratio (200  $\mu$ l, 300  $\mu$ l, 400  $\mu$ l, 800  $\mu$ l, 10 mM) were prepared and gold nanorods were functionalized with PEG molecules at different concentrations. Figure 3.5 illustrates the hydrodynamic sizes of CTAB coated and PEG coated gold nanorods. After modifying with PEG, the increase in the hydrodynamic size of gold nanorods was observed.



**Figure 3.5 :** Hydrodynamic diameter of CTAB-GNRs and PEG coated GNRs

**Table 3.2 : Zeta potentials of PEGylated gold nanorods with varying concentrations**

PEG volumes (10 mM at 1:9 molar ratio)	Zeta Potentials (mV)
CTAB-GNR	32,1
200	-19,1
300	-4,64
400	-12,3
800	-16,7

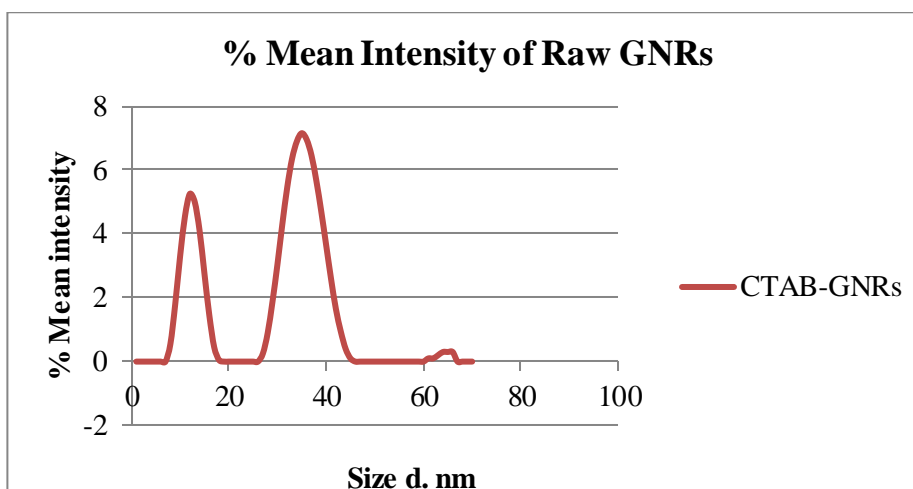
After PEGylation, the zeta potentials of PEG-gold nanorods in water were measured via Malvern Zeta Sizer. Table 3.2 shows the zeta potentials of CTAB-GNRs and PEG-GNRs. In comparison with CTAB-GNRs, the zeta potentials after surface modification go down to negative values (Rostro-Kohanloo, Bickford et al. 2009). These changes in the surface charge after modification of gold nanorod surface contributed to the ligand exchange was succeeded negative charged ligands. However, there was no saturation point observed in the zeta potentials of PEG-GNRs.

Although there were several concentrations tried to find the optimal range of the PEG concentration, previous experiments did not show the clear results. For this reason, mixed PEG solutions of COOH-PEG-SH/ PEG-SH in UP water were used by operating the molar ratios of COOH-PEG-SH/ PEG-SH at 1:1 and 1:9 respectively. For each molar ratios, gold nanorods (1ml, 1000  $\mu\text{g}$ ) were also treated with different concentrations of PEG molecules in water (0,25 mg, 10 mM, 0,5 mg, 10 mM and 1 mg, 10 mM) in the gold nanorod solution.

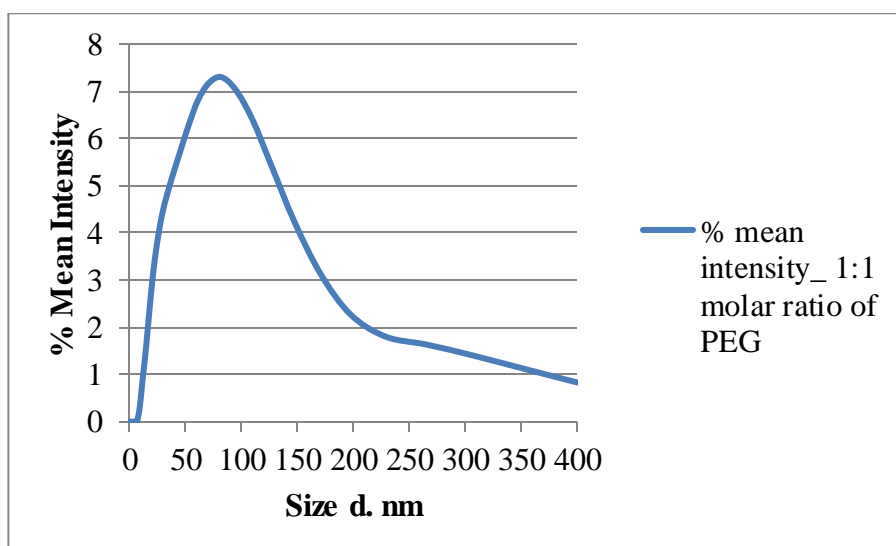
**Table 3.3 : Zeta Potential values of various PEG molecules**

Samples	Zeta Potentials
GNR- CTAB	32,1 mV
GNR-PEG 1:1-0,25 mg PEG	-3.41 mV
GNR-PEG 1:1-0,5 mg PEG	-5,4 mV
GNR-PEG 1:1-1 mg PEG	-5 mV
GNR-PEG 1:9-0,25 mg PEG	0,5 mV
GNR-PEG 1:9-0,25 mg PEG	-2,62 mV
GNR-PEG 1:9- 1mg PEG	-1,13 mV

Table 3.3 illustrates the surface charges of the PEGylated gold nanorods at varying concentrations. Molar ratio of COOH-PEG-SH/ PEG-SH at 1:1 resulted in the sensitive values. For gold nanorod solution treated with 0,25 mg, 10 mM PEG molecules, the zeta potential of the PEGylated gold nanorod suspension was -3,41 mV. It is clearly seen from Table 3.3 that as PEG concentration in the gold nanorod solution increases, the zeta potential values goes down to approximately -5,41 mV. Yet, the value did not change strongly when gold nanorods were treated with 1 mg, 10 mM PEG solution in water. It can be deduced that the gold nanorods' surface was saturated with PEG molecules respectively. The optimum concentration range of PEG molecules for overcoating the gold nanorods' surface was estimated between 0,25 mg and 0,5 mg for gold nanorod solution (1ml, 1000 µg). This results are also in good agreement with the theoretical calculations.



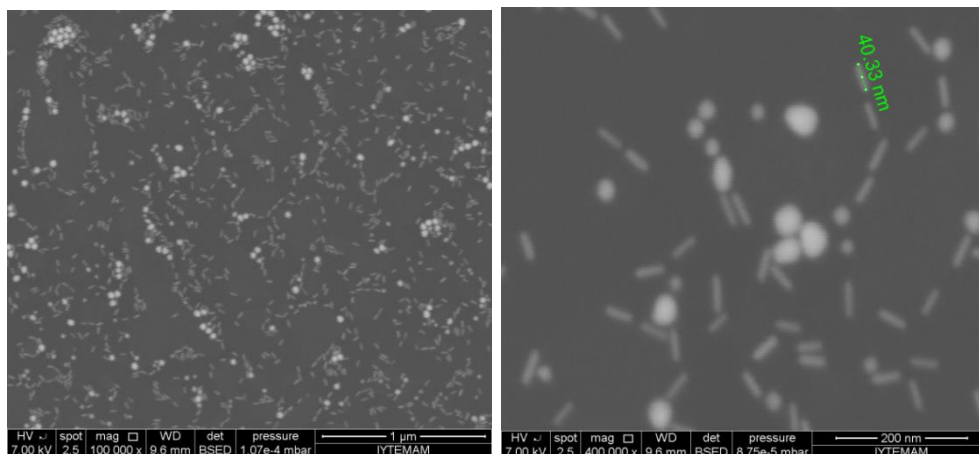
**Figure 3.6 :** DLS plot of CTAB-GNRs



**Figure 3.7 :** DLS plot of PEGylated gold nanorods in water ( Mixed PEG solution with a concentration 10 mM, 0,5 mg was used).

Figure 3.6 shows the hydrodynamic size of CTAB gold nanorods as 35,7 nm. After PEGylation, hydrodynamic diameter shifts up to ~71 nm respectively. Increase in the hydrodynamic diameter of GNRs after PEGylation indicates that Gold nanorods' surface was coated with PEG molecules ( Figure 3.7).

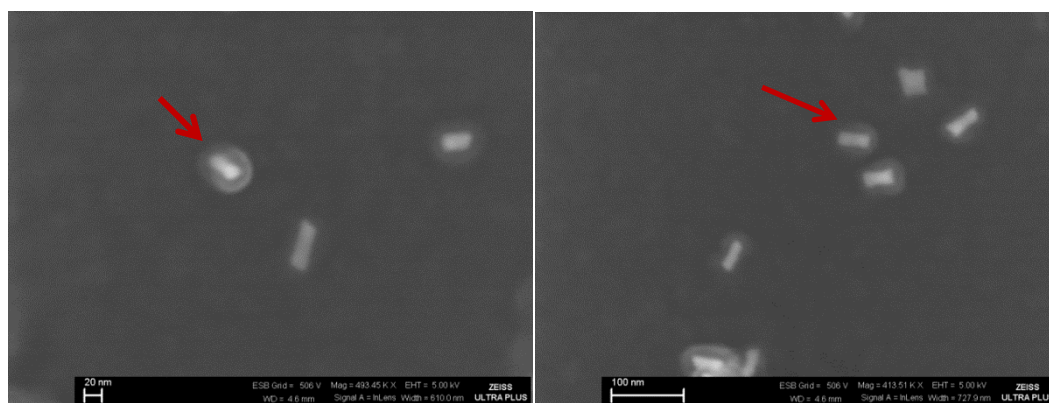
Kopwithaya et al. also performed DLS in order to determine the hydrodynamic size of the PEGylated gold nanorods. They also visualized that red shift occurred after PEGylation step (Kopwithaya, Yong et al. 2010).



**Figure 3.8 :** SEM images of CTAB coated gold nanorods

The morphology of CTAB coated gold nanorods were visualized by using SEM operating at 7.00 kv. Figure 3.8 illustrates that it is possible to fabricate high yielded gold nanorods using G3 method described in detail in experimental section.

In order to support the results obtained from DLS and UV-Visible Spectroscopy, the morphology of the PEGylated gold nanorods was also performed by SEM by operating at 4.00 keV with Zeiss Ultra Plus microscopy. Figure 3.9 illustrates the gold nanorods coated with PEG molecule labeled with red arrow.

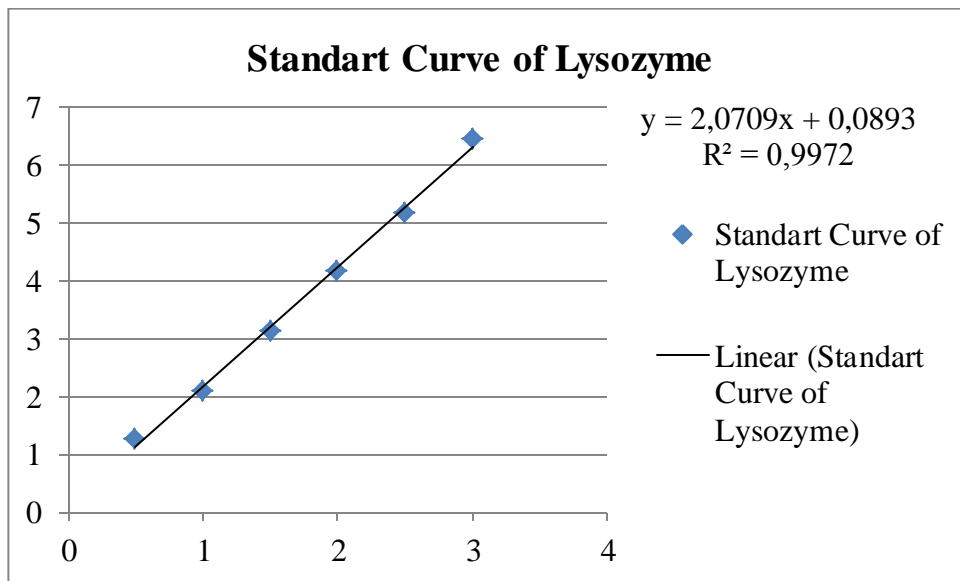


**Figure 3.9 :** SEM images of PEGylated gold nanorods (PEG molecules covered gold nanorod's surface is shown with red arrow).



### 3.3 Nanodrop Measurement

Prior to the conjugation of anti-CD138 to PEG coated gold nanorods, lysozyme was used as a model protein. In order to evaluate the binding efficiency of lysozyme, gold nanorods were treated with lysozyme due to the assumption that targeting of carboxylic groups of PEG was 100 % described in details in experimental section. Serial dilutions from lysozyme stock solution in PBS (1 mg, 200  $\mu$ l) were performed to obtain standart curve.



**Figure 3.10 :** Standart Curve Of Lysozyme As Model Protein

Absorbance values of each sample were converted to the concentration values equation 3.3 obtained from standart curve of lysozyme (See Figure 3.10).

$$y = 2,0709x + 0,0893$$

(3.3)

Table 3.4 shows the concentrations of the conjugation mixtures and protein concentrations of supernatant 1 and supernatant 2 after two washes with PBS.

The amount of protein conjugated with PEG coated GNR was calculated theoretically where  $C_0$  refers to the concentration of control (3.4).

$$C_{\text{protein attached}} = [C_0 - (A + B) - (C + D)] \quad (3.4)$$

$$\frac{C_{\text{protein attached}} \times V_{\text{reaction}}}{MA(\text{Lysozyme}) \times (\text{mole of PEG} - \text{COOH in reaction solution})} \quad (3.5)$$

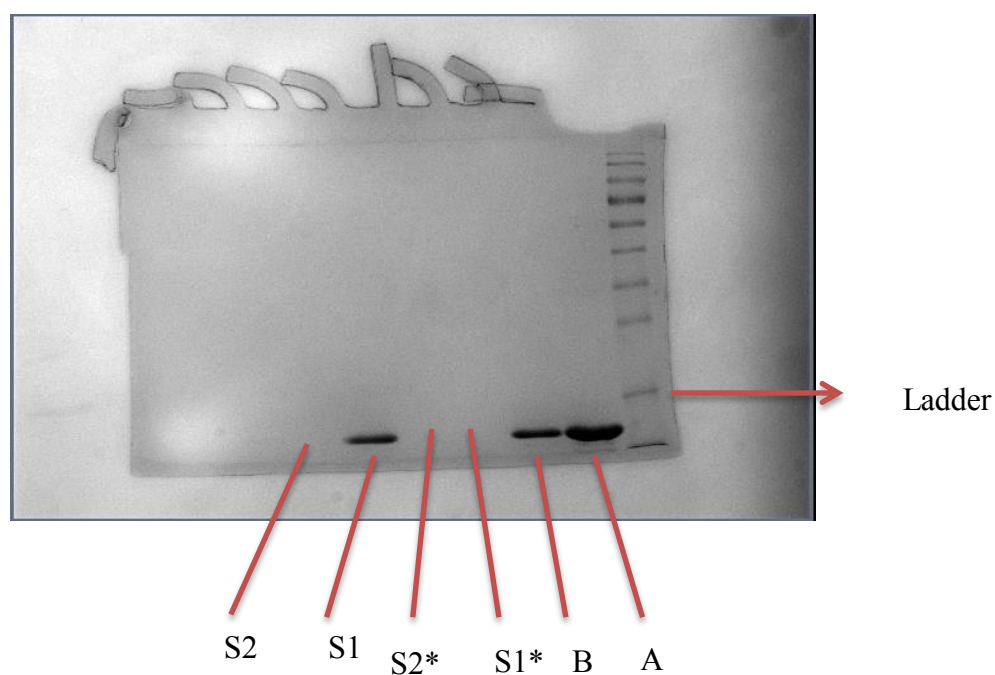
**Table 3.4 : The Concentrations of samples calculated from standart curve of Lysozyme**

Samples	Protein Concentrations ( $\mu\text{g/ml}$ )
Protein Stock Solution ( $C_0$ )	3,3
<b>A</b> -PEG-GNR plus Lysozyme (Supernatant after 1. wash)	5,85
<b>B</b> - PEG-GNR plus Lysozyme (Supernatant after 2. wash)	0,136
<b>C</b> - PEG-GNR (Supernatant after 1. Wash)	3,430
<b>D</b> -PEG-GNR (Supernatant after 2. Wash)	0,158

From the equation 3.4 and 3.5., percentage of targeted PEG-COOH with Lysozyme was found ~ 90 % respectively.

### 3.4 Polyacrylamide Gel Electrophoresis

SDS-PAGE has been a useful method for determination of the concentrations of protein mixtures several years. Thus, the protein mixtures can be separated easily due to their molecular weight or concentrations in samples (Cohen and Karger 1987). For this reason, to illustrate the presence of protein and the conjugation yield, SDS-PAGE was performed.



**Figure 3.11 :** The illustration of gel after coomassie blue staining. A) The original stock protein, B) The protein solution without nanorod. S1\*: Supernatant 1 of PEG coated gold nanorod solution. S2\*: Supernatant 2 of PEG coated gold nanorods. S1: Supernatant 1 of lysozyme conjugated PEG-gold nanorods. S2: Supernatant 2 of lysozyme conjugated PEG-gold nanorods.

Figure 3.11 illustrates the appearance of bands loaded during gel electrophoresis. To confirm the binding efficiency of lysozyme to PEG coated gold nanorods, apart from nanodrop measurement, SDS-PAGE gel electrophoresis was performed with coomassie blue and silver staining. From image analysis program, the adjusted intensity values of the bands were visualized after staining. Table 3.5 shows the intensity of the bands obtained during analysis. Intensity of the bands is proportional to the concentration of protein. For this reason, the conjugation yield was calculated due to the intensity values of the bands (3.6).

$$\text{Conjugation \%} = \frac{(A - (S1 + S2))}{B}$$

(3.6)

**Table 3.5 : The adjusted volume intensity of bands visualized during image analysis**

Samples	Adjusted Volume Intensity mm <sup>2</sup>
A	185885
B	85142
S1	137920
S2	-

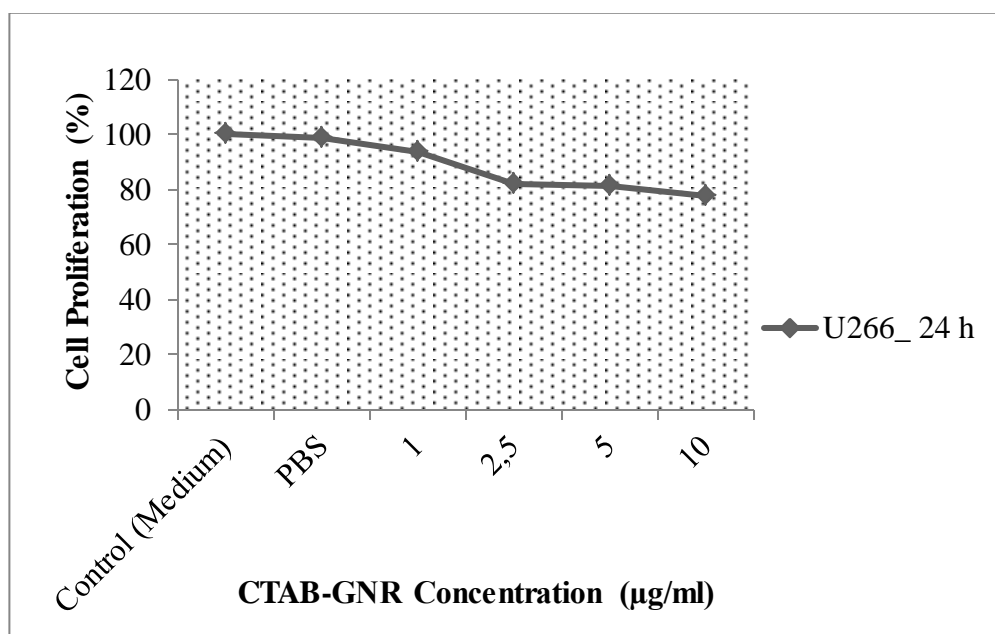
From this calculation, when targeted the 100 % of PEG coated gold nanorods with lysozyme, the binding yield was observed at 56,3 %. This is slightly correlated to the results obtained from nanodrop measurements. The difference between yields obtained from both nanodrop and electrophoresis may depend on inaccurate estimation of band intensities from image analysis program.

Nanodrop measurement and gel staining results, clearly confirm that, when targeted the total amount of COOH groups of PEG-coated gold nanorods, the targeting percentage is more than 50 %. This also supports that after the activation of COOH groups with reagents, the binding of protein or antibody through carboxylic sides of PEG molecules can be possible with more than 50 % binding efficiency.

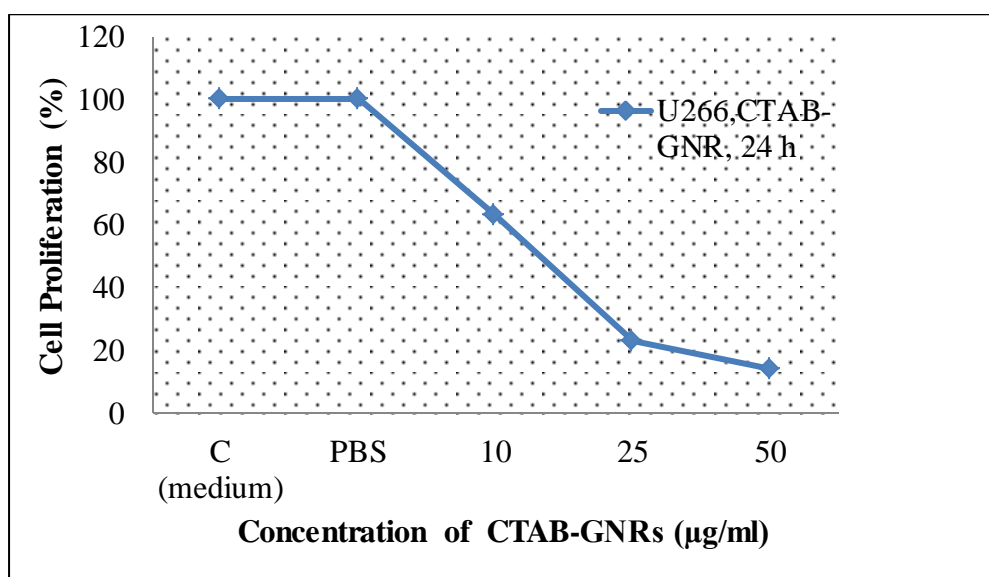
### 3.5 Cytotoxicity assay of CTAB-GNRs and PEG GNRs via MTT

Cellular response to gold nanorods before and after modification has been shown by examining the MTT assay in previously published datas (Rayavarapu 2010).

In this present work, cell survival was tested by using the conversion of MTT to purple formazan crystals by metabolically active cells (Alkilany, Nagaria et al. 2009). Prior to handle cellular assays, GNRs were concentrated up to 1000  $\mu\text{g}/\text{ml}$ . Following 24, 48 and 72 hours exposure to the CTAB- gold nanorods and PEG-gold nanorods, dose dependent effects of CTAB-coated and PEG coated gold nanorods were assessed for CD138 (+) U266 cell line and CD138 (-) ARH-77 cell line. Initially, in order to show the toxic nature of CTAB-GNRs in cells, each cell line was exposed to the CTAB-GNRs at various concentrations (1, 2.5, 5, 10, 25, 50  $\mu\text{g}/\text{ml}$  (as Au atoms)).



**Figure 3.12 :** Dose dependent response of U266 cell line following 24 hours exposure to CTAB-GNRs at 1, 2.5, 5 and 10  $\mu\text{g}/\text{ml}$  concentration.

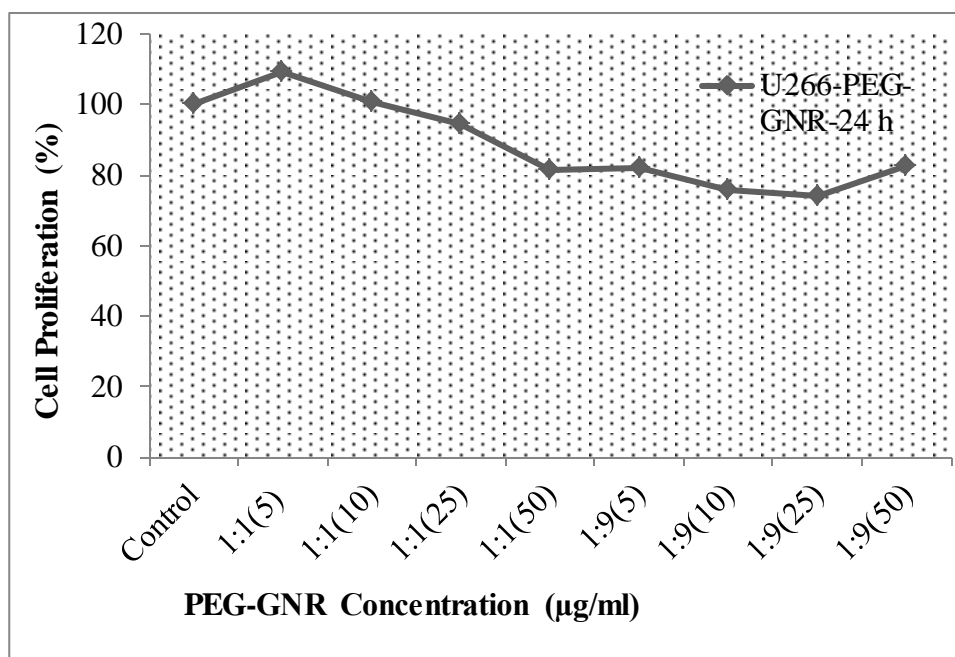


**Figure 3.13 :** Dose dependent effects of CTAB coated GNRs on U266 cell line. Cells treated with RPMI 1640 alone were used as control groups.

The average absorbance values for each cell samples were calculated and these values are shown as percentage of viable cells relevant to the absorbance values. All experiments were carried out more than triplicate. Error bars for each data are shown in plots. RPMI 1640 with 10 % FBS was used as control ( $LC_{100}$ ). The cytotoxicity of PBS on cells was also tested.

The main point of the use of lower concentrations of CTAB-gold nanorods was to determine the  $LC_{50}$  (50 % cell survival- 50 % cell death) value range for each cell line. As it can be seen in Figure 3.12 and Figure 3.13 , it was observed that PBS is nontoxic to cells ( $LC_{100}$ ).

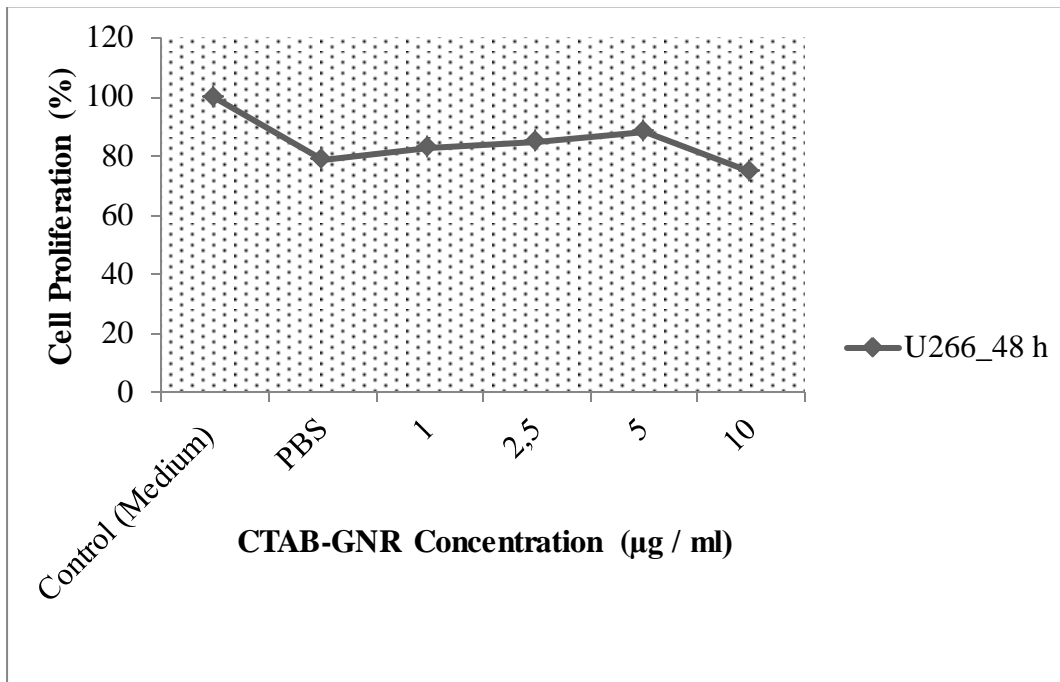
In comparison with control groups (nontreated cells), after 24 hours incubation, mortality of U266 cells slightly decreases at low concentrations (See Figure 3.12) whereas the significant decrease in the cell viability was observed at 25 µg/ml ( 23%) and 50 µg /ml (14 %) (See Figure 3.13).



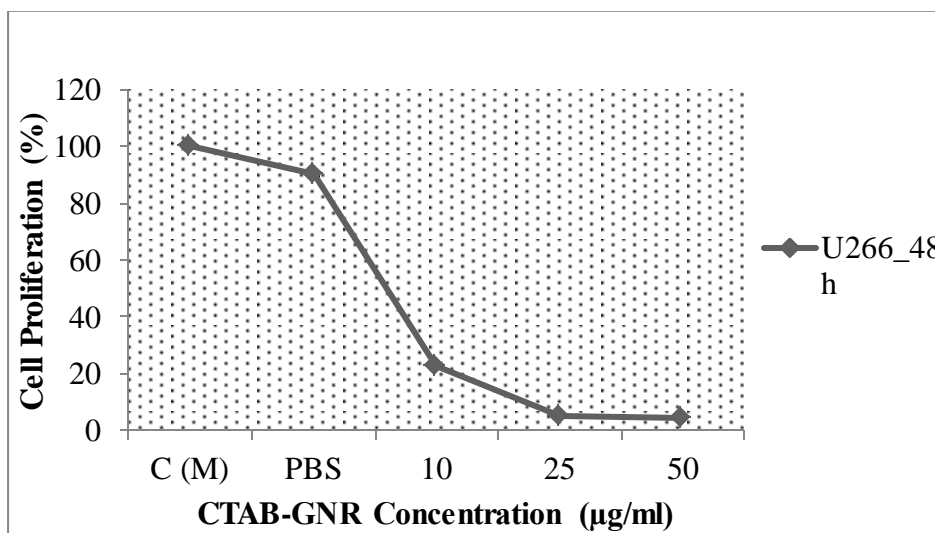
**Figure 3.14 :** Dose response curve of U266 cell line exposed to varying concentrations of PEG coated Gold nanorod. The molar ratio of COOH-PEG-SH/ PEG-SH 1:1 and 1:9 were tested. Furthermore, PEG coated gold nanorods at 5, 10, 25, 50 µg/ml (as Au atoms) concentration were evaluated.

Figure 3.14 shows the dose dependent effects of PEG coated gold nanorods following 24 hours exposure. At the highest concentration of gold atoms (50 µg/ml) % cell viability comparison with control groups was observed at 81,4 % for the 1:1 molar ratio of SH-PEG/ COOH-PEG-SH and at 82,4 % for 1:9 molar ratio of SH-PEG/ COOH-PEG-SH. The results for PEG-gold nanorods were in contrast with CTAB- coated gold nanorods as expected (See Figure 3.13).

After 48 hours exposure to the CTAB-GNRs at low concentrations of gold atoms, negligible cell loss was observed (See Figure 3.15). After the increase in the concentrations of gold atoms treated with cells, the significant mortality of U266 cells was observed at the highest concentration (See Figure 3.16 ) whereas the cell viability observed for U266 treated PEG-GNRs is at ~ 109 % for 1:1 molar ratio of SH-PEG-COOH/ SH-PEG and 87,4% for 1:9 molar ratio of SH-PEG-COOH/ SH-PEG at the highest concentration of PEG-GNRs (See Figure 3.17)

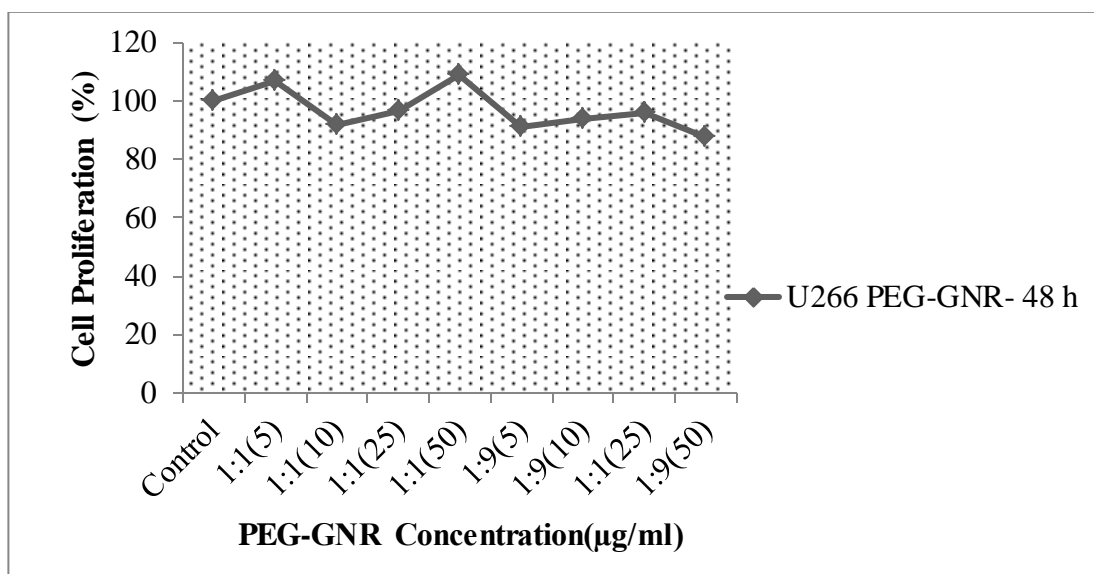


**Figure 3.15 :** Dose response curve of U266 exposed to CTAB- GNRs at increasing concentrations. Error bars are included in the plot area. Cells with only RPMI 1640 was used as control groups. Cells with only PBS at the same volume with GNRs were assessed in order to see the cytotoxic effect on cells.



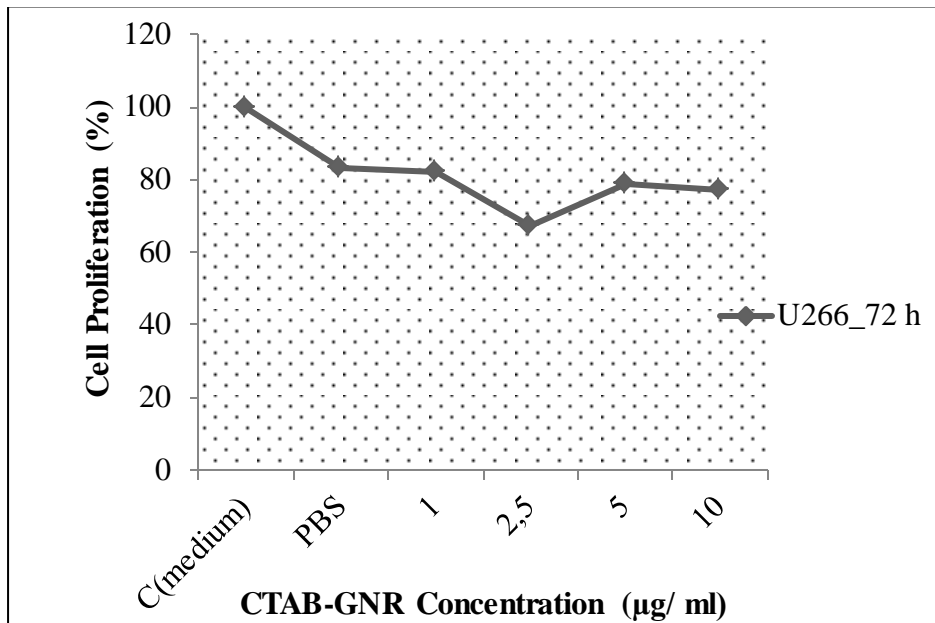
**Figure 3.16 :** Dose response cure of U266 exposed to CTAB- GNRs at increasing concentrations for 48 hours incubation period Error bars are included in the plot area. Cells with only RPMI 1640 was used as control groups. Cells with only PBS at the same volume with GNRs were assessed in order to see the cytotoxic effect on cells.



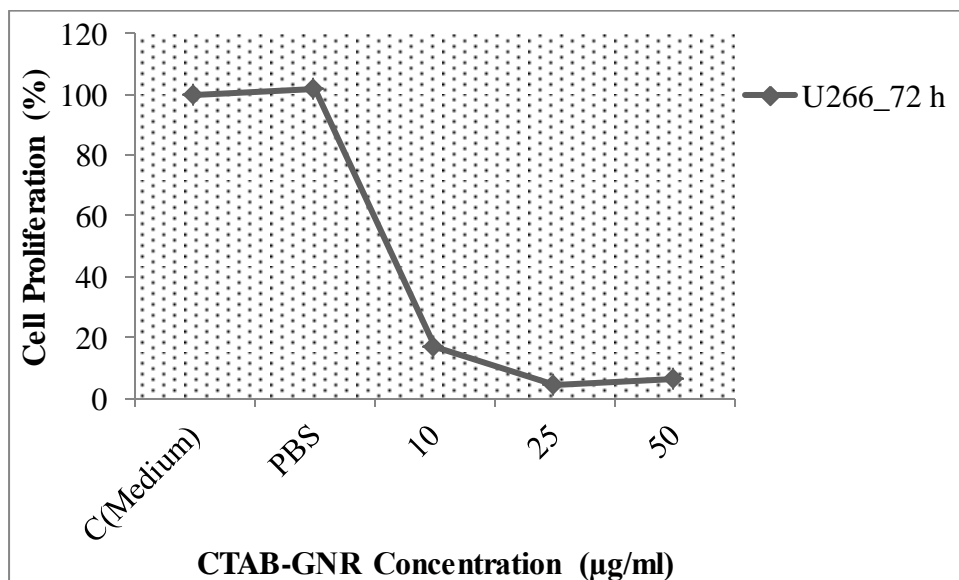


**Figure 3.17 :** Dose response curve of U266 exposed to PEG-GNRs at various concentrations with molar ratios 1:1 and 1:9 of SH-PEG-COOH/ SH-PEG increasing for 48 hours incubation period Error bars are included in the plot area. Cells with only RPMI 1640 were used as control groups.

On the other hand, after 72 hours exposure to the CTAB or PEG GNRs at increasing concentrations, it was resulted in the change of cell viability due to the surface chemistry as expected. The negligible cell loss was observed at lower concentrations of CTAB-GNRs (See Figure 3.18) in comparison with the higher concentrations of CTAB-GNRs.

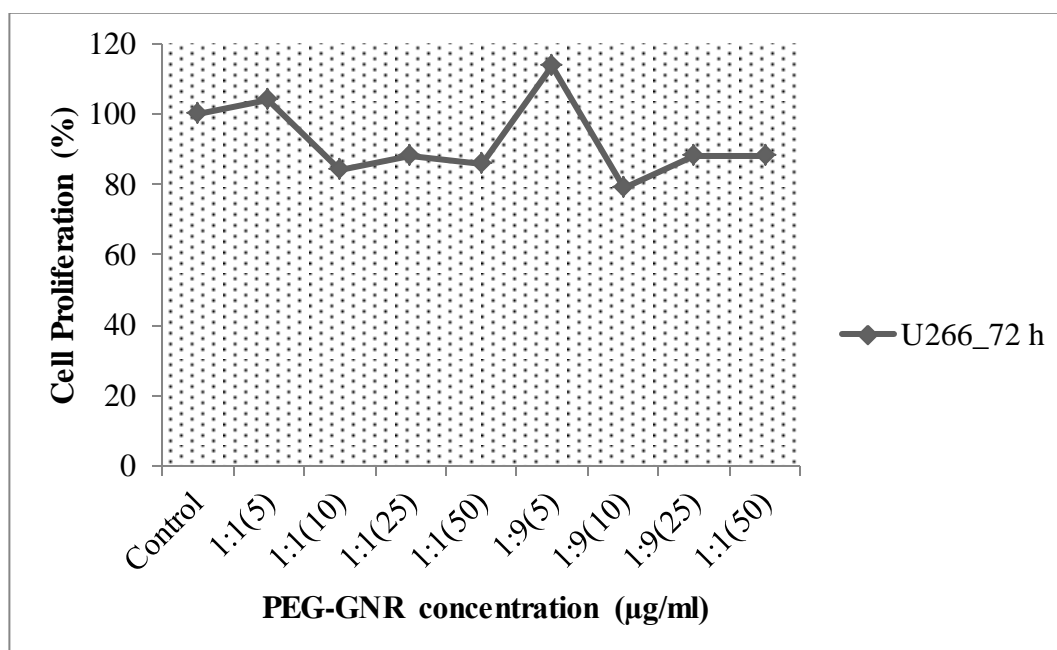


**Figure 3.18 :** Dose response curve of U266 cells treated with lower concentrations of CTAB-GNRs. Nontreated cells (only treated with RPMI 1640) were used as control groups.

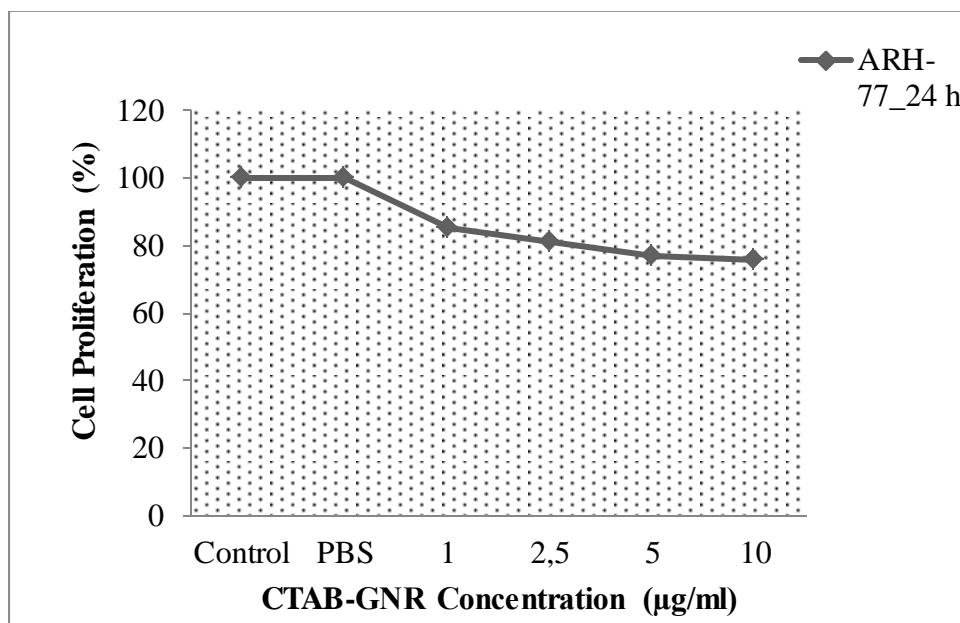


**Figure 3.19 :** Dose response cure of U266 exposed to CTAB- GNRs at increasing concentrations for 72 hours incubation period Error bars are included in the plot area. Cells with only RPMI 1640 was used as contol groups. Cells with only PBS at the same volume with GNRs were assessed in order to see the cytotoxic effect on cells.

Furthermore, following 72 hours incubation time for U266 cell line, the lethal dose for U266 cells was observed at the highest concentration of CTAB-GNRs (viable cells are ~6 % (See Figure 3.19). In contrast, as it can be visualized from Figure 3.20, at this concentration, PEG-GNRs eliminated the toxic effects on cells (viable cells for 1:1 molar ratio at 86 % , for 1:9 molar ratio at 88 % ) respectively.

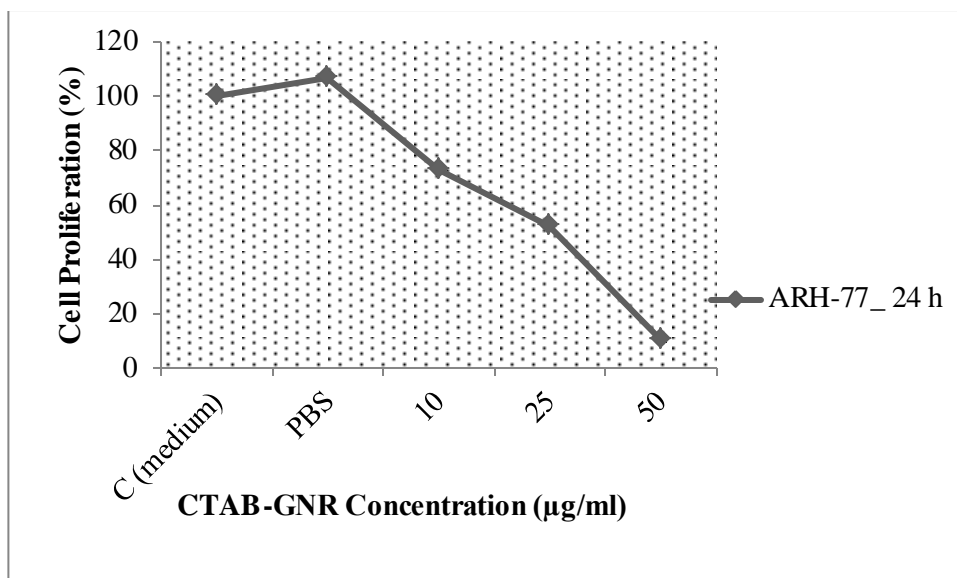


**Figure 3.20 :** Dose response curve of U266 exposed to PEG-GNRs at various concentrations with molar ratios 1:1 and 1:9 of SH-PEG-COOH/ SH-PEG increasing for 48 hours incubation period Error bars are included in the plot area. Cells with only RPMI 1640 was used as control groups.

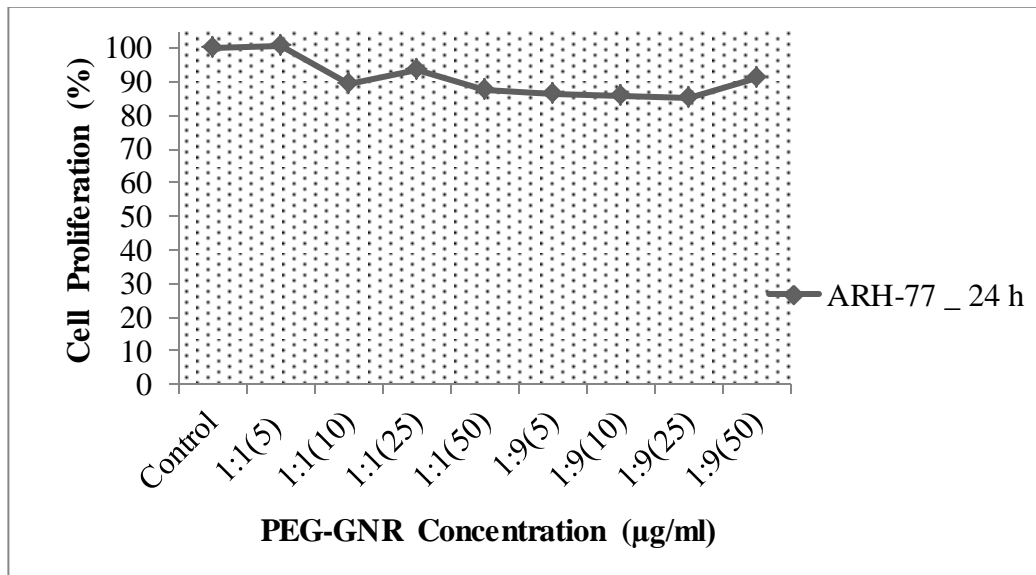


**Figure 3.21 :** Dose response curve of ARH-77 exposed to CTAB- GNRs at lower concentrations for 24 hours incubation period Error bars are included in the plot area. Cells with only RPMI 1640 was used as contol groups. Cells with only PBS at the same volume with GNRs were assessed in order to see the cytotoxic effect on cells.

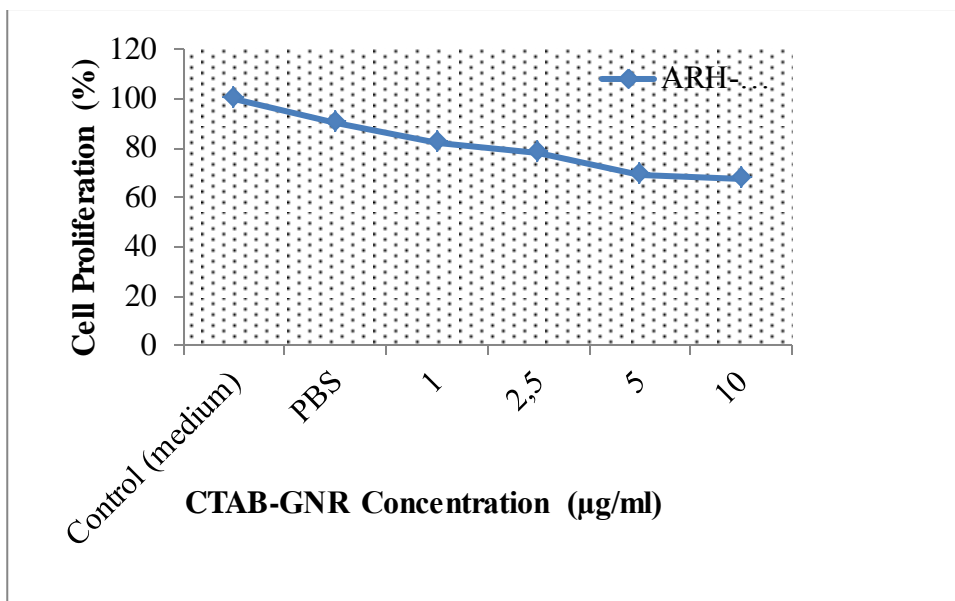
Similar trends were also obtained for ARH-77 cell line for the case of CTAB-GNR and PEG-GNR. After 24 hours incubation period, as it can be seen in Figure 3.21, the IC50 value of cells treated with CTAB-GNRs is between 10 and 25 µg/ ml of gold atom concentrations. The highest cytotoxicity of CTAB-GNRs is seen at 50 µg /ml (Cell viability, 10 %) in which concentration cells survival for PEG-GNRs is 87,5 % for 1:1 molar ratio of SH-PEG-COOH/ SH-PEG and 91,2 % for SH-PEG-COOH/ SH-PEG (See Figure 3.22).



**Figure 3.22 :** Dose response curve of ARH-77 exposed to CTAB- GNRs at increasing concentrations for 24 hours incubation period Error bars are included in the plot area. Cells with only RPMI 1640 was used as control groups. Cells with only PBS at the same volume with GNRs were assessed in order to see the cytotoxic effect on cells.

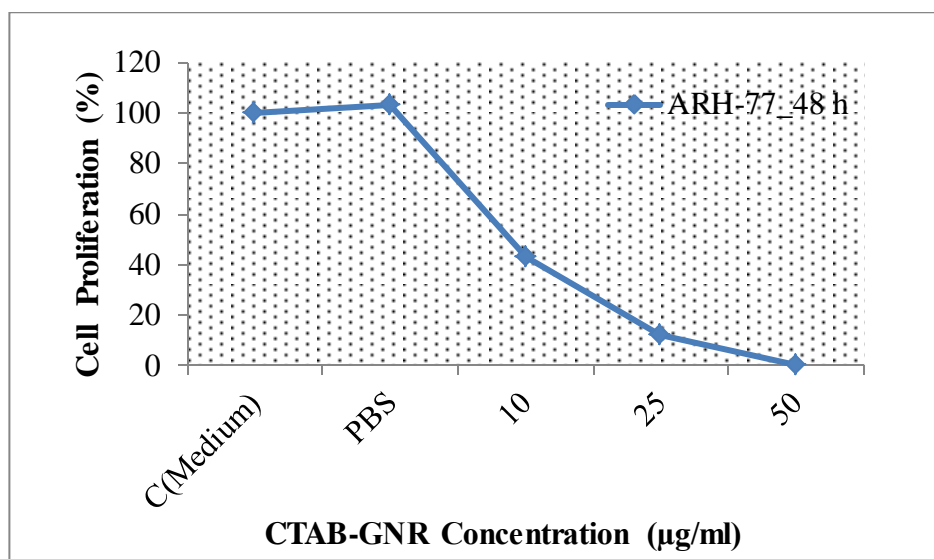


**Figure 3.23 :** Dose response curve of ARH-77 exposed to PEG-GNRs at various concentrations with molar ratios 1:1 and 1:9 of SH-PEG-COOH/ SH-PEG for 48 hours incubation period Error bars are included in the plot area. Cells with only RPMI 1640 was used as control groups.



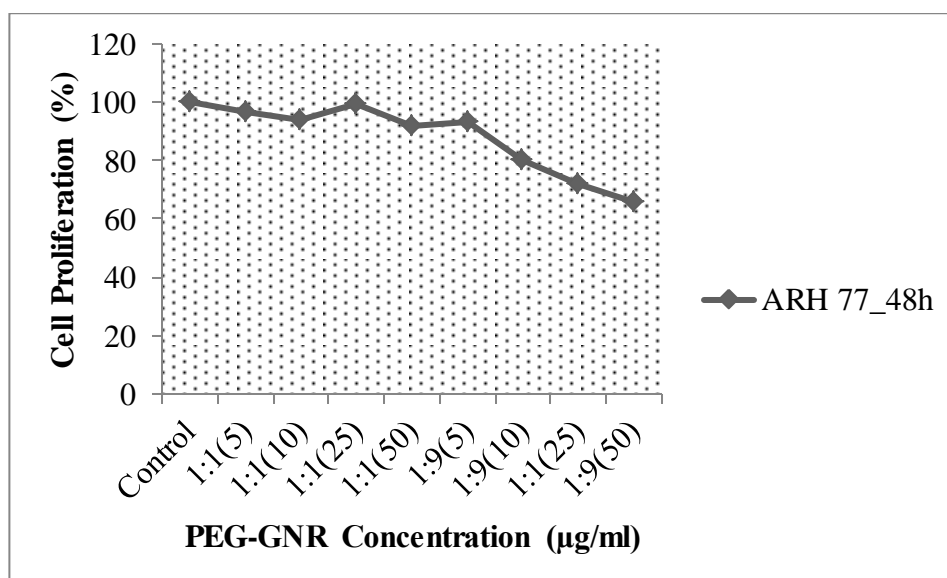
**Figure 3.24 :** Dose response curve of ARH-77 cells exposed to lower concentrations of CTAB-GNRs for 48 hours incubation period.

Figure 3.23 exhibits the cell respond to the lower concentration of CTAB- GNRs. In comparison with control groups, there is a little decrease in the cell viability even at these lower concentrations whereas Figure 3.24 shown at above, indicates that significant toxic effect of CTAB-GNRs increases proportional to concentration of CTAB-GNRs. Lethal dose for ARH-77 cells after 48 hours exposure, is 50 µg/ml of gold atoms concentration in CTAB-GNRs.

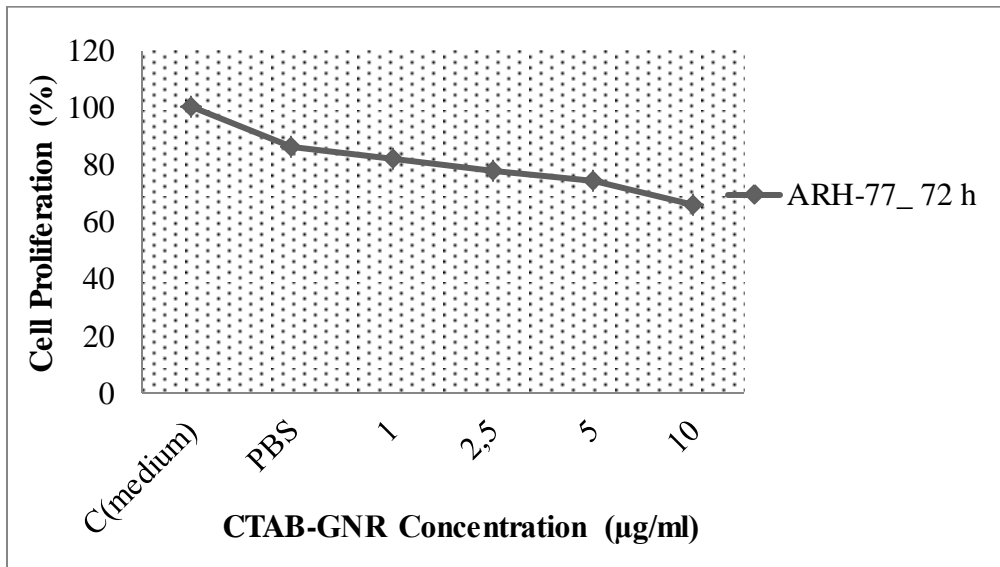


**Figure 3.25 :** Dose response curve of ARH-77 cells exposed to higher concentrations of CTAB-GNRs for 48 hours incubation period.

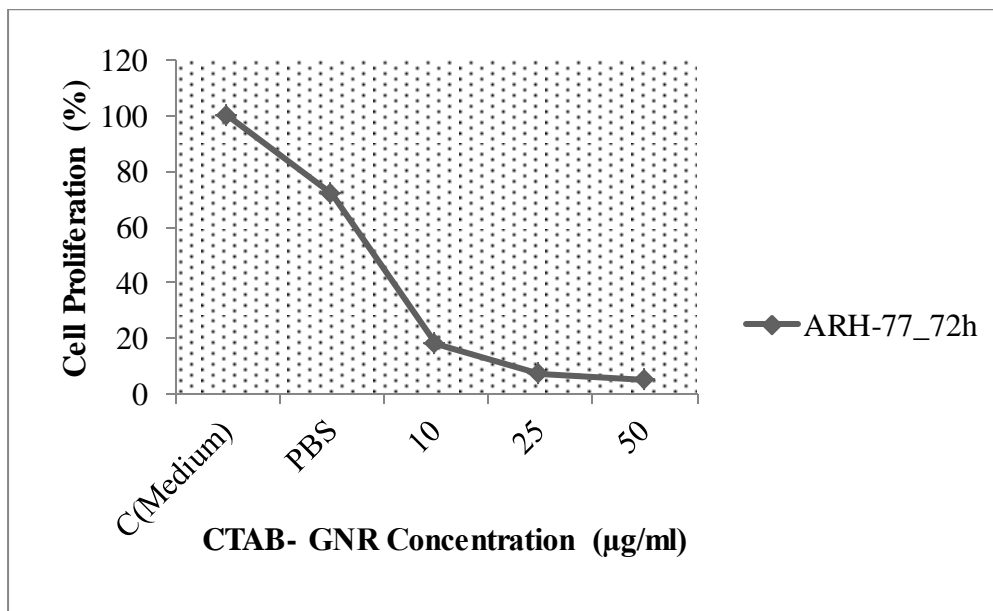
Figure 3.26 indicates that PEG-GNRs significantly reduces the toxicity on ARH-77 cells even at the highest dose in which CTAB-GNRs are lethal after 48 hours exposure time (See Figure 3.25).



**Figure 3.26 :** Dose response curve of ARH-77 exposed to PEG-GNRs at various concentrations with molar ratios 1:1 and 1:9 of SH-PEG-COOH/ SH-PEG increasing for 48 hours incubation period Error bars are included in the plot area. Cells with only RPMI 1640 was used as control groups.



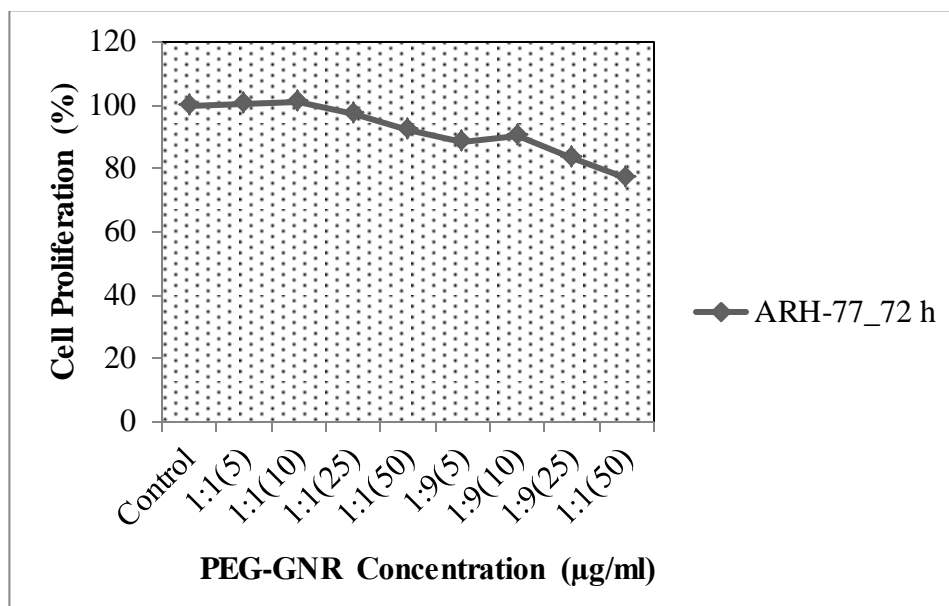
**Figure 3.27 :** Dose response curve of ARH-77 cells exposed to lower concentrations of CTAB-GNRs for 72 hours incubation period.



**Figure 3.28 :** Dose response curve of ARH-77 exposed to increasing concentrations of CTAB-GNRs. Cells with only RPMI 1640 was used as control groups.



For 72 hours incubation period, the lethal dose of CTAB-GNRs on ARH-77 cells seen in Figure 3.28 is at 50  $\mu\text{g} / \text{ml}$  of GNR concentrations. However, PEG-GNRs even at high concentrations, lessen the toxic effect of GNRs corresponding to surface chemistry (See Figure 3.29).



**Figure 3.29 :** Dose response curve of ARH-77 exposed to PEG-GNRs at various concentrations with molar ratios 1:1 and 1:9 of SH-PEG-COOH/ SH-PEG increasing for 72 hours incubation period Error bars are included in the plot area. Cells with only RPMI 1640 was used as control groups.

In general, it was visualized that ARH-77 cell line is more resistant than U266 cell line treated with CTAB-GNRs for 24, 48 and 72 hours respectively. The results obtained for both cell lines implicated that cytotoxicity occurs due to the presence of CTAB. Since after modification with PEG, the toxicity of gold nanorods on cancerous cells remarkably diminishes (Liopo, Conjusteau et al. 2012).

Although CTAB is required for the formation and stabilization, after shaping of GNRs, it is necessary to avoid the free CTAB residuals from GNRs to diminish the cellular mortality. Change in the surface chemistry regardless of surface charge, significantly effect the results on cells in contrast with original GNRs (Rayavarapu 2010)

Cytotoxic effects of CTAB gold nanorods are in concentration dependent manner. The results obtained in present study, strongly are in good agreement with the results found previously reported researchs (Cabada, de Pablo et al. 2012).

### **3.6 Annexin V Assay**

Annexin V which is known as vascular protein with its quite strong anticoagulant properties (Reutelinsperger et al, 1985) has binding affinity to phospholipids which are located in plasma membrane. When cell death occurs, one of the phospholipids, phosphotydlserine is translocated from inner membrane to outer membrane. This is the sign of that cell death is occurring in a programmed way (Vermes, Haanen et al. 1995).

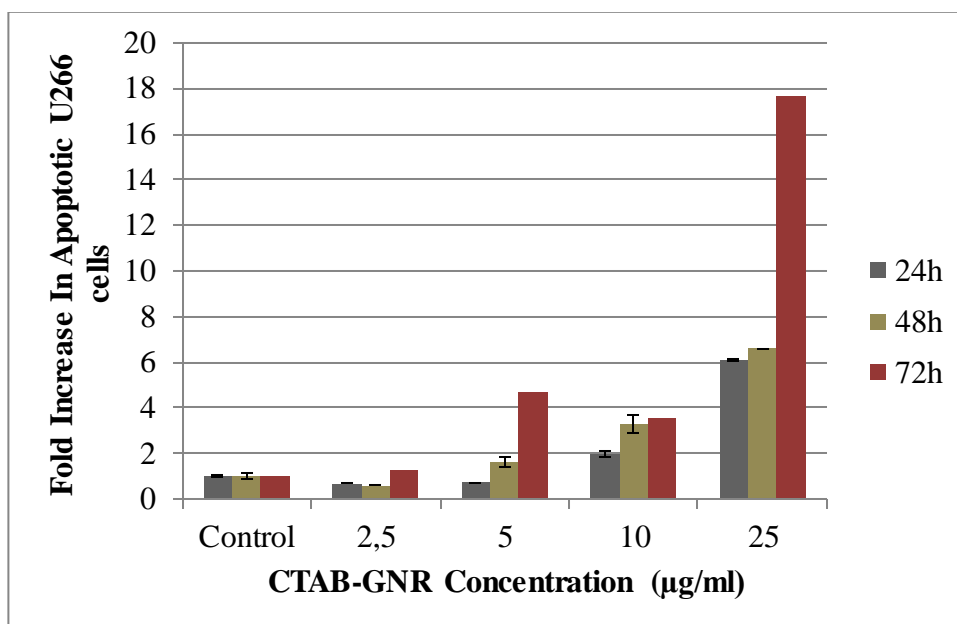
Phosphotydlserine is easily detected by staining fluorescent conjugate annexin V. Annexin V is therefore used to detect apoptotic cells in cell culture experiments.

Annexin V is used in conjunction with vital propidium iodide dye and fluorescent dye FITC for identification of early and late apoptotic cells. Damaged membranes are permeable to propidium iodide. In early phase of apoptosis; FITC is positive to cells while PI is negative. In the late apoptotic cells, FITC and PI are both positive to detect apoptotic cells. It enables us to illustrate how many percent of cultured cells are in the early apoptotic or late apoptotic phase.

In this present study, paralel to cell viability assay, U266 and ARH-77 cell lines were examined with CTAB-GNRs at concentration range where  $IC_{50}$  was observed in MTT assay. For this reason, from 2,5  $\mu\text{g/ml}$  to 25  $\mu\text{g/ml}$  of GNR concentrations were tested for 24, 48 and 72 hours incubation periods. Cells nontreated with CTAB-GNRs wre used as control groups.

Representative dot plots of annexin V staining are shown in Apendix A. Four quadrant plots show necrotic cells (Q1), late apoptotic cells (Q2), viable cells (Q3) and early apoptotic cells (Q4). Representative plots of fold increase in apoptotic cells were drawn depending on the total percentage of early apoptotic and late apototic cells.

Figure 3.30 illustrates the fold increase in programmed U266 cells death due to the increasing concentrations of CTAB-GNR after 24, 48 and 72 hours exposure. The effective induction of apoptosis was observed at 10 and 25  $\mu\text{g/ml}$  of CTAB-GNRs on U266 cells for 24 h.



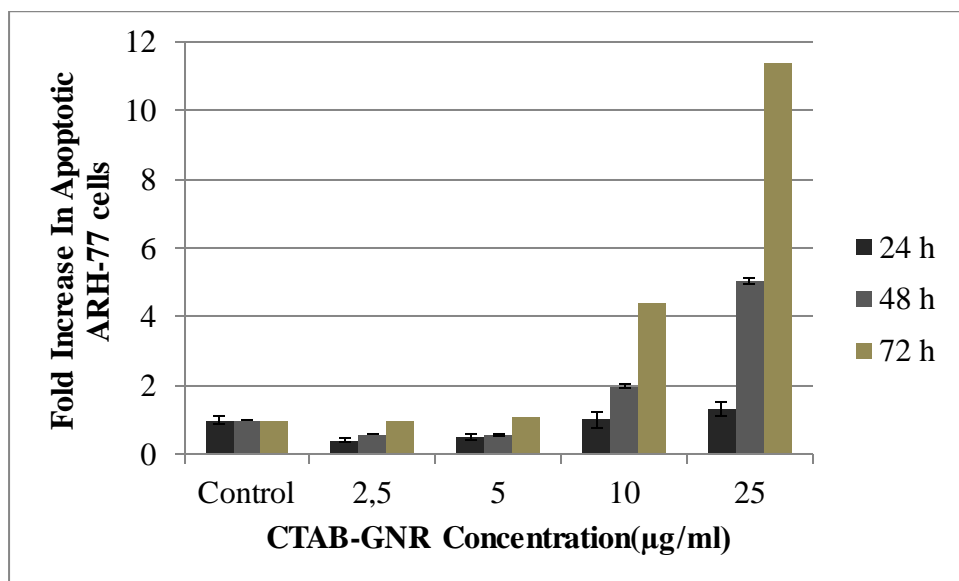
**Figure 3.30 :** Fold increase in the cell death for U266 cells after 24,48 and 72 h exposure to the CTAB-GNRs at various concentrations.

After 48 h and 72 h incubation time, CTAB-GNRs adversely effected the cell viability and induced apoptosis at higher concentrations. (See Figure 3.29).

Similar trends were also obtained for ARH-77 cell line after Annexin V staining. At 25 µg/ml, CTAB-GNR induced apoptosis ~1,4 order of magnitude higher than control groups (See Figure 3.31). Similarly after 48 and 72 h exposure to the CTAB-GNR, the apoptotic induction of CTAB-GNRs increase at 10 and 25 µg/ml concentrations in comparison with control groups.

In general, these results also confirm that U266 cells are more vulnarable to the increasing concentrations of CTAB-GNRs than ARH-77 cells. These results also support the results obtained from MTT assays that lower concentrations of CTAB-GNRs (2,5 and 5 µg/ml) are not effective on cell death whereas higher concentrations more effective on cell death. Moreover, induction of apoptosis in cells

is relevant to the surface chemistry of gold nanorods. Apoptotic effect of GNRs is due to the presence of CTAB (Lau, Chen et al. 2012).



**Figure 3.31** : Fold increase in the cell death for ARH-77 cells after 24, 48 and 72 hours exposure to the CTAB-GNRs at various concentrations.

### 3.7 Cell Uptake Of Gold Nanorods

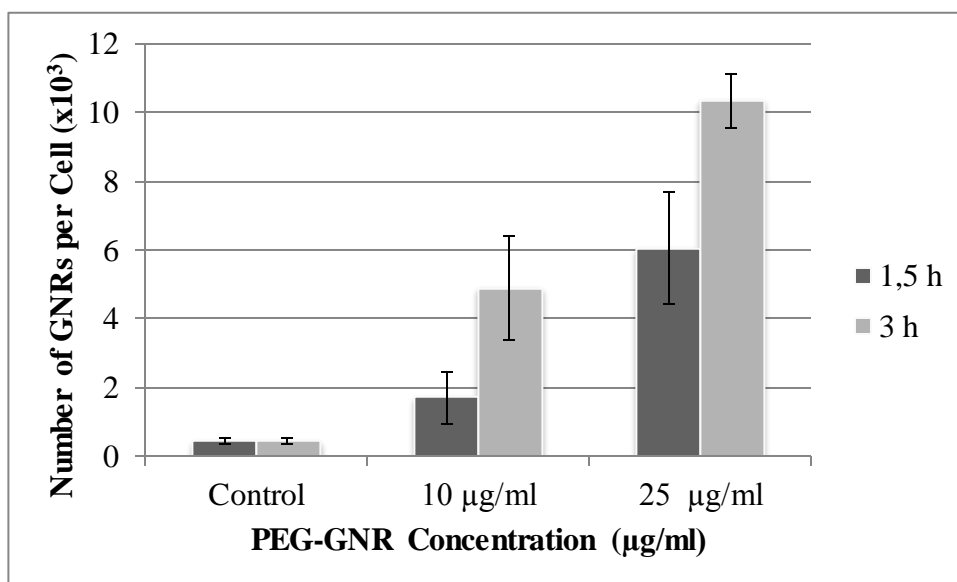
Cell uptake of gold nanorods can be determined using different characterization techniques such as TEM, ICP-AES (Alkilany, Nagaria et al. 2009) or ICP-MS measurement. After the incubation of cells with gold nanorods, lysis of cell membranes via highly concentrated nitric acid, is resulted in the obtaining gold ions measured by ICP-MS.

In this work, ICP-MS measurement was performed in order to estimate the Au contents internalized by U266 and ARH-77 cells following the 1,5 and 3 hours exposure to the PEG-GNRs with 1:1 molar ratio (PEG-SH: COOH-PEG-SH) at two different concentrations (10, 25 µg/ml as Au atoms). These two concentration were determined corresponding to the cytotoxicity results and these concentrations were in

the range of  $IC_{50}$ . The numbers of GNRs taken per cell were calculated depending on the concentration of gold atoms converted into the number of gold nanorods following the equations. The number of gold atoms ( $n$ ) containing each gold nanorods was determined (3.7) where  $V$  is volume of one gold nanorod and  $\rho$  is the density of gold and  $M_A$  is molecular weight of gold. The number of gold nanorods ( $N$ ) was calculated (3.8) where  $M$  refers the gold ions measured by ICP-MS.

$$n = \frac{V \times \rho}{M_A} \quad (3.7)$$

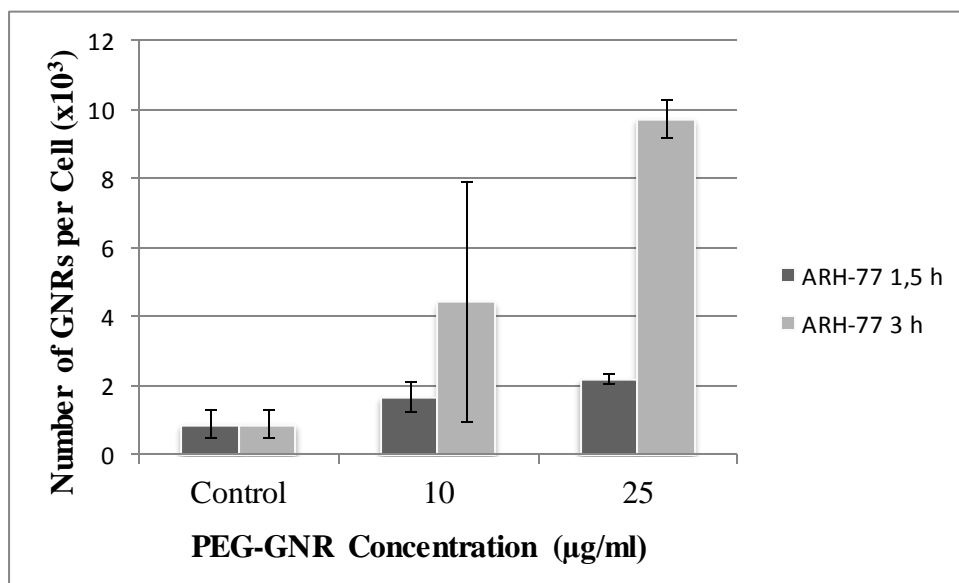
$$N = \frac{M}{n} \quad (3.8)$$



**Figure 3.32 :** Time and dose dependent uptake of PEG-GNRs by U266 cells. Concentration values indicate gold-atom concentrations.

Figure 3.31 shown at above, exhibits the cellular internalization of GNRs in different time periods. At a gold atom concentration of 25  $\mu\text{g}/\text{ml}$ , the highest uptake by U266 cells was observed (6042,11 gold nanorods per cell for 1,5 h and 10936 gold nanorods per cell for 3 h).

Similar results were also obtained for ARH-77 cells. Figure 3.32 shows the time and dose dependent uptake of PEG coated gold nanorods by ARH-77 cells. This was also resulted in the increase in the uptake of gold nanorods in increasing time and dose.

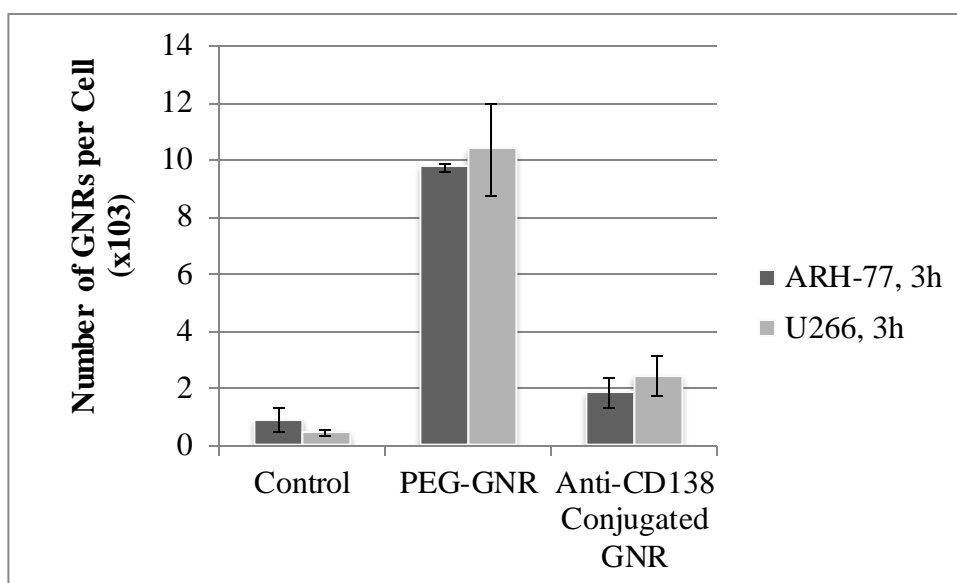


**Figure 3.33 :** Time and dose dependent uptake of PEG-GNRs by ARH-77 cells. Concentration values indicate gold-atom concentrations.

In comparison with the incubation time periods, following 3 hours incubation, the number of gold nanorods internalized by U266 and ARH cells was higher for both concentrations (see Figure 3.33). From these results, it can be withdrawn that gold nanorods can be internalized by mammalian cells (Alkilany, Nagaria et al. 2009). Meanwhile, this data also supports the time dependent uptake of gold nanorods (Gu, Cheng et al. 2009).

After the attachment of anti-CD138 to PEGylated gold nanorods, cellular uptake of anti-CD138 conjugated gold nanorods were carried out for 3h hours incubation time in which the highest uptake of PEGylated gold nanorods were observed for each cell

line. On the other hand, since the highest uptake of gold nanorods were obtained at 25  $\mu\text{g/ml}$  concentration, the internalization of antibody conjugated gold nanorods were tested at this concentration.



**Figure 3.34 :** Internalization of Gold nanorods at 25 $\mu\text{g/ml}$  concentration depending on the surface chemistry for 3 h incubation time for each cell line.

Figure 3.34 illustrates the comparison of the uptake of PEG coated gold nanorods and anti-CD138 conjugated gold nanorods by U266 and ARH-77 cell line after 3 hours exposure.

In general, when compared to the uptake of anti-CD138 conjugated to the gold nanorods for each cell line, the higher uptake was observed for U266 cell line which is overexpressing CD138 antigen, as expected. However, contrary to the PEG-coated gold nanorods, the number of anti-CD138 conjugated gold nanorods internalized by U266 cells decreases. This may be due to the non-equal distribution of cells during experiment. In order to visualize precise results, cellular uptake should be repeated at least triplicate.

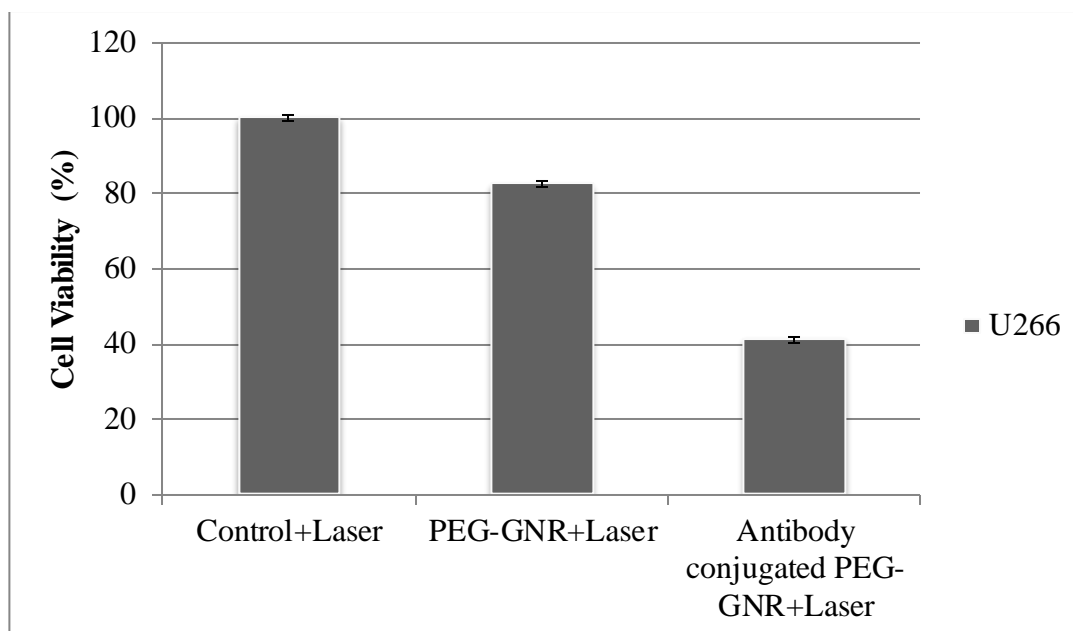
On the other hand, contrary to PEG coated gold nanorods the decrease in the uptake level for ARH-77 incubated with anti-CD138 conjugated gold nanorods confirms that ARH-77 does not express the CD138 (Seidel, Børset et al. 2000).

### **3.8 Photothermal Therapy**

Selectively targeting of cancer using photothermal therapy effects have been carried out for several year. Particularly, gold nanorods with their tunable aspect ratio scatter light in the near infrared region and are efficient to destroy cancerous cells without effecting the surrounding tissues. Since tissue penetration of light is lower than the light energy required to make gold nanorods (Weissleder 2001) convert light into heat, it is possible to use lasers at this wavelength.

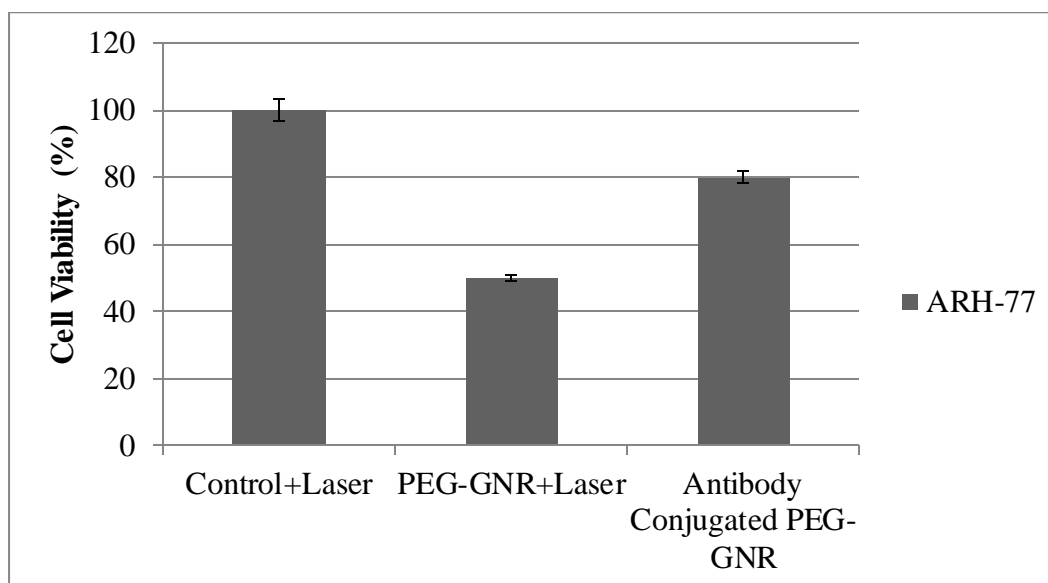
In this current work, U266 and ARH-77 cells were irradiated with red laser at 633 nm with 20 mW power. Photodestruction of cancerous cells was assessed using Trypan Blue assay. Cell viability was calculated assuming the viability of control groups 100 %. Figure 3.35 shown at below indicates the cell viability after exposure to laser for 10 minutes respectively. Contrary to control groups, laser irradiation for PEG-GNR and anti-CD138 conjugated PEG-GNR exposed cells, was resulted in the decrease in cell viability. CD138 overexpressed on U266 cells, enhanced the efficiency of photodestruction using GNRs. In other words, it is easy to reach the cell membrane for anti-CD138 conjugated GNRs and more heat is transferred to the CD138 overexpressing cells.





**Figure 3.35 :** Cell viability assay using Trypan Blue illustrates the percentage of cell survival in U266 cells after photothermal therapy with laser only, PEG-GNR plus laser and laser plus PEG-GNR conjugated to anti-CD138 antibody.

Similar results were obtained for ARH-77 cells. In comparison with the results observed in U266 cells, as it can be seen from Figure 3.36, the main difference was that, anti-CD138 conjugated PEG-GNRs does not greatly effect the ARH-77 cell survival as expected. Since CD138 is not expressed on ARH-77 cell line. As it is demonstrated in the previous works (Black, Kirkpatrick et al. 2008), gold nanorods are potential candidates for selectively targeting of cancer cells.



**Figure 3.36 :** Cell viability assay using Trypan Blue illustrates the percentage of cell survival in U266 cells after photothermal therapy with laser only, PEG-GNR plus laser and laser plus PEG-GNR conjugated to anti-CD138 antibody.

As it was demonstrated by Kumar et al. Caspase 3 activity proved that antibody conjugated gold nanorods enhance the percentage of cell mortality relevant to binding efficiency of antibody (Kumar and Abraham 2012). Our results match with the previous results that even at low wavelength laser, gold nanorods are effective for photodestruction of cancer cells. For future studies, irradiation of cancer cells with increasing wavelengths and increasing different powers of laser should be carried out to determine the energy threshold for completely destruction of cancer cells, respectively (Huang, El-Sayed et al. 2006). Furthermore, in order to monitor the cell mortality precisely after photothermal therapy, additional characterization techniques are required as well as Trypan Blue (Black, Kirkpatrick et al. 2008).

#### 4. CONCLUSIONS AND RECOMMENDATIONS

The use of gold nanorods as photothermally targeting agents for cancer therapy, has been gaining a great deal of attention recently. Because of the potential use of gold nanorods as a strong and alternative candidates to the current diagnosis and cure techniques, we aimed to selectively target them for Multiple myeloma *in vitro*. First, in order to optimize the required concentration of gold nanorods for cellular assays, two different procedures of seed mediated method were carried out for synthesis. After the synthesis step, the surface coating of gold nanorod was performed using heterobifunctional PEG molecules. The surface chemistry of gold nanorods before and after modification was analysed by AFM, SEM, DLS and UV Spectroscopy. Results confirmed that surface was changed successfully.

Dose dependent effects of CTAB coated gold nanorods and PEG-coated gold nanorods at different concentrations were tested. The apoptotic effects of CTAB coated gold nanorods were also investigated. Cytotoxicity results exhibited that in the presence of CTAB, gold nanorods were toxic to either U266 and ARH-77 cells. The lethal dose of CTAB coated gold nanorods was found between 25 and 50  $\mu\text{g}/\text{ml}$  of gold atoms concentration. After removal of CTAB via centrifugation and modification of gold nanorod surface with PEG, the toxicity was significantly diminished regarding to PEG molecules. The internalization of PEG-coated gold nanorods by U266 and ARH-77 cells were measured by ICP-MS for 1,5 and 3 h exposure time. The highest uptake was observed after 3 h incubation at the higher concentration. The results found in this study revealed that cellular uptake was time dependent manner. Furthermore, the uptake of anti-CD138 conjugated gold nanorods for each cell line was carried out. The result found for ARH-77 contributed to the fact that ARH-77 cells do not express CD138.

In order to assess the photothermally treatment of multiple myeloma with gold nanorods, U266 and ARH-77 cells were firstly incubated with PEG coated gold nanorods and anti-CD138 conjugated gold nanorods at 25  $\mu\text{g}/\text{ml}$  concentration of gold nanorods for 3 h. After exposure time, each cell was irradiated with 633 nm red laser for 10 minutes. In comparison with control groups, cell viability was reduced due to the photothermal effect of gold nanorods. After antibody conjugation, photothermal destruction of U266 cells were significantly increased contrary to PEG coated control groups. This was due to the delivery of heat coming from gold

nanorods to cellular membrane easily. On the other hand, photothermal effect of gold nanorods on cells was visualized in comparison with control groups. Yet, the viability of ARH-cells incubated with anti-CD138 conjugated gold nanorods and PEG-coated gold nanorods was not greatly effected by surface chemistry. This also confirms that ARH-77 cells do not express the CD138. However, due to the wavelength and power of laser used in this work, cell viability did not significantly change. For further studies, it will be required to apply the laser with wavelength where gold nanorods strongly excite. Also, to enhance the power of laser more than 20 mW, can be more effective to increase the cell death *in vitro*. This preliminary study of this thesis will contribute to the novel treatment of multiple myeloma via gold nanorods *in vivo*. Furthermore, the use gold nanorods as contrast agents can be also alternative for the diagnosis of cancer in early phase as well as photothermal therapy.

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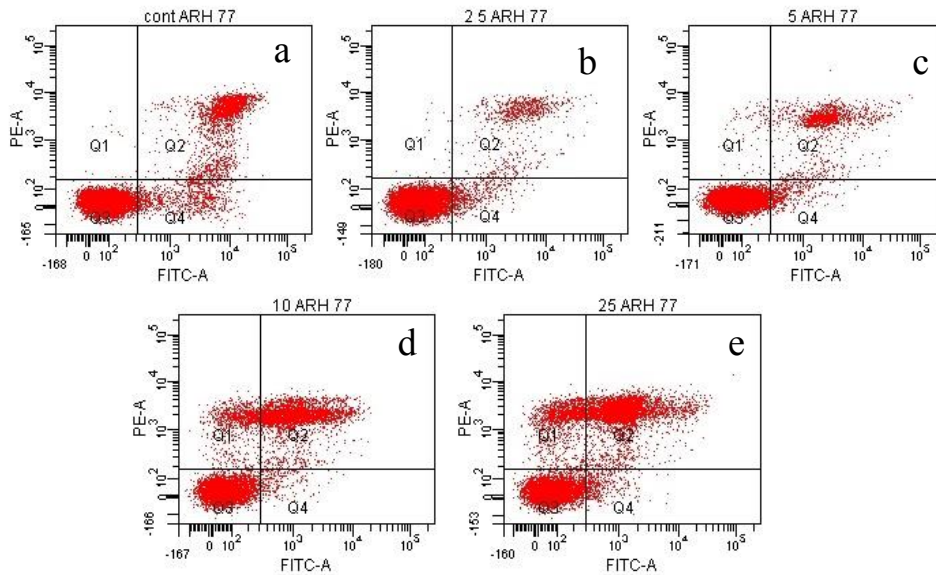
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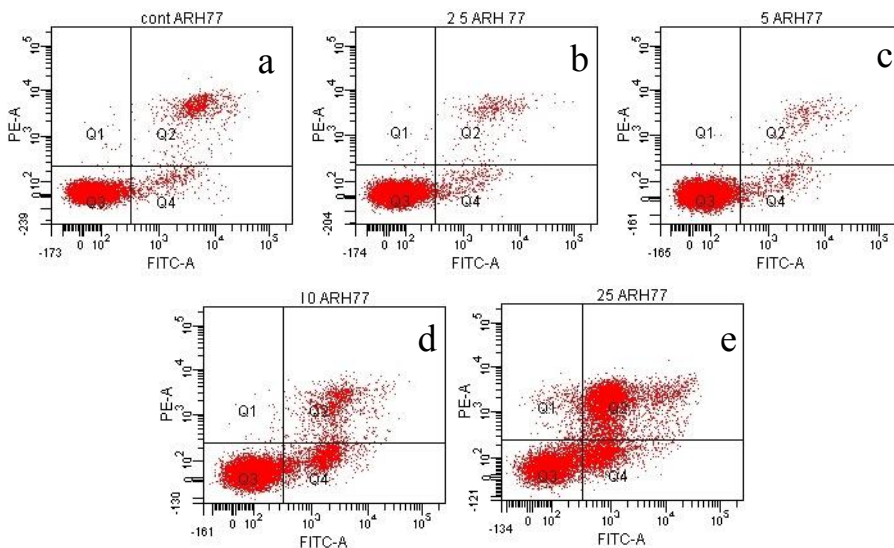
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## APPENDIX

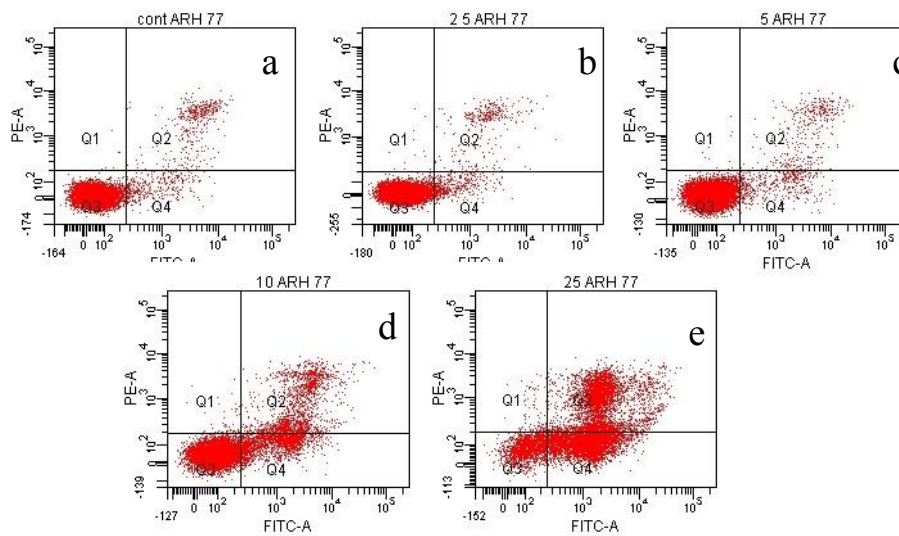
### APPENDIX A: Illustration Of Dot Plots After Annexin V Staining



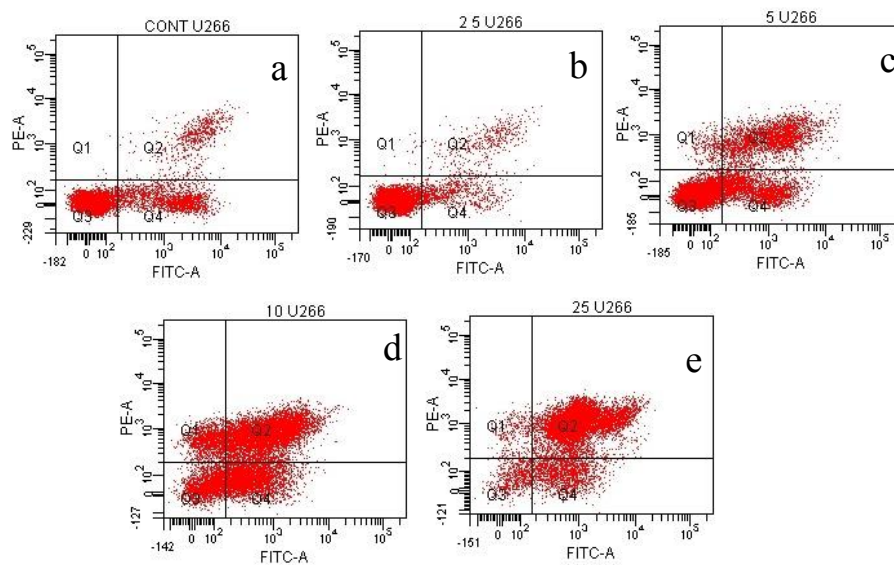
**Figure A.1 :** Representation of dot plots of annexin V staining for U266 cells exposed to CTAB-GNRs for 24 h incubation period. a) Control. b) 2,5  $\mu\text{g/ml}$ .c) 5  $\mu\text{g/ml}$  d) 10  $\mu\text{g/ml}$  e)25  $\mu\text{g/ml}$  .



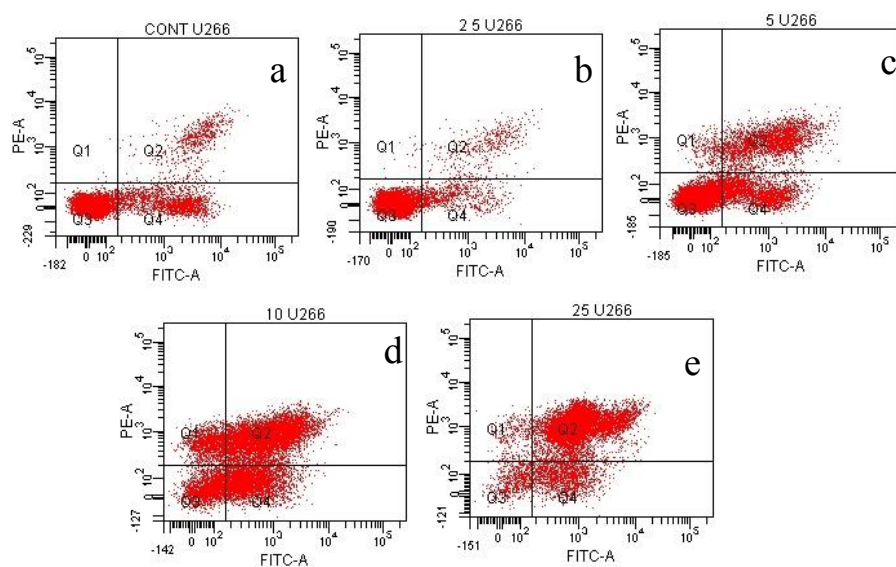
**Figure A.2 :** Representation of dot plots of annexin V staining for ARH-77 cells exposed to CTAB-GNRs for 48 h incubation period. a) Control. b) 2,5  $\mu\text{g/ml}$  c) 5  $\mu\text{g/ml}$  d) 10  $\mu\text{g/ml}$  e)25  $\mu\text{g/ml}$ .



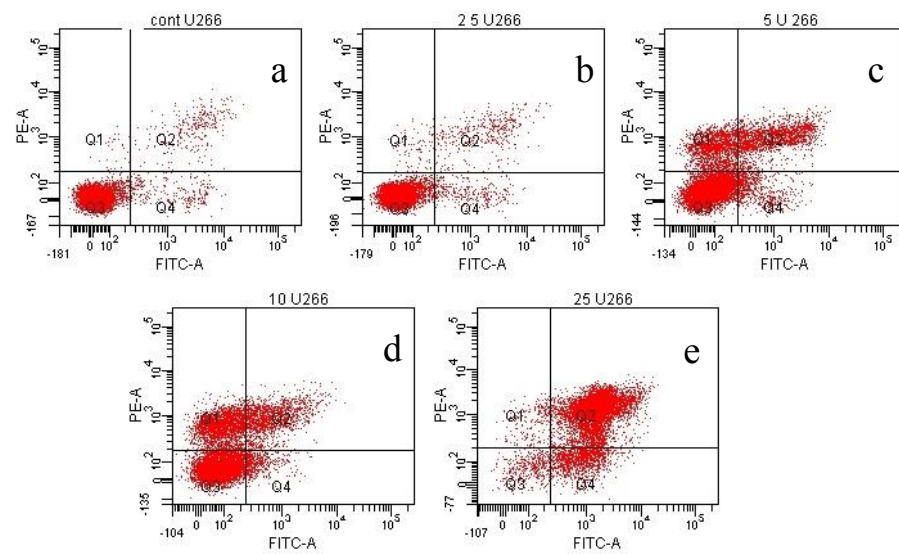
**Figure A.3 :** Representation of dot plots of annexin V staining for ARH-77 cells exposed to CTAB-GNRs for 72 h incubation period. a) Control. b) 2,5  $\mu\text{g/ml}$  c) 5  $\mu\text{g/ml}$  d) 10  $\mu\text{g/ml}$  e) 25  $\mu\text{g/ml}$ .



**Figure A.4 :** Representation of dot plots of annexin V staining for U266 cells exposed to CTAB-GNRs for 24 h incubation period. a) Control. b) 2,5  $\mu\text{g/ml}$  c) 5  $\mu\text{g/ml}$  d) 10  $\mu\text{g/ml}$  e) 25  $\mu\text{g/ml}$ .



**Figure A.5 :** Representation of dot plots of annexin V staining for U266 cells exposed to CTAB-GNRs for 48 h incubation period. a) Control. b) 2,5  $\mu\text{g/ml}$  c) 5  $\mu\text{g/ml}$  d) 10  $\mu\text{g/ml}$  e) 25  $\mu\text{g/ml}$ .



**Figure A.6 :** Representation of dot plots of annexin V staining for U266 cells exposed to CTAB-GNRs for 72 h incubation period. . a) Control. b) 2,5  $\mu\text{g/ml}$  c) 5  $\mu\text{g/ml}$  d) 10  $\mu\text{g/ml}$  e) 25  $\mu\text{g/ml}$ .

## **CURRICULUM VITAE**

**Name Surname:** Gülşah MALGIR

**Place and Date of Birth:** ALAŞEHİR, 28.09.1987

**Address:** İstasyon Mh. Gecit Sk. Alaşehir-MANİSA

**E-Mail:** gulsah\_malgir@gmail.com

**B.Sc.:** Bioengineering

**M.Sc.:** Nanotechnology

### **Research Interests**

Bioengineering

Gene Therapy

Nanobiotechnology

Cancer

Drug Delivery

Biomaterials

### **Work Experience**

**(July-August, 2008 )** Plant Genetics and Molecular Biology Laboratory, Ebiltem, Ege University

**(15<sup>th</sup> June- 15<sup>th</sup> July 2010)** Molecular Diagnostic Laboratory , Genetic & Bioengineering Department, Yeditepe University

**(3<sup>th</sup> August-26<sup>th</sup> August 2010)** Genetic Diagnostic Center, Pamukkale University Medical School

**(2012-present)** Helping Project Management Office, Gediz University

### **Lab Skills and Techniques**

Cell culture, MTT assay, PCR, DNA extraction, AFM, Dynamic Light Scattering, Surface Plasmon Resonance, Localized Surface Plasmon Resonance, SDS-PAGE

### **Certificates**

- Advances in Applied Physics and Material Science Congress, Antalya, 2012
- II.Stem Cell symposium, İzmir, 2010



- Cancer Workshop, Ege University Medical School, İzmir, 2010
- Certificate of Training on plant genetic, Ege University, İzmir, 2009
- I.Stem Cell Symposium, Ege University, İzmir, 2009
- ITU 3. International Student Congress, İstanbul, 2009

#### **Honours and Awards**

- **2011-** - Admitted to Gediz University Nanotechnology Program **with full scholarship** with the **highest** ranking among applicants.
- **2005-present-** Scholarship that is given for successful students from Turkish Government
- **2002-** awarded as **honoured student of the year** by Alasehir High School Administration
- **2001-** awarded in the Secondary School essay competition **ranked as 3<sup>rd</sup>** around city.

#### **References**

Available upon request