UNIVERSITY OF GAZİANTEP

GRADUATE SCHOOL OF

NATURAL & APPLIED SCIENCES

MODELLING OF NOVEL BISMIDE ALLOYS FOR OPTOELECTRONIC DEVICES

M.Sc. THESIS

 \mathbf{IN}

ENGINEERING PHYSICS

BY NURETTİN GÖKDENİZ

JANUARY 2019

Modelling of Novel Bismide Alloys for Optoelectronic Devices

M.Sc. Thesis in Engineering Physics University of Gaziantep

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> > January 2019

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REPUBLIC OF TURKEY GAZİANTEP UNIVERSITY GRADUATE SCHOOL OF NATURAL AND APPLIED SCIENCES ENGINEERING PHYSICS

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 : Modelling of Novel Bismide Alloys for Optoelectronic Devices

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 Exam Date
 : 02.01.2019

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Nurettin GÖKDENİZ

ABSTRACT

MODELLING OF NOVEL BISMIDE ALLOYS FOR OPTOELECTRONIC DEVICES

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M.Sc. in Engineering Physics Major Supervisor: Prof. Dr. Beşire GÖNÜL Co-Supervisor: Asst. Prof. Dr. Ömer Lütfi ÜNSAL January 2019

68 pages

The purpose of this thesis work is to investigate the electronic band structures of InAsBi/InAs, InPBi/InP, InSbBi/InSb and GaAsBiN/GaAs III-V materials by means of using model calculations. When bismuth and nitrogen atoms are incorporated into a III-V binary host semiconductor, bismuth and nitrogen impurity atoms occupy at localized energy levels which lies inside or close to the valence and conduction band, respectively. These localized impurity states interact with the extended valence and conduction band states of host alloy. This interaction causes a splitting in each of these band states of the light hole, heavy-hole, split-off band and conduction subbands into subbands of E_+ and E_- energy levels. The incorporation of dilute bismides and nitrides into host materials cause a reduction in band gap with increasing bismuth and nitrogen concentration, an increase in spin-orbit splitting energy with increasing bismuth concentration. These major modifications in band structure tends to eliminate or suppress optical loss mechanism, such as Auger recombination and Intervalence band absorption.

Our theoretical analysis is based on the conduction band anti-crossing, C-BAC, model for incorporation of dilute nitrides and the valence band anti-crossing, V-BAC, model for incorporation of dilute bismides to model the restructuring of valence and conduction bands.

Key words: Electronic band structure, strain, dilute bismides, dilute nitrides, band anti-crossing model, band gap energy, spin-orbit-splitting energy

ÖZET

OPTOELEKTRONİK CİHAZLAR İÇİN BİZMUT ALAŞIMLARININ MODELLENMESİ

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Yüksek Lisans Tezi, Fizik Mühendisliği Tez Yöneticisi: Prof. Dr. Beşire GÖNÜL Tez Yönetici Yardımcısı: Dr. Öğr Üyesi Ömer Lütfi ÜNSAL Ocak 2019

68 sayfa

Bu tez çalışmasının amacı, InAsBi/InAs, InPBi/InP, InSbBi/InSb ve GaAsBiN/-GaAs III-V malzemelerinin elektronik bant yapılarını model hesaplamaları kullanarak incelemektir. Bizmut ve nitrojen atomları bir III-V ikili yarı iletkenine eklendiğinde, katkı atomları bizmut ve nitrojen atomları iletkenlik bandı ve değerlik bandına yakın yerlerde bulunan enerji seviyelerini işgal eder. Bu lokalize katkı atomları iletkenlik bandı ve değerlik bandı ile etkileşime girer. Bu etkileşim sonucu değerlik bandı ve iletkenlik bandı pozitif ve negatif enerji seviyelerine ayrılır, değerlik bandı hafif boşluk, ağır boşluk, spin-off ve iletkenlik bandı ise alt bantlarına ayrılır. Seyreltik bismutların ve nitritlerin ikili ev sahibi maddelere eklenmesi sonucu, bizmut ve nitrojen konsantrasyonunun artması, bant boşluğu enerjisinde bir azalmaya neden olur. Ayrıca bizmut konsantrasyonunun artmasıyla birlikte spin yörünge etkileşim enerjisinde bir artış olur. Bant yapısındaki bu büyük değişiklikler, Auger rekombinasyonu ve Intervalence bant absorpsiyonu gibi optik kayıp mekanizmalarını ortadan kaldırmaya veya bastırmaya eğilimlidir.

Teorik analizimiz, değerlik ve iletkenlik bantlarının yeniden yapılanmasını modellemek için seyreltik nitritlerin katılmasından dolayı iletkenlik anti bant geçiş modeli, C-BAC ve seyreltik bismutların katılmasından dolayı da değerlik anti bant geçiş modeli, V-BAC kullanılmıştır.

Anahtar kelimeler: Elektronik bant yapısı, gerilme, seyreltik bismutlar, seyreltik nitritler, anti bant geçiş modeli, bant boşluğu enerjisi, spin yörünge etkileşim enerjisi

I dedicate this work to my family...

ACKNOWLEDGEMENTS

Firstly, I would like to express my sincere gratitude to my supervisor Prof. Dr. Beşire GÖNÜL for the continuous support of my M.Sc study and related research, for her patience, motivation, and immense knowledge. Her guidance helped me in all the time of research and writing of this thesis. I could not have imagined having a better advisor and mentor for my M.Sc study.

Besides my co-supervisor Dr. Ömer Lütfi ÜNSAL, I would like to thank the rest of my thesis committee: Prof. Dr. Mustafa ÖZTAŞ and Assoc. Prof. Dr. Vural Emir KAFADAR for their insightful comments and encouragement, but also for the hard question which incented me to widen my research from various perspectives.

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LIST OF SYMBOLS

- \hbar : Planck's constant
- k_B : Boltzmann's constant

 E_g : Band gap(eV)

- Δ_0 : Spin-orbit splitting(eV)
- C_{11} : Elastic Stiffness constant

 C_{12} : Elastic Stiffness constant

 C_{44} : Elastic Stiffness constant

b: Deformation potential(eV)

 a_0 : Lattice constant Å

 m_e^* : Electron effective mass

- a_c : Hydrostatic deformation potential for conduction band
- a_v : Hydrostatic deformation potential for valence band
- γ_1 : Luttinger parameter
- γ_2 : Luttinger parameter
- γ_3 : Luttinger parameter

 ΔE_{CBM} : Conduction band maximum

 ΔE_{VBM} : Valence band maximum

 E_{Bi-so} : The location of corresponding spin-orbit split-off level

 ΔE_{SO} : The spin-orbit split-off band offset

 E_{Bi} : Energy of the localized Bi level

 E_N : Energy of the localized N level

 C_{Bi} : Coupling parameter

CHAPTER 1

INTRODUCTION

Compound semiconductors offer a possibility to get semiconductor materials with a variety of wavelengths having a direct band gap material which is essential to get an efficient light emission in light emitting diodes (LED) and lasers. III-V compound semiconductors have especially preferred in diode lasers to have an emission wavelength at 1.3 and $1.55 \ \mu\text{m}$. This wavelength range is quite important in telecommunication since dispersion is zero and the losses are minimum at these stated wavelengths. After the invention of semiconductor lasers in 1960s [1] semiconductor diode lasers have been widely and successfully used in laser printing, optical data storaging, as a pump mechanism for solid state lasers, and in information and telecommunication technologies. The widely used area of III-V compound semiconductor materials shows that III-V compound semiconductors play an important role for electronic and optoelectronic device applications in modern technology.

Lasers which emit light in the wavelength range of 1.3 and 1.55 μ m require semiconductor materials with a direct bandgap range between 0.95 and 0.8 eV. Semiconductor laser diodes are forward biased pn junction devices. The pn junction must be grown on a suitable substrate material. Unfortunately the number of available good quality and cheap binary substrates were limited. The only selections were GaAs and InP in earlier investigations. In order to have a uniform growth of layers on top of each other, there is a requirement of lattice-matching of which the lattice constant of alloy semiconductor must be so close to the lattice constant of substrate materials. At that time, scientists couldn't find a suitable alloy semiconductor which is lattice matched to GaAs substrate in the long wavelength range. Thus InGaAsP and InGaAlAs on InP substrates has been considered as a material system which emits light being greater than 1.1 μ m. These quaternary materials are still widely used as a laser material in long wavelength telecommunications. However, the performance of these InP based semiconductor lasers operating at long wavelengths has several disadvantages. The performance of these lasers are limited due to high threshold currents and temperature instability. A great deal of scientific and engineering research has been given to reveal the reasons for high threshold current and temperature instability and then it is stated that loss mechanisms of Auger recombination and intervalence band absorbtion are the most important reason for these behaviours. In addition, both loss mechanisms and threshold current increase with increasing temperature. Therefore, an intense effort to reach an alternative solution to InP epitaxy has been paid and new exotic compound semiconductor materials have been searched. The exotic new materials has been expected to satisfy the following requirements; First of all, electrons should be confined in deeper quantum wells due to prevent the leakage into the barrier because of lighter mass of electrons. Secondly, photons must be confined in gain region which is only possible by means of the increase in refractive index difference between layers and substrate material. Finally, in order to eliminate the important loss mechanisms of Auger recombination and intervalence band absorbtion, an alloy with a Δ_0 being greater than the forbidden E_g is required. In order to satisfy all these requirements, it is necessary to engineer the band gap, band alignment and tune the lattice matching between layers and substrate, i.e. strain. It should be notice that a lattice-match growth is preferable to get high quality and reliable devices on suitable substrates.

Due to limitations of the well known long wavelength laser materials of InGaAsP and InGaAlAs on InP substrates has been pushed the people to look for an alternative gain material that can be grown on GaAs substrate. Diode lasers constructed from new material systems such as dilute nitrides and bismides can be considered as promising candidates to eliminate high threshold current and temperature instability. Carrier confinement can be improved due to ideal band alignments of alloys on GaAs substrates. Optical confinement can also be improved due to the larger refractive index difference between GaAs and AlGaAs compared to that between InP and the quaternary alloys. Layers can be grown on top of each other uniformly as lattice-match and lattice-mismatch with reasonable thicknesses. This brings a great advantage and flexibility to choose a proper material with an ideal band structure and material with the required bandgap. Furthermore the epitaxial growth can be achieved on larger GaAs substrates since GaAs is a more intense material compared to InP material allowing easy monolithic growth on GaAs.

An alternative active material, GaInNAs, that can be grown on GaAs is proposed by Kondow et al [2]. This new material system called as dilute nitrides was very interesting due to their unusual physical properties compared to that of the conventional III-V counterparts. According to the general rules of the III-V semiconductors, a decrease in lattice constant increases the bandgap. Nitrogen, N, atom with a large electronegativity and a small covalent radius have a strong negative bowing parameter. Thus when N is introduced to GaAs its bandgap decreases sharply contrary to the conventional semiconductors. This unusual band structure allows to the fabrication of long wavelength lasers.

Bismuth, Bi, containing III-V semiconductor alloys can be considered as another alternative active material grown on GaAs substrates. The incorporation of small amounts of Bi into GaAs leads attractive properties such as a strong decrease in E_g and an increase in Δ_0 which was one of the requirement to remove loss mechanisms of IVBA and Auger recombination. Thus, these alloys having the desired band structure has been considered as a light emitting device in near infrared. These alloys are named as dilute bismides.

Dilute bismide and nitrides have some similar and dissimilar properties. In both dilute nitrides and bismides, there is a reduction in bandgap due to introduced isovalent-impurity atom. In dilute nitrides, the incorporation of N into GaAs produce a resonant defect level above the conduction band edge, CBE, of GaAs. The reduction in bandgap energy of dilute nitrides is due to the interaction of the N related impurity state with the conduction band of the host III-V semiconductor binary alloys. Walukiewicz et al [3] introduced a successful theory which takes into account the band anticrossing interaction between localized N-related states and the CBE of the host binary alloy. This model is named as conduction band anti-crossing model, C-BAC, that cause a splitting of CB into two different states. On the other hand, the incorporation of Bi into GaAs produce a resonant defect level close to the valence band edge, VBE, of the binary host material. The reduction in bandgap energy of dilute bismides is due to the interaction of the Bi impurity state with the valence band, i.e. the heavy hole, HH, and light hole, LH bands of the host III-V semiconductor binary alloys. These variations in band structure can be described by valence band anti-crossing model, V-BAC [4, 5]. The interaction of Bi impurity state with the spin orbit split off band of the host enhances the spin orbit splitting in III-V bismides allowing to reduce the loss meshanisms which is a great benefit. These unusual and interesting properties have been pushed the people to incorporate small amounts of nitrogen and bismide simultaneously in the same alloy resulting dilute bismide and nitride alloys of GaAsBiN.

This study provides a detailed investigation of the reconstruction of the conduction band edge with incorporation of N into III-V binaries by means of using C-BAC model and reconstruction of valence band edge with incorporation of Bi into III-V binaries by means of using V-BAC model.

Information about the theoretical part of the thesis was given in chapter 2.

In chapter 3, we have presented an analysis of electronic band structure and strain values of ternary $InAs_{1-x}Bi_x$, $InP_{1-x}Bi_x$ and $InSb_{1-x}Bi_x$ materials on GaAs substrates.

Chapter 4 presents the calculated results of the electronic band structures and strain values of ternary $GaAs_{1-x}N_x$ and $GaAs_{1-y}Bi_y$ and the quaternary $GaAs_{1-x-y}N_xBi_y$ on GaAs substrates.

Chapter 5 covers the thesis summary and future work.

CHAPTER 2

THEORETICAL MODELS FOR BAND STRUCTURE

2.1 Introduction

III-V compound semiconductor materials play an important role for device applications of electronics and optoelectronics devices in modern technology. Incorporation of a small concentration of Bi and N atoms into a conventional III-V semiconductor host materials produces dilute bismide and nitride alloys. During the past decade, III-V dilute nitride and bismide alloys have attracted a great deal of attention due to their both unique physical and technical properties as well as their potential for specific optoelectronic device applications. The replacement of a small concentration of arsenides, antimonides or phosphides by bismuth or nitrogen in III-V host material produces a large band gap reduction, E_g . Thus the band gap of the resulting dilute III-V-N and Bi alloys can be adjusted to specific energies for optoelectronic devices by changing the nitrogen or bismuth concentration. Bismuth concentration also produces a large bowing in Δ_0 of the host material. The changes both in E_q and Δ_0 has been explained using a valence band anti-crossing, V-BAC, for dilute bismides. This is due to the interaction between the bismuth energy level and the host GaAs. The conduction band anti-crossing, C-BAC, describes the rapid reduction in bandgap for dilute nitride alloys [6]. As a result of band anti-crossing, BAC, models, valence band and conduction band split into two characteristic subbands E_{+} and E_{-} and also, valence band splits the heavy hole, light hole and split-off bands into a series of E_+ and E_- subbands energy level.

When a small concentration of bismuth and nitrogen are incorporated into a III-V semiconductor host material, Bi and N related impurity energy levels lies inside the valence band and the conduction band edge of the host material, respectively. Thus, bismuth primarily affects the VB, while nitrogen affects the CB [7]. Figure 2.1 shows the schematic diagram of band structures of dilute $GaAs_{1-x}N_y$ and $GaAs_{1-y}Bi_y$.



Figure 2.1 Schematic illustration of band structure of a) GaAsN and b) GaAsBi showing CB, HH, LH, SO, nitrogen energy level, E_N and bismuth energy level, E_{Bi} .

The band gap reduction, E_g in both dilute nitrides and bismides and strong spin-orbit splitting energy, Δ_0 in dilute bismide alloys are believed to be due to the interaction of the nitrogen energy level, E_N and bismuth, E_{Bi} with the host band edges. Moreover, bismuth containing III-V alloys offer the possibility to suppress Auger recombination [8]. Auger recombination and IVBA decreases the efficiency of semiconductor lasers in the near- and mid-infra-red (mid-IR) region of spectrum [9]. To suppress or eliminate these loss mechanism one needs to achieve a Δ_0 bigger than the E_g energy. The situation of $\Delta_0 > E_g$ prohibits Auger recombination and IVBA transitions, because energy and momentum can no longer be conserved [10]. Therefore, dilute bismide plays a significant role to reduce band gap energy, E_g and increase spin-orbit splitting energy, Δ_0 .



Figure 2.2 Schematic diagram showing (a)CHCC (b)CHSH and (c)phononassisted CHSH Auger recombination processes [11].

Figure 2.2 (a) illustrates the CHCC Auger recombination in which an electron in a CB (1) recombines with a hole in a VB (3) with the delivered energy stimulating a second electron in the CB (2) to an empty higher conduction state (4). After all these steps energy and momentum are conserved. In a CHSH Auger recombination in which an electron in a CB (1) recombines with a hole in a VB (2) with the delivered energy stimulating a hole in the VB (4) to the spin split off band (3). Again energy and momentum are conserved. When the spin-orbit splitting band energy, Δ_0 is greater than the band gap energy, E_g , CHSH process, is forbidden due to conservation of energy. Since there is no available energy state to excite a hole to the spin split off band [11].

The aim of this chapter is to give information about the theoretical part of this thesis. For this purpose, we present the C-BAC for dilute nitrides and V-BAC for dilute bismides. Secondly, we provide the interpolation method to calculate the necessary band parameters. Finally, we present the theory of strain to calculate strain values of ternary and quaternary III-V bismide and nitride alloys.

2.2 Band Anti-crossing Models

III-V conventional semiconductor materials have the tendency of increasing E_g with decreasing lattice constant. On the other hand, dilute bismide and dilute III-V-N alloys have large reductions in fundamental E_g with a decrease in lattice constant. According to Shan et al [12] have demonstrate that this uncommon behaviour can be accepted within a simple BAC for dilute nitrides. The band anticrossing model is based on the interaction of the CB energy level with the localized N related energy level E_N . Alberi et al [13] propesed a similar BAC model that describes the splittings in VB for dilute bismides. Both models illustrate how we can reconstruct the CB and VB as a result of the interaction of the respective bands with nitrogen impurity level, E_N , and bismuth impurity level, E_{Bi} .

2.2.1 Conduction Band Anti-crossing Model

When As atom in binary GaAs is replaced by an isovalent impurity N, a resonant defect level lying above the CB edge of GaAs arises [14, 15]. This defect level is due to the large difference in electronegativity and atomic size between N and As [16, 17]. The radii and electronegativity values of usually used elements are shown in Table 2.1.

Table 2.1 The electronegativity and covalent radius values for related elements [18–20].

Element	Electronegativity	Covalent radius(Å)
Si	1.90	1.17
Ga	1.81	1.25
Р	2.19	1.1
Ν	3.05	0.7
As	2.18	1.21

The C-BAC model explains the interaction of the localized defect states with the CBE for a two-level system. As a result of this interaction there is a splitting of the CB into two characteristic subbands that are typically labeled E_+ and E_- for the high and low energy bands respectively. Figure 2.3 clearly shows that binary GaAs conduction band energy level interaction with defect nitrogen energy levels and this interaction cause the conduction band to split into two subbands E_+ and E_- . Figure 2.3 also illustrates the increase in splitting as a function of N

concentration.



Figure 2.3 The BAC effects on the N level and GaAs CB [21].

In order to understand the conduction band anti-crossing model, one needs to consider, a simple band model with interacting localized nitrogen energy level and conduction band edge level. Shan et al [12] proposed the following standard procedures and assumed that the interaction of the two types of states can be treated as a perturbation which leads to the following eigenvalue problem of

$$\begin{vmatrix} E - E_M & V_{MN} \\ V_{MN} & E - E_N \end{vmatrix} = 0$$
(2.1)

where E_M and E_N are the extended state in the CB of the host GaAs matrix and the energy level of the N localized level. V_{MN} refers to interaction between localized N level and CBE level and is given [22] by

$$V_{MN} = C_{MN}\sqrt{x} \tag{2.2}$$

where C_{MN} is the coupling constant and x is the N composition in the III-V nitride alloys. The solution to the eigenvalue problem in Equation 2.1 is then

$$E_{\pm} = \frac{E_N + E_M \pm \sqrt{(E_N - E_M)^2 + 4xC_{MN}^2}}{2}$$
(2.3)

Equation 2.3 shows that the conduction band energy level of the host GaAs binary material splits into two subbands E_+ and E_- . Figure 2.4 shows the results of calculations of E_+ and E_- at three different values of hydrostatic pressure.



Figure 2.4 Calculated dispersion of the E_{-} and E_{+} subbands in GaAsN at three different pressures [3].

2.2.2 Valence Band Anti-crossing Model

When Bi atom is incorporated into the binary III-V semiconductor alloys, localized bismuth energy level lie near the VBE of the host semiconductor material. This localized energy level, then interact with the extended states of the host binary semiconductor material. The interaction leads a reconstruction of the VB of the heavy hole, light hole and split-off bands to split into a series of E_+ and $E_$ subbands energy level. In this subsection, we explain to k.p formalism which was used earlier [23] to describe the rearrangement of VB in the bismuth containing III-V semiconductor materials. The interaction of the bismuth energy level with the VB of the corresponding host binary semiconductor material was described by a 12 x 12 Hamiltonian which includes, 6 p-like VB states of the host semiconductor material and the 6 localized p-like states of the incorporated Bi impurity atoms [24]. The resultant solution to the Hamiltonian produces six doubly degenerate eigenvalues that characterize three pairs of spin-degenerate subbands formed by $E_{LH\pm}$, $E_{HH\pm}$ and $E_{SO\pm}$ due to the hybridization effects between the VB and Bi impurity states [25]. At the point, where k is different from zero, the $12 \ge 12$ matrix can be written as:

$$\begin{pmatrix} H & \alpha & \beta & 0 & \frac{i\alpha}{\sqrt{2}} & -\sqrt{2}i\beta & Vx & 0 & 0 & 0 & 0 & 0 \\ \alpha^* & L & 0 & \beta & \frac{iD}{\sqrt{2}} & \sqrt{\frac{3}{2}i\alpha} & 0 & Vx & 0 & 0 & 0 & 0 \\ \beta^* & 0 & L & -\alpha & -\sqrt{\frac{3}{2}i\alpha} & \frac{iD}{\sqrt{2}} & 0 & 0 & Vx & 0 & 0 & 0 \\ 0 & \beta^* & -\alpha^* & H & -\sqrt{2}i\beta & -\frac{i\alpha^*}{\sqrt{2}} & 0 & 0 & Vx & 0 & 0 \\ \frac{i\alpha^*}{\sqrt{2}} & -\frac{iD}{\sqrt{2}} & \sqrt{\frac{3}{2}i\alpha} & \sqrt{2}i\beta & S & 0 & 0 & 0 & 0 & Vx & 0 \\ \sqrt{2}i\beta^* & -\sqrt{\frac{3}{2}i\alpha^*} & -\frac{iD}{\sqrt{2}} & \frac{i\alpha}{\sqrt{2}} & 0 & S & 0 & 0 & 0 & 0 & Vx \\ Vx & 0 & 0 & 0 & 0 & E_{Bi} & 0 & 0 & 0 & 0 \\ 0 & Vx & 0 & 0 & 0 & 0 & E_{Bi} & 0 & 0 & 0 \\ 0 & 0 & Vx & 0 & 0 & 0 & 0 & E_{Bi} & 0 & 0 & 0 \\ 0 & 0 & 0 & Vx & 0 & 0 & 0 & 0 & E_{Bi} & 0 & 0 \\ 0 & 0 & 0 & Vx & 0 & 0 & 0 & 0 & E_{Bi} & 0 & 0 \\ 0 & 0 & 0 & Vx & 0 & 0 & 0 & 0 & E_{Bi} & 0 & 0 \\ 0 & 0 & 0 & Vx & 0 & 0 & 0 & 0 & E_{Bi} & 0 & 0 \\ 0 & 0 & 0 & Vx & 0 & 0 & 0 & 0 & 0 & E_{Bi-SO} \end{pmatrix}$$

where

$$H = -\frac{\hbar^2}{2m_o} \left[\left(k_x^2 + k_y^2 \right) (\gamma_1 + \gamma_2) + k_z^2 (\gamma_1 - 2\gamma_2) \right]$$
(2.5a)

$$L = -\frac{\hbar^2}{2m_o} \left[\left(k_x^2 + k_y^2 \right) (\gamma_1 - \gamma_2) + k_z^2 (\gamma_1 + 2\gamma_2) \right]$$
(2.5b)

$$\alpha = \sqrt{3} \frac{\hbar^2}{m_o} \left[k_x \left(k_x - i k_y \right) \gamma_3 \right]$$
(2.5c)

$$\beta = \frac{\sqrt{3}}{2} \frac{\hbar^2}{m_o} \left[\left(k_x^2 - k_y^2 \right) \gamma_2 - 2ik_x k_y \gamma_3 \right]$$
(2.5d)

$$D = L - H \tag{2.5e}$$

$$S = \frac{1}{2} (L + H) - \Delta_0$$
 (2.5f)

At the Γ point, where k = 0, all the off-diagonal elements of the 6 x 6 portion of the Hamiltonian matrix becomes zero. Then, the solution of the Hamiltonian matrix produces four different eigenvalues similar to the E_+ and E_- subbands of the heavy hole, light hole and split-off bands. The solutions of the Hamiltonian for k = 0 are given by following equations of [4].

$$E_{LH/HH\pm} = \frac{1}{2} \left(H + E_{Bi} \pm \sqrt{H^2 - 2HE_{Bi} + E_{Bi}^2 + 4V^2} \right)$$
(2.6)

$$E_{SO\pm} = \frac{1}{2} \left(S + E_{Bi-SO} \pm \sqrt{S^2 - 2SE_{Bi-SO} + E_{Bi-SO}^2 + 4V^2} \right)$$
(2.7)

with

$$H = L = \Delta E_{VBM} x \tag{2.8a}$$

$$S = \Delta E_{VBM} x - \Delta_0 - \Delta E_{SO} x \tag{2.8b}$$

$$V = C_{Bi}\sqrt{y} \tag{2.8c}$$

where ΔE_{VBM} and ΔE_{SO} in the above statement indicate the difference in the E_{VBM} and the E_{SO} . Δ_0 is the spin orbit splitting energy, V refers to interaction

between localized bismuth level and VBE level and C_{Bi} is the coupling constant. E_{Bi} and E_{Bi-SO} are the Bi related impurity levels corresponding to the heavy hole, light hole and split-off bands [25].

In addition, the E_g reduction calculated from the virtual crystal approximation, VCA, and can be written as [23],

$$E_{CB} = E_g - \Delta E_{CBM} x \tag{2.9}$$

where E_g refers the bandgap energy of the corresponding binary host material and ΔE_{CBM} is its CB offset.

2.2.3 Valence Band Energy Level

According to Alberi et al's V-BAC model [13], the energies of the E_+ and E_- levels are given by

$$E_{\pm}(AB_{1-x}Bi_x) = \frac{E_{V,AB} + E_{Bi} \pm \sqrt{(E_{V,AB} - E_{Bi})^2 + 4V_{MBi}^2}}{2}$$
(2.10)

where $E_{V,AB}$ is the energy of VBM of binary AB host material, E_{Bi} is the energy of the Bi level lying below the VBM of AB. C_{Bi} is the coupling parameter between the Bi level and AB host. V_{MBi} is the matrix element describing the interaction between localized bismuth level and valence band edge level.

$$V_{MBi} = C_{Bi}\sqrt{x} \tag{2.11}$$

where C_{Bi} is the coupling constant and x is the Bi composition in the III-V-Bi alloys.

Since Bi impurity energy level lies below the VBM of AB and interaction between Bi level and AB VBM, valence band maximum in AB moves an upward direction and this leads a band gap reducton, E_g . Figure 2.5 shows the interaction between localized Bi level and VB energy level of GaAs. As can be seen from figure 2.5 VB energy level splits into two sub-bands, E_+ and E_- .



Figure 2.5 (a) The VB of GaAs and the localized Bi level and (b) the variations of E_+ and E_- levels as a function of wavefactor for 6% bismuth [5].

The conduction band energy level is uninfluenced in valence band anti-crossing model since the Bi energy level is located at much lower energy than the CB. Thus the E_g of $AB_{1-x}Bi_x$ is given by,

$$E_{g,AB_{1-x}Bi_x} = E_{C,AB} - E_{+,ABBi}$$
(2.12)

which can be simplified to

$$E_{g,AB_{1-x}Bi_x} = E_{g,AB} - \Delta E_{VBAC} \tag{2.13}$$

where ΔE_{VBAC} refers to the band E_g due to Bi incorporation. The VB of $AB_{1-x}Bi_x$ is described by,

$$E_{\pm}(AB_{1-x}Bi_x) = \frac{E_{V,AB} + E_{Bi} \pm \sqrt{(E_{V,AB} - E_{Bi})^2 + 4V_{MBi}^2}}{2}$$
(2.14)

Assuming that the CB edge is constant, the E_g of $AB_{1-x}Bi_x$ is

$$E_{g,AB_{1-x}Bi_x} = E_{C,AB} - E_{+,AB_{1-x}Bi_x}$$
(2.15)

where E_C is the CB minimum of AB. Since,

$$E_{Bi} = E_{V,AB} - \Delta E_{VBM-Bi} \tag{2.16}$$

and

$$E_{g,AB} = E_{C,AB} - E_{V,AB} (2.17)$$

where ΔE_{VBM-Bi} is the energy difference between the VBM of AB and the Bi level. Using equations 2.14 to 2.17, the E_g of AB_{1-x}Bi_x can be simplified to

$$E_{g,AB_{1-x}Bi_x} = E_{g,AB} - \Delta E_{VBAC} \tag{2.18}$$

Here, ΔE_{VBAC} refers to the E_g reduction due to bismuth incorporation, and is given by,

$$\Delta E_{VBAC} = \frac{\Delta E_{VBM-Bi}}{2} \left(\sqrt{1 + \frac{4V_{MBi}^2}{\left(\Delta E_{VBM-Bi}\right)^2}} - 1 \right)$$
(2.19)

The necessary material parameters are given in the Table 2.2-2.3.

Table 2.2 The material parameters for Ga based binary materials required in our calculations [26–28].

GaAs	GaN	GaBi
5.6532	4.5	6.324
1.424	3.299	-1.45
0.341	0.017	2.2
-7.17	-2.2	-7.17
-1.16	-5.2	-1.16
1221.0	293.0	1221.0
566.0	159.0	566.0
600.0	155.0	600.0
-2.0	-2.2	-1.6
	GaAs 5.6532 1.424 0.341 -7.17 -1.16 1221.0 566.0 600.0 -2.0	GaAs GaN 5.6532 4.5 1.424 3.299 0.341 0.017 -7.17 -2.2 -1.16 -5.2 1221.0 293.0 566.0 159.0 600.0 155.0 -2.0 -2.2

Table 2.3 The material parameters for In based binary materials required in our calculations [26–28].

Material	InAs	InSb	InP
Lattice Constant a_0 (Å)	6.0583	6.4794	5.8697
Energy gap E_o (eV)	0.417	0.235	1.4236
Spin-orbit Splitting Δ_0 (eV)	0.39	0.81	0.108
Hydrostatic deformation potential for CB a_c (eV)	-5.08	-6.94	-6.00
Hydrostatic deformation potential for VB a_v (eV)	-1.00	-0.36	-0.6
Elastic Stiffness Constant C_{11}	832.9	684.7	1011.0
Elastic Stiffness Constant C_{12}	452.6	373.5	561.0
Elastic Stiffness Constant C_{44}	395.9	311.1	456.0
Deformation Potential b (eV)	-1.8	-2.0	-2.0

2.3 Interpolation method (Vegards Law)

In theoretical models of band structure calculations, material parameters such as the bandgap and the lattice constant have an important role. To calculate the lattice constants and the band gaps as well as effective masses, elastic stiffness constants, we use the linear interpolation (Vegards Law) method [29] by means of the experimentally determined values of the binary compound alloys of ternary and quaternary materials.

The bandgap and the lattice constant of alloys are strongly composition dependent and usually they have a linear relationship. Thus, this linear change can be expressed by Vegard's law [29] taking into account the parameters of the constituent semiconductor materials.

The interpolation method can be used to calculate material parameters for ternary alloys,

$$T_{(ABC)}(x) = xB_{(AC)} + (1-x)B_{(BC)}$$
(2.20)

where $T_{(ABC)}$ refers to ternary parameters and B is the binary parameters. Equation 2.20 can be written taking into account bowing parameter, C as:

$$T_{(ABC)}(x) = xB_{(AC)} + (1-x)B_{(BC)} + Cx(1-x)$$
(2.21)

The quaternary material $A_{1-x}B_xC_yD_{1-y}$ is estimated to be consisted of four binaries: AC, AD, BC, and BD. If one uses a linear interpolation method, the quaternary parameter, Q, can be derived from the binary parameters by

$$Q_{(x,y)} = (1-x)yB_{(AC)} + (1-x)(1-y)B_{(AD)} + xyB_{(BC)} + x(1-y)B_{(BD)}$$
(2.22)

If one of the four binary parameters is missing (e.g., BAD), the quaternary parameter can be close from:

$$Q_{(x,y)} = (1-x)B_{(AC)} + (x+y-1)B_{(BC)} + (1-y)B_{(BD)}$$
(2.23)

The quaternary $A_{1-x}B_xC_yD_{1-y}$ is estimated to be consisted of three binaries: AD, BD, and CD. The associated linear interpolation can be given by

$$Q_{(x,y)} = xB_{(AD)} + yB_{(BD)} + (1 - x - y)B_{(CD)}$$
(2.24)

If correlations for the ternary parameters (Ts) are present, the quaternary parameter can be expressed either as $A_{1-x}B_xC_yD_{1-y}$ or $A_xB_yC_{1-x-y}D$.

For $A_{1-x}B_xC_yD_{1-y}$ alloys: $Q_{A_{1-x}B_xC_yD_{1-y}}(x,y) = \frac{x(1-x)[yT_{ABC}(x)+(1-y)T_{ABD}(x)]+y(1-y)[xT_{ACD}(y)+(1-x)T_{BCD}(y)]}{x(1-x)+y(1-y)}$ (2.25)

For $A_x B_y C_{1-x-y} D$ alloys:

$$Q_{A_x B_y C_{1-x-y} D}(x,y) = \frac{xy T_{ABD}(u) + y(1-x-y) T_{BCD}(v) + x(1-x-y) T_{ACD}(\omega)}{xy + y(1-x-y) + x(1-x-y)}$$
(2.26)
where $u = (1-x-y)/2$, $v = (2-x-2y)/2$ and $\omega = (2-2x-y)/2$.

2.4 Strain Theory

Strain is an important parameter affecting the quality of growth and performance of devices. In heterojunctions system, the lattice constants of the grown materials on top of each other is different in general. This means that the lattice constant of first material does not match exactly to that of the second material. Figure 2.6 shows the schematic diagram of the growth of an epilayer on a substrate material. Figure 2.6(a) illustrates the growth of epilayer with a smaller lattice constant than that of substrate which is called as a tensile strain. Strain will have a positive value in this case. On the other hand, figure 2.6(b) illustrates the growth of an epilayer with a greater lattice constant than that of substrate. This is called as compressive strain and strain will be negative in this situation. Let us consider the growth of an epilayer with a lattice constant a_e on a substrate with a lattice constant a_s . The in plane strain along x and y directions is defined by

$$\varepsilon_{\parallel} = \frac{a_s - a_e}{a_e} \tag{2.27}$$

The strain along the growth z-direction is defined by

$$\varepsilon_{\perp} = -(\frac{2C_{12}}{C_{11}})\varepsilon_{\parallel} \tag{2.28}$$

where C_{11} and C_{12} are the elastic stiffness constants of semiconductor material.



Figure 2.6 Schematic diagram (a) tensile-strained and (b) compressivelystrained layers grown on thick substrates [30].

In compressive strain $a_s < a_e$, $\varepsilon_{xx} = \varepsilon_{yy} < 0$ and, $\varepsilon_{zz} > 0$, for tensile strain $a_s > a_e$, $\varepsilon_{xx} = \varepsilon_{zz} > 0$ and $\varepsilon_{zz} < 0$.

The total strain can be determined by using axial component, ε_{ax} :

$$\varepsilon_{ax} = \varepsilon_{\perp} - \varepsilon_{\parallel} \approx 2\varepsilon_{\parallel} \tag{2.29}$$

and the hydrostatic compenent $\varepsilon_{vol} = \Delta V/V$, given by:

$$\varepsilon_{vol} = \varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz} \approx \varepsilon_{\parallel} \tag{2.30}$$

When modelling the effects of strain on the band structure of semiconductors, the strain curve is important. Figure 2.7 compares the compressive and tensile strained structures with unstrained case,



Figure 2.7 Schematic diagram showing the bulk band structure of three $In_{1-x}Ga_xAs$ ternary strained layers grown on InP substrate [31].

2.5 Summary

In this chapter, we give a brief theoretical review of band anti-crossing models and strain theory that we require for III-V nitride and bismide material systems used throughout this thesis.

CHAPTER 3

CALCULATION OF BAND STRUCTURE OF INDIUM BASED III-V BISMIDES ALLOYS

3.1 Introduction

InSb_{1-x}Bi_x and InAs_{1-x}Bi_x are narrow gap semiconductors and they emit light in 3-5 and 8-12 μ m range of wavelenghts. Thus, these semiconductors can be used for applications in infrared detectors [32]. InP_{1-x}Bi_x is a medium forbidden gap semiconductor. Its broad emission wavelenght corresponds to the telecommunication wavelenghts in infrared region. The introduction of Bi reduces the temperature dependence of the energy E_g of bismide alloys allowing to grow photonic devices having temperature insensitive emission wavelenghts. Since Bi related impurity states are far away from conduction band edge, there is a little perturbation in CB. Thus the effective mass of electron is not influenced effectively. This cause a less degradation in mobility of electron in bismide alloys compared to nitride alloys [33, 34].

Incorporation of bismuth atoms into the binary InAs, InP and InSb III-V host semiconductor materials, introduces localized bismuth energy levels near the VBE of the host binary semiconductor materials. These bismuth energy levels interact with the extended states of the III-V host semiconductor materials leading a splitting in VB into E_+ and E_- . We use V-BAC model to investigate the interaction between bismuth energy levels and the extended states. The necessary material parameters are given in the Table 3.1.

Material	$\mathbf{InAs}_{1-x}\mathbf{Bi}_x$	$\mathbf{InSb}_{1-x}\mathbf{Bi}_x$	$\mathbf{InP}_{1-x}\mathbf{Bi}_x$	$\mathbf{GaAs}_{1-x}\mathbf{Bi}_{x}$
γ_1	20.0	34.8	5.08	6.98
γ_2	8.5	15.5	1.6	2.06
γ_3	9.2	16.5	2.1	2.93
$\Delta_0 \ (eV)$	0.39	0.81	0.108	0.34
ΔE_{CBM} (eV)	-1.03	-1.44	-1.74	-2.3
ΔE_{VBM} (eV)	0.94	0.35	1.3	0.6
E_{Bi-so} (eV)	1.9	2.5	1.6	2.2
ΔE_{SO} (eV)	-0.87	-1.04	-0.69	-1.3
E_{Bi} (eV)	0.4	1.0	0.1	0.4
C_{Bi} (eV)	1.0	1.33	0.5	1.6

Table 3.1 The material parameters for ternary materials [4, 13, 26, 35].

3.2 $InAs_{0.88}Bi_{0.12}$

In this section, we have presented to the incorporation of Bi into the InAs host semiconductor materials. Incorporation of a small amount of bismuth atoms into the binary III-V host semiconductor materials produces 'dilute bismides'. Alloying a small amount of bismuth atoms into InAs host material provides long wavelength infrared photo-detectors [36] and lasers [37]. The VBE of $InAs_{1-x}Bi_x$ is expressed as a function of Bi concentration x using the V-BAC model [13] in equation 2.10. Table 3.1 presents the required parameters for ternary materials in our calculations. E_{Bi} shows the position of the $E_{HH/LH}$ levels for bismide which is located 400 meV below VB maximum of InAs. E_{Bi-SO} indicates the location of spin-orbit splitt-off level that is located 1.9 eV below the VB maximum of the host InAs. C_{Bi} is the fitting parameter obtained from experimental values. The incorporation of bismide into InAs leads a composition dependent BAC interaction between the VBE states of host InAs and localized Bi impurity states. The results of bismuth induced band mixing due to hybridisation of valence states is presented in figure 3.1 for the energy locations of E_{+} and E_{-} energy levels of the heavy hole and light hole. The heavy hole and light hole coincide with each other at Γ point. Figure 3.1 shows the variations of these energy locations of E_+ and E_{-} for $E_{HH/LH}$. The upper subband $E_{HH/LH+}$ increase with increasing dilute bismide concentration, but the lower subband $E_{HH/LH-}$ of valence band decrease with increasing dilute bismide concentration. The increase in upper subband $E_{HH/LH+}$ with incorporated bismide concentration cause a decrease in bandgap reduction.



Figure 3.1 Variation of relative energy locations for $E_{HH/LH+}$ and $E_{HH/LH-}$ as a function of Bi concentration for $InAs_{1-x}Bi_x$ on InAs.

Figure 3.2 illustrates the repulsive interaction between the light hole, heavy hole and split off band of E_+ and E_- energy levels of InAsBi. The $E_{HH/LH+}$ level increases at the rate of 32.5 meV per Bi concentration and the E_{SO+} energy level increases by 24.6 meV per Bi incorporation in the alloy. Figure 3.2 also shows that E_{CB} goes in downward direction by increasing bismuth concentration and this reduction is 10.3 meV per Bi concentration as predicted by virtual crystal approximation, VCA, model. As an overall, there is a calculated value of 42.8 meV per percent of Bi concentration. This value is in good agreement with Samajdar et al. [25].



Figure 3.2 Energy locations E_+ and E_- of the heavy hole, light hole and split-off bands for $InAs_{1-x}Bi_x/InAs$ as a function of Bi concentration up to 12 %.

Figure 3.3 presents the total reduction in E_g and the rate of change of Δ_0 as a function of bismide concentration. These variations illustrate how E_g and Δ_0 vary relative to one another with incorporated bismide concentration. The main purpose of these calculations is to determine at which point, i.e concentration, E_g and Δ_0 coincide. As it is seen from Figure 3.3, when small fraction of bismuth atoms ≈ 0.8 % are incorporated, the energy of spin-orbit splitting, Δ_0 crosses over the band gap energy, E_g and after ≈ 0.8 % bismuth concentration, $\Delta_0 > E_g$. This means that optical loss mechanisms can be eliminated by using only ≈ 0.8 % bismuth concentration.

Moreoever, we try to reveal the effect of N concentration in $InAs_{1-x}Bi_x$ with various concentration of N (up to 6%). Our calculated results have been illustrated in Figure 3.4. The calculated values shown in figure 3.4 clearly shows that the incorporation of N into InAsBi causes further reductions in energy band gap. We have used E_g of InBi 1.62 eV [38] and Δ_0 as 2.2 eV [39].



Figure 3.3 Variation of E_g and Δ_0 as a function of Bi concentration for $InAs_{1-x}Bi_x/InAs$.



Figure 3.4 Estimated variation of band edge energy due to Bi(up to 12 %) and N (up to 6 %) incorporation in $InAs_{1-x-y}N_yBi_x$ on InAs substrates.

The in-plane strain along x and y directions for $InAs_{1-x}Bi_x/InAs$ is defined by

$$\varepsilon_{\parallel} = \frac{a_{InAs} - a_{InAsBi}}{a_{InAsBi}} \tag{3.1}$$

where a_{InAsBi} is the lattice constant of ternary InBiAs and $a_{InBi} = 6.686$ Å is the lattice constant of substrate [39]. The lattice constant of ternary material can be calculated by means of using the Vegards law;

$$a_{InAs_{1-x}Bi_x} = (1-x) \cdot a_{InAs} + x \cdot a_{InBi} \tag{3.2}$$

The strain along the growth z-direction is defined by

$$\varepsilon_{\perp} = -(\frac{2C_{12}}{C_{11}})\varepsilon_{\parallel} \tag{3.3}$$

where C_{11} and C_{12} are the elastic constants of $InAs_{1-x}Bi_x$. The corresponding calculated strain values have been presented in Figures 3.5 and 3.6. The strain along the growth direction increases with an increasing bismuth concentration and the strain is tension, however the strain along the in-plane directions is compressive and also increases with increasing bismuth concentration. When $InAs_{1-x}Bi_x$ is grown an a InAs the in-plane strain is compressive while for $InN_yAs_{1-x-y}Bi_x$ it is tensile. This is due to the fact that nitrogen and bismide have opposite effects on the lattice constant. Figure 3.6 clearly shows that the introduction of N into InAsBi decreases the magnitude of compressive strain. The quaternary alloy $InN_yAs_{1-x-y}Bi_x$, therefore, allows to control strain and tune the bandgap for operation wavelengths of 3-5 μ m. The necessary material parameters are given in the Table 3.2.



Figure 3.5 The strain along the growth x and z direction versus bismuth concentration in the $InAs_{1-x}Bi_x/InAs$.



Figure 3.6 Estimated variation of strain due to Bi (up to 12 %) and N (up to 6 %) incorporation in $InAs_{1-x}Bi_x$ and $InN_yAs_{1-x-y}Bi_x$ on InAs substrates.

Table 3.2 The lattice constants used in our calculations for binary materials [26, 39].

Material	InAs	InSb	InP	InBi	
Lattice Constant a_0 (Å)	6.0583	6.4794	5.8697	6.686	

3.3 $InP_{0.88}Bi_{0.12}$

Incorporation of Bi into InP host semiconductor materials produces InPBi material. Group III-V semiconductors phosphides (P) are important materials for optoelectronic devices operating at visible and near-infrared wavelength range [40, 41]. The incorporation of a small concentration Bi into InP host material can increase the wavelengths for optoelectronic materials leading an improved device performances. Berding et al. [42] theoretically examined InSbBi, InAsBi and InPBi materials; and stated that InSbBi was the simplest material system. He also stated that InPBi was the most difficult material system than the others due to its larger band gap compared to that of InAsBi and InSbBi materials [42]. The incorporation of bismide into InP leads a composition dependent BAC interaction between the VBE states of host InP and localized Bi impurity states. Figure 3.7 shows the variations of these energy locations of E_{+} and E_{-} for $E_{HH/LH}$ as a function of Bi concentration. The upper subband $E_{HH/LH+}$ increase with increasing dilute bismide concentration, but the lower subband $E_{HH/LH-}$ of VB decrease with increasing dilute bismide concentration. Figure 3.8 shows the variations of the heavy hole, light hole and split-off bands of E_+ and E_- energy levels with bismuth concentration.



Figure 3.7 Variation of relative energy locations for $E_{HH/LH+}$ and $E_{HH/LH-}$ as a function of Bi concentration for $InP_{1-x}Bi_x$ on InP substrates.



Figure 3.8 Energy locations E_+ and E_- of the heavy hole, light hole and split-off bands for $InP_{1-x}Bi_x/InP$ as a function of Bi concentration up to 12 %.

Figure 3.8 illustrates that the $E_{HH/LH+}$ level increases at a rate of 31.9 meV per Bi concentration and the E_{SO+} energy level increases by 21.7 meV per Bi incorporation in the alloy. However E_{CB} level decreases by 17.4 meV. The values of Δ_0 of $InP_{1-x}Bi_x$ are too small compared to E_g . Therefore, E_g energy and Δ_0 does not cross over until bismuth concentration is up to 48 %. This means that one cannot suppress or eliminate optical loss mechanism unless a bismuth concentration in the order of 48 % is not incorporated to InP. Figure 3.9 shows the rate of change of the E_g and Δ_0 versus bismuth concentration.



Figure 3.9 Variation of E_g and Δ_0 as a function of Bi concentration for $InP_{1-x}Bi_x/InP$.

As can be seen from figure 3.10, when 48 % bismuth concentration are incorporated into InP host material, the values of Δ_0 and E_g gets closer to each other and after 48 % bismuth concentration Δ_0 and E_g crosses over each other and beyond this concentration Δ_0 becomes greater than E_g . Thus when bismuth concentration is greater than 48 %, both Auger recombination and Intervalence band absorption is expected to be eliminated. Figure 3.10 also illustrates that the Δ_0 level increases at the rate of ≈ 20.9 meV per Bi concentration and the E_g energy level decreases by ≈ 20.7 meV per Bi incorporation in the alloy. The additional effect of nitrogen on E_g reduction is illustrated in figure 3.11. These results clearly show how the incorporation of nitrogen into InPBi cause a rapid reduction in energy E_g allowing to tune the wavelength effectively.



Figure 3.10 Variation of E_g and Δ_0 as a function of Bi concentration for $InP_{1-x}Bi_x/InP$.



Figure 3.11 Estimated variation of band edge energy due to Bi (up to 12 %) and N (up to 6 %) incorporation in $InP_{1-x-y}N_yBi_x$ on InP substrates.

The in-plane strain along the x and y directions for $InP_{1-x}Bi_x/InP$ is defined by

$$\varepsilon_{\parallel} = \frac{a_{InP} - a_{InPBi}}{a_{InPBi}} \tag{3.4}$$

where a_{InPBi} is the lattice constant of ternary InPBi material can be calculated by means of using the Vegards law;

$$a_{InP_{1-x}Bi_x} = (1-x) \cdot a_{InP} + x \cdot a_{InBi}$$
(3.5)

The strain along the growth z-direction is defined by

$$\varepsilon_{\perp} = -(\frac{2C_{12}}{C_{11}})\varepsilon_{\parallel} \tag{3.6}$$

where C_{11} and C_{12} are the elastic constants of $InP_{1-x}Bi_x$. The strain along the growth direction increases with an increasing bismuth concentration and the strain along the in-plane direction increases with opposite signs with increasing bismuth concentration. When $InP_{1-x}Bi_x$ is grown an a InP the strain is compressive while for $InN_yP_{1-x-y}Bi_x$ is a tensile strain. The corresponding strain values have been presented in Figures 3.12 and 3.13.



Figure 3.12 The strain along the growth x and z direction versus bismuth concentration in $InP_{1-x}Bi_x/InP$.



Figure 3.13 Estimated variation of strain due to Bi (up to 12 %) and N (up to 6 %) incorporation in $InP_{1-x}Bi_{0,x}$ and $InN_yP_{1-x-y}Bi_x$ on InP substrates.

$3.4 ext{ InSb}_{0.88} ext{Bi}_{0.12}$

Incorporation of Bi atoms into InSb host semiconductor material produces dilute bismide InSb material. This incorporation leads a composition dependent BAC interaction between the VBE states of host InSb and localized Bi impurity states. The results of bismuth induced band mixing due to hybridisation of valence states is presented in figure 3.14 for the energy locations of E_+ and E_- energy levels for HH/LH. The heavy hole and light hole coincide with each other at Γ point. Figure 3.14 illustrates that the upper subband $E_{HH/LH+}$ increase with increasing dilute bismide concentration, but the lower subband $E_{HH/LH-}$ of VB decrease with increasing dilute bismide concentration as in the case of previosly examined dilute bismide alloys.



Figure 3.14 Variation of relative energy locations for $E_{HH/LH+}$ and $E_{HH/LH+}$ as a function of Bi concentration for $InSb_{1-x}Bi_x$ on InSb substrates.

InSb is a narrow gap semiconductor with an energy E_g of 0.235 eV and Δ_0 energy of 0.81 eV[26]. The calculated values of Δ_0 and E_g for ternary $\text{InSb}_{1-x}\text{Bi}_x$ is shown in figure 3.15. There is no rapid E_g reduction and strong Δ_0 for $\text{InSb}_{1-x}\text{Bi}_x$ due to the values of Δ_0 and Eg of InSb host material. According to our calculations E_g energy and Δ_0 never crosses since the value of Δ_0 is much bigger than the E_g .



Figure 3.15 Variation of E_g and Δ_0 as a function of Bi concentration for $InSb_{1-x}Bi_x/InSb$.

The energy locations of the E_+ and E_- energy levels of the light hole, heavy hole and split off band as functions of bismuth concentration up to 12 % is given in figure 3.16. The calculations presented here illustrates that the $E_{HH/LH+}$ and E_{SO+} level increases at a rate of 20.8 meV and 24.2 meV per Bi concentration, however E_{CB} level decreases by 14.4 meV, respectively. As can also be seen from figure 3.16 the theoretical E_g of $InSb_{1-x}Bi_x$ reduces to zero for ≈ 5 % Bi concentration.



Figure 3.16 Energy locations E_+ and E_- of the heavy hole, light hole and splitoff bands for $InSb_{1-x}Bi_x/InSb$ as a function of Bi concentration up to 12 %.

The in-plane strain along the x and y directions for $InSb_{1-x}Bi_x/InSb$ is defined by

$$\varepsilon_{\parallel} = \frac{a_{InSb} - a_{InSbBi}}{a_{InSbBi}} \tag{3.7}$$

where a_{InSbBi} is the lattice constant of ternary InSbBi material can be calculated by means of using the Vegards law;

$$a_{InSb_{1-x}Bi_x} = (1-x) \cdot a_{InSb} + x \cdot a_{InBi} \tag{3.8}$$

The strain along the growth z-direction is defined by

$$\varepsilon_{\perp} = -(\frac{2C_{12}}{C_{11}})\varepsilon_{\parallel} \tag{3.9}$$

where C_{11} and C_{12} are the elastic constants of $InSb_{1-x}Bi_x$. The strain along z-direction increases with an increasing bismuth concentration and the strain along the growth direction increases opposite directions with increasing bismuth concentration. When $\text{InSb}_{1-x}\text{Bi}_x$ is grown an a InSb substrate the strain is compressive while for $\text{InN}_y\text{Sb}_{1-x-y}\text{Bi}_x$ it is a tensile strain. The corresponding strain values have been presented in Figures 3.17 and 3.18.



Figure 3.17 The strain along the growth x and z direction versus bismuth concentration in the $InSb_{1-x}Bi_x/InSb$.



Figure 3.18 Estimated variation of strain due to Bi (up to 12 %) and N (up to 6 %) incorporation in $InSb_{1-x}Bi_x/InSb$ and $InN_ySb_{1-x-y}Bi_x$ on InSb substrates.

3.5 Summary

In this chapter, we have presented an analysis of electronic band structure of ternary $InAs_{1-x}Bi_x/InAs$, $InP_{1-x}Bi_x/InP$ and $InSb_{1-x}Bi_x/InSb$ materials by using valence band anti-crossing model, V-BAC. Our calculated results show that E_g decreases and Δ_0 increases with increasing bismuth concentration. The rate of change of these values vary from one material to another. We have shown that E_g and Δ_0 cross over at different bismuth concentration for each material system due to their band gap and Δ_0 difference. We have calculated that Δ_0 becomes greater than E_g for ≈ 0.8 % bismuth concentration for $InAs_{1-x}Bi_x/InAs$ material system. It has been calculated that in order to achieve a crossover of E_g with Δ_0 , a bismuth concentration in the order of 50 % is required for $InP_{1-x}Bi_x/InP$ alloy system. On the other hand, there is no need to increase bismuth concentration for $InSb_{1-x}Bi_x$ to achieve $\Delta_0 > E_g$ since it is already satisfied.

CHAPTER 4

CALCULATION OF BAND STRUCTURE OF ARSENIDE BASED III-V DILUTE BISMIDES AND NITRIDES

4.1 Introduction

There is a steadily increasing interest in highly mismatch alloys of III-V nitrides and bismides due to their unusual band structures. These unusual behaviour is a result of the composition dependent bowing due to the impurity like behaviour of isovalent atoms with the respectice band edges. In this chapter, we try to reveal the change in band structure when N and Bi atom is introduced to the arsenide based III-V compound semiconductors of GaAsBi, GaAsN and GaAsNBi.

4.2 $GaAs_{0.88}Bi_{0.12}$

There is a growing attention in incorporation of bismuth atoms into III-V GaAs host materials, due to their potential as an interesting band structure. This material system can be considered as a promising candidate for long-wavelength device applications, next generation multijunction solar cells [43], long wavelength light emitters [44, 45], transistors [46] and spintronic devices [47] due to their optimal band structure properties. When a small concentration of As is replaced by Bi atoms in GaAs host material, the energy gap, E_g decreases rapidly i.e. there is approximately 60 meV reduction for 1% of replaced Bi atoms [48]. Photoreflectance measurements show that Δ_0 increases rapidly with Bi concentration as well [28].

The incorporation of Bi atom into III-V GaAs host material was first proposed by Oe et al in 1998 [49]. Oe et al has suggested the compound $\text{GaAs}_{1-y}\text{Bi}_y$ which is called as a dilute bismide alloy is constructed from a binary GaAs semiconductor and a semi-metal GaBi materials [49]. The resultant dilute bismide cause E_g reduction and an increase in Δ_0 . The E_g reduction is expected due to semi-metallic

character of GaBi [50]. The band structure of GaAsBi can also be explained in terms of V-BAC model [23, 51, 52].

A localized impurity bismuth energy level is introduced into the VB of host GaAs. As a result of valence band anti-crossing, valence band splits into two characteristic subbands E_+ and E_- energy levels of HH/LH. The heavy hole and light hole coincide with each other at Γ point. Figure 4.1 shows the variations of these energy positions of E_+ and E_- for $E_{HH/LH}$. These variations show that the rate of splitting gets bigger with increasing Bi concentration. The upper subband $E_{HH/LH+}$ increase with increasing dilute bismide concentration, but the lower subband $E_{HH/LH-}$ of VB decrease with increasing dilute bismide concentration. However E_{CB} level decreases by 23.0 meV. The increase in upper subband $E_{HH/LH+}$ with incorporated bismide concentration cause a decrease in E_g reduction similar to the results that we have obtained in chapter 3.



Figure 4.1 Variation of relative energy positions for $E_{HH/LH+}$ and $E_{HH/LH-}$ as a function of Bi concentration for $GaAs_{1-y}Bi_y$ on GaAs substrates.

Like arsenide (As), bismuth (Bi) is also a group-V element and atomic radius of Bi is much larger than that of As. As a result of their atomic size difference, bismide atoms behave as an impurity and these atoms occupy at an energy level just below the VB. Thus the BAC interaction is expected between the Bi impurity energy levels and the VBE of the GaAs host material [53]. The interaction of Bi impurity energy level with VBE; produces a new VB maximum corresponding to $E_{HH/LH+}$. However there have been no experimental results of the $E_{HH/LH-}$ band [5]. The upward movement of the VBE of GaAsBi alloy due to anti-crossing interaction presents a E_g reduction.

Due to anti-crossing interaction VB also splits into six valence subbands, which may be classified into the heavy hole, light hole and split-off bands with a series of E_+ and E_- subbands energy levels due to BAC model [13]. Figure 4.2 shows the variations of these relative energy locations of E_+ and E_- energy levels for the heavy hole, light hole and split-off bands with Bi concentration up to 12 %. Our calculated results indicates that the $E_{HH/LH+}$ and E_{SO+} level increases at the rate of ≈ 61.5 meV and ≈ 32.5 meV per Bi concentration in the alloy, respectively.



Figure 4.2 Energy locations E_+ and E_- of the heavy hole, light hole and split-off bands for $GaAs_{1-y}Bi_y/GaAs$ as a function of Bi concentration up to 12 %.

Binary GaBi is predicted to have a large Δ_0 of 2.2 eV [6] compared to that of GaAs host. When incorporated Bi concentration is greater 10%, the Δ_0 becomes greater than the E_g energy [8, 28, 48, 54]. This situation of $\Delta_0 > E_g$ prohibits the optical loss mechanisms [10].

We use V-BAC model to investigate the interaction between bismuth impurity energy levels and the extended host GaAs states. The calculated result according to this model; indicates a rapid reduction in E_g and a remarkable increase of the Δ_0 with increasing Bi concentration. Figure 4.3 presents the total reduction in E_g and the rate of change of Δ_0 as a function of bismide concentration. The results presented in Figure 4.3 shows that E_g and Δ_0 opposes each other with increasing bismide concentration. By means of these calculation we would like to determine at which point E_g and Δ_0 coincide. As it is seen from Figure 4.3, when fraction of bismuth atoms in the order of 9.7 % are incorporated, the energy of Δ_0 crosses over the E_g energy and beyond this value bismuth concentration, $\Delta_0 > E_g$. Thus optical loss mechanisms can be eliminated by using bismuth concentration in the order of 9.7 %. In this dilute bismide alloy, E_g decreases by 15.36 meV per Bi concentration, while Δ_0 increases ≈ 13.0 meV per Bi concentration.



Figure 4.3 Variation of E_g and Δ_0 as a function of Bi concentration for $GaAs_{1-y}Bi_y/GaAs$.

The strain along the x and y directions for $GaAs_{1-y}Bi_y/GaAs$ is defined by

$$\varepsilon_{\parallel} = \frac{a_{GaAs} - a_{GaBiAs}}{a_{GaBiAs}} \tag{4.1}$$

where a_{GaAsBi} is the lattice constant of ternary GaBiAs material can be calculated by means of using the Vegards law;

$$a_{GaAs_{1-y}Bi_y} = (1-y) \cdot a_{GaAs} + y \cdot a_{GaBi} \tag{4.2}$$

The strain along z-direction is defined by

$$\varepsilon_{\perp} = -(\frac{2C_{12}}{C_{11}})\varepsilon_{\parallel} \tag{4.3}$$

where C_{11} and C_{12} are the elastic constants of $GaAs_{1-y}Bi_y$. The strain along the growth direction increases with increasing bismuth concentration and the strain along x and y-direction increases in negative sense with increasing bismuth concentration. Figures 4.4 gives the corresponding strain values.



Figure 4.4 The strain along the growth direction versus bismuth concentration in the $GaAs_{1-y}Bi_y/GaAs$.

4.3 $GaAs_{0.94}N_{0.06}$

III-V GaAs based diluted nitrites systems have been extensively investigated in the last quarter century. When 1 % of As atom is replaced by N in GaAs host material, the E_g decreases rapidly; by $\approx 150 \text{ meV}$ [12, 55]. The E_g reduction in GaAs_{1-x}N_x has been described using C-BAC model due to interaction between the CBE of GaAs host material and the nitrogen impurity energy level [12]. Therefore, the replacement of As atom by N introduces an impurity energy level above the CBE (see Figure 2.1) in a GaAs host material. This is due to the fact that N atom more electronegative and smaller than that of As atom.

Incorporation of a small fraction of nitrogen atom into GaAs host semiconductor material produces dilute nitride alloys leading a E_g reduction. In GaAsN material, localized impurity nitrogen energy level lies inside the CB. Therefore, we have used C-BAC and provide the results in figure 4.5 showing that the CB splits into two subbands, E_+ and E_- as a function of N concentration [12].



Figure 4.5 Variation of relative energy positions for E_+ and E_- as a function of Bi concentration for $GaAs_{1-x}N_x/GaAs$.

The in-plane strain for $GaAs_{1-x}N_x/GaAs$ is defined by

$$\varepsilon_{\parallel} = \frac{a_{GaAs} - a_{GaAsN}}{a_{GaAsN}} \tag{4.4}$$

where a_{GaAsN} is the lattice constant of ternary GaAsN material can be calculated by means of using the Vegards law;

$$a_{GaAs_{1-x}N_x} = (1-x) \cdot a_{GaAs} + x \cdot a_{GaN} \tag{4.5}$$

The strain along the growth direction is defined by

$$\varepsilon_{\perp} = -(\frac{2C_{12}}{C_{11}})\varepsilon_{\parallel} \tag{4.6}$$

where C_{11} and C_{12} are the elastic constants of $GaAs_{1-x}N_x$. The strain along the growth direction increases with an increasing nitrogen concentration and the strain along x and y-direction increases in negative directions with increasing nitrogen concentration. The results of calculated strain values have been given in Figures 4.6.



Figure 4.6 The strain along the growth direction versus nitrogen concentration in the $GaAs_{1-x}N_x/GaAs$.

4.4 $GaAs_{0.82}N_{0.06}Bi_{0.12}$

The introduction of Bi into GaAsN produces the GaAsBiN quaternary dilute bismide and nitride alloy. GaAsN on GaAs substrate is tensiley strained heterostructure whereas GaAsBi on GaAs substrate is a compressively strained. Therefore strain values are compensated and can be controlled by means of using the quaternary GaAsBiN alloy. The introduction of N into GaAs results a huge band gap reduction leading an increase in conduction band offset. On the other hand the introduction of Bi into GaAsN increases the VB offset. As a combination of these modifications quaternary dilute nitride bismide alloy of GaAsBiN offers favourable bandstructures for mid-infrared applications with deeper CB and VB offsets, controllable strain values, being $\Delta_0 > E_g$ and reduced band gap values.

In this section, we extend our model calculations for GaAsBiN including the change in CB and VB due to the presence of Bi and N impurity defect levels. We use the C-BAC and V-BAC models to reveal the composition dependence of band structure of dilute bimide nitride GaAsBiN alloy on GaAs substrates.

Incorporation of both dilute bismide (Bi) and nitride (N) into III-V host materials has attracted great attention due to their interesting band structures. The coalloying of both N and Bi atoms into GaAs was first proposed by Janotti et al. [50]. This kind of co-alloying produces an exotic quaternary material GaAsBiN and allows to control strain and engineer the band structure. Bi mainly affects the VB and N affects the CB. Thus combination of Bi and N atoms in III-V semiconductor alloys offers great potential for engineering the CB and VB offsets [7]. In GaAsBiN material, localized impurity bismuth and nitrogen energy level are introduced into the VB and the CB (see Figure 2.1), respectively. As a result of BAC interaction [12] both VB and CB splits into the conduction subbands, heavy hole, light hole and split-off bands into a series of E_+ and E_- energy levels. The detailed calculated values of these energy levels is shown in figure 4.7. The E_g energy can be calculated by means of the energy differences of $E_g = E_{CB-} - E_{HH/LH+}$ as shown in figure 4.7.



Figure 4.7 Variation of both E_+ and E_- levels as a function of Bi (12%) and N (6%) concentration for $GaAs_{1-y}Bi_y/GaAs$ and $GaAs_{1-x}N_x/GaAs$.

Figure 4.8 shows the variations of the conduction band, heavy hole, light hole and split-off bands E_+ and E_- energy levels with Bi concentration for $GaAs_{1-x-y}N_xBi_y$ on GaAs substrate. These variations presented in figure 4.8 illustrates that the $E_{HH/LH+}$ and E_{SO+} level increases at the rate of 11.0 meV and 5.5 meV per Bi concentration, however E_{CB-} level decreases by 17.7 mev.



Figure 4.8 Energy locations E_+ and E_- of conduction band, heavy hole, light hole and split-off bands for $GaAs_{1-x-y}N_xBi_y/GaAs$ as a function of Bi (12%) and N (6%) concentration.

Figure 4.9 presents the total reduction in E_g and the rate of change of Δ_0 as a function of bismide concentration. These variations again show that E_g and Δ_0 vary relative to one another with incorporated bismide and nitrogen concentration. As it is seen from figure 4.9, when 8.3 % of bismuth atoms are incorporated, the energy of spin-orbit splitting, Δ_0 crosses over the band gap energy, E_g and when bismuth concentration is greater than 8.3 %, $\Delta_0 > E_g$. Thus optical loss mechanisms can be eliminated at this value of bismuth (12%) and nitrogen (6%) concentration. Our calculated results that have been illustrated in figure 4.9 shows that band gap decreases by 17.23 meV per Bi concentration, while spinorbit splitting energy increases ≈ 13.0 meV per Bi concentration.



Figure 4.9 Variation of E_g and Δ_0 as a function of Bi (12%) and N (6%) concentration for $GaAs_{1-x-y}N_xBi_y/GaAs$.

Both nitrogen and bismide affects the E_g of the host binary GaAs material, but only bismide influences Δ_0 . To compare the effect of N concentration in GaAs_{1-x-y}N_xBi_y, we have considered various concentrations of N (up to 6 %) and the results are given in figure 4.10. Figure 4.11 shows that the strain for GaAs_{1-x-y}N_xBi_y is tensile strain while for GaAs_{1-y}Bi_y is a compressive strain.



Figure 4.10 Estimated variation of band edge energy and wavelenght due to Bi (up to 12 %) and N(up to 6 %) incorporation in $GaAs_{1-x-y}N_xBi_y/GaAs$.



Figure 4.11 Estimated variation of strain due to Bi (up to 12 %) and N (up to 6 %) incorporation in $GaAs_{1-x}N_x/GaAs$ and $GaAs_{1-y}Bi_y/GaAs$.

4.5 Summary

Our calculated values for GaAsBi alloy on GaAs substrates show that band gap decreases approximately 60 meV/Bi% as stated by Sweeney et al [48]. A band gap value of 800 meV can be reached for a bismuth concentration of $\approx 9\%$. This provides an emission wavelenght of 1.5 μ m. When the bismuth concentration is in the order of 5%, the emission wavelenght is $\approx 1.3 \mu$ m. The corresponding strain values of these GaAsBi on GaAs substrates are $\approx 1.2\%$ and $\approx 0.7\%$ for 9% Bi and %5 Bi, respectively. These results shows that GaAsBi ternary alloy system on GaAs provide light emission required in telecommunication with modest strain values. When the Bi concentration is $\approx 9\%$ in compressive strained GaAsBi layers on GaAs substrates, Δ_0 becomes greater than E_g . Thus Auger recombination and IVBA can be elimated for Bi concentrations being greater than 9% in near infrared region.

When N and Bi atoms are simultaneously doped into GaAs host material, an exotic quaternary GaAsBiN alloy on a GaAs substrate can be achieved. This material system can be analyzed by means of using C-BAC model for incorporation of dilute nitrides and V-BAC model for incorporation of dilute bismides. Our

calculations shows that E_g decreases by 17.23 mev per Bi concentration, while Δ_0 increases in the order of 13.0 meV per Bi concentration. Furthermore, we have shown that the Bi concentration is $\approx 8.3\%$ in GaAsBiN on GaAs, Δ_0 becomes greater than E_g .



CHAPTER 5

THESIS SUMMARY AND FUTURE WORK

The work carried out in this thesis is the results of a detailed comparative theoretical analysis of dilute nitride and bismide $GaN_{0.06}As_{0.82}Bi_{0.12}/GaAs$ as well as $InAs_{0.88}Bi_{0.12}/GaAs$, $InP_{0.88}Bi_{0.12}/GaAs$ and $InSb_{0.88}Bi_{0.12}/GaAs$ alloys. The nitrogen and bismuth concentration dependence of band gap, band edge energy levels and strain and their influence on optical loss mechanism have been investigated. Our calculations are based on the C-BAC model for incorporation of dilute nitrides and the V-BAC model for incorporation of dilute bismides. Thus, the band structure of ternary $InAs_{1-x}Bi_x/GaAs$, $InP_{1-x}Bi_x/GaAs$, $InSb_{1-x}Bi_x/GaAs$ and $GaAs_{1-y}Bi_y/GaAs$ materials has been modelled by V-BAC model. On the other hand, C-BAC model has been used for $GaAs_{1-x}N_x/GaAs$. Both anticrossing models have been incorporated for quaternary $GaN_xAs_{1-x-y}Bi_y/GaAs$ materials. As a result of interaction between extended host band states and localized impurity states, VB states of LH, HH and SO splits into six subbands and CB splits into two subbands with a series of E_{+} and E_{-} energy levels. E_{+} energy level keeps the nature of host alloy whereas E_{-} energy level keeps the localized character of impurity states.

Our calculated results show that E_g decreases with increasing bismuth and nitrogen concentration, while Δ_0 increases with increasing bismuth concentration which is in agreement with other studies [3, 7–9, 12, 13, 28, 48, 52]. The E_g and Δ_0 crosses over at different bismuth and nitrogen concentration for each material system due to their different band properties. We have calculated that Δ_0 becomes greater than E_g for $\approx 0.8 \%$, $\approx 48 \%$, $\approx 9.7 \%$ and $\approx 8.3 \%$ bismuth concentrations for ternary $InAs_{1-x}Bi_x/InAs$, $InP_{1-x}Bi_x/InP$, $GaAs_{1-y}Bi_y/GaAs$ and quaternary $GaN_xAs_{1-x-y}Bi_y/GaAs$ materials, respectively. However, there is no need to increase bismuth concentration for $InSb_{1-x}Bi_x$ material system to achieve $\Delta_0 > E_g$ since it is already satisfied.

When bismuth and nitrogen atoms are incorporated into the host binary material system, there occurs a repulsive interaction between the light hole, heavyhole, split-off band and conduction subbands of E_+ and E_- energy levels due to the localized impurity states that lies inside the VB and the CB edge (see Figure 2.1) of the host material for bismide and nitrogen incorporation respectively. The $E_{HH/LH+}$ energy level increases at the rate of 32.5, 31.9, 20.8 and 61.5 and the E_{SO+} energy level increases at the rate of 24.6, 21.7, 24.2, 32.5 meV per Bi incorporation for ternary $InAs_{1-x}Bi_x$, $InP_{1-x}Bi_x$, $InSb_{1-x}Bi_x$ and $GaAs_{1-y}Bi_y$ materials. The corresponding values are 11.0 and 5.5 meV for quaternary $GaN_xAs_{1-x-y}Bi_y/GaAs$ materials. We have also shown that E_{CB} goes in downward direction by increasing bismuth concentration according to virtual crystal approximation. Thus conduction band edge decreases with energy values of 10.3, 17.4, 14.4 and 23.0 meV for ternary $InAs_{1-x}Bi_x/InAs$, $InP_{1-x}Bi_x/InP$, $InSb_{1-x}Bi_x/InSb$, $GaAs_{1-y}Bi_y/GaAs$ and 17.7 meV for quaternary $GaN_xAs_{1-x-y}Bi_y$ on GaAs materials.

Our calculated results have been shown that the band gap reduction with N concentration is faster in dilute nitrides compared to that of the E_g reduction with Bi concentration in dilute bismides.

The work carried on this thesis shows that the incorporation of impurity atoms restructure the band structure. Therefore, as a future work, we aim to reveal the consequences of restructuring of valence and conduction bands on the most important loss mechanisms of intervalence band absorbtion and Auger recombination.

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